

# Finite frequency noise in a quantum dot in the Kondo regime

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**Workshop on "Charge and heat dynamics in nano-systems", Orsay 10-12 October 2011**

# Collaborators:

## **THEORY**

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*TU Budapest & Oradea University*  
*TU Budapest*  
*Chiao-Tung University, Taiwan*

## **EXPERIMENT**

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*Laboratoire de Physique des Solides, Orsay*

# Motivations

Useful to understand noise

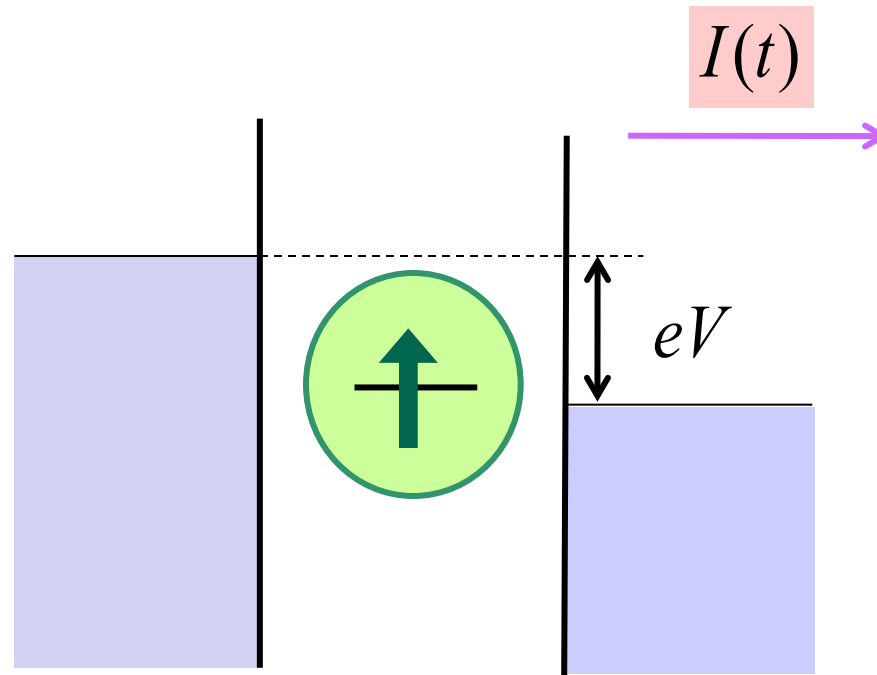
- “Noise is an enemy of the measurements...”
- However, noise contains a lot of information  
(Spectrum, Fano factor, ...)

Non-equilibrium noise spectrum of a quantum dot ????

Relatively little was known...

Experiments have just been starting

# Time-dependent noise through a quantum dot?

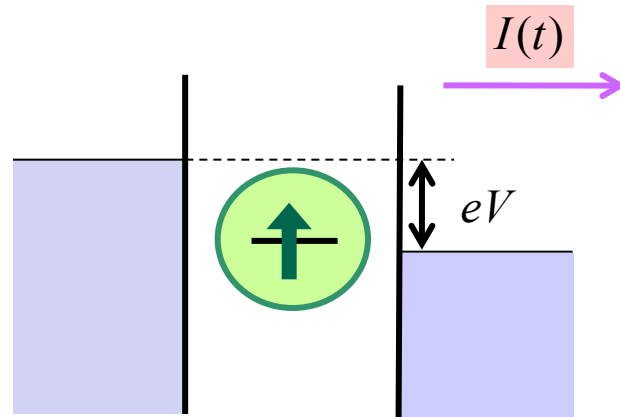


$$S(t) = \langle \{I(t), I(0)\} \rangle / 2 = ?$$

$$S^>(t) = \langle I(t)I(0) \rangle = ?$$

What kind of informations can we grasp in  $S(\omega, V)$  ?

# Time-dependent noise through a quantum dot?



Suppose the nano-object we probe has some intrinsic energy scale associated with correlations.

Call this energy scale  $T_K$  the Kondo temperature

How the building of correlations do show up in the noise spectrum ?

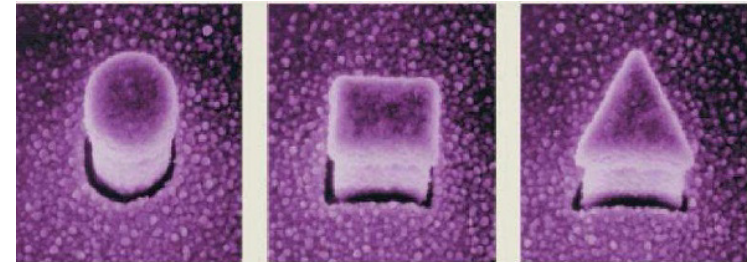
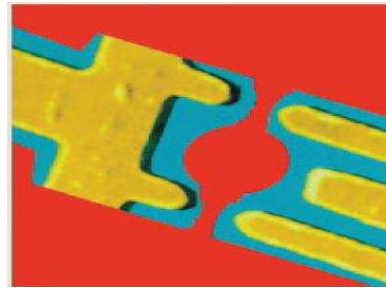
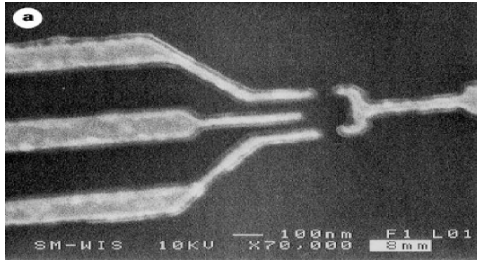
In particular at frequencies larger than  $T_K$

# OUTLINE

- I. The Kondo effect in artificial magnetic impurities (quantum dots)
- II. The non-equilibrium current noise for the Kondo problem
- III. Conclusions and perspectives

**I) The Kondo effect  
in  
quantum dots**

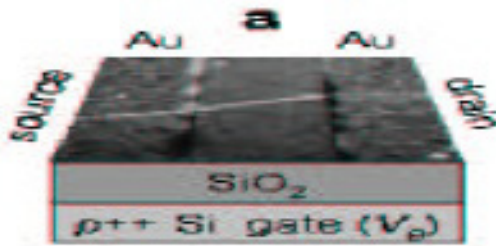
# Various quantum dots



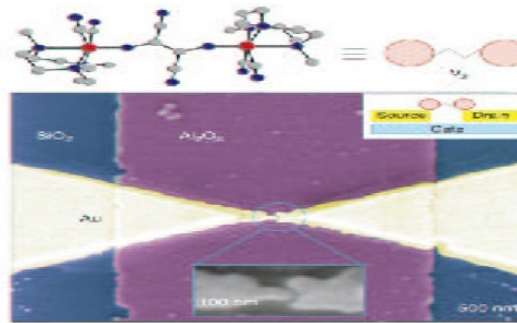
Goldhaber-Gordon et al., Nature (1998)

From Kouwenhoven's group

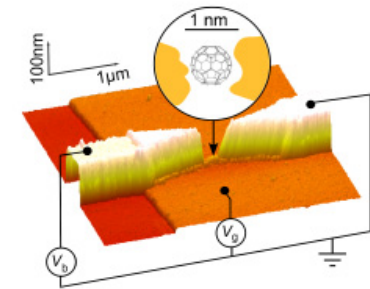
From Tarucha's group



Cobden et al., Nature (2001)



Bockath et al., Nature (2002)

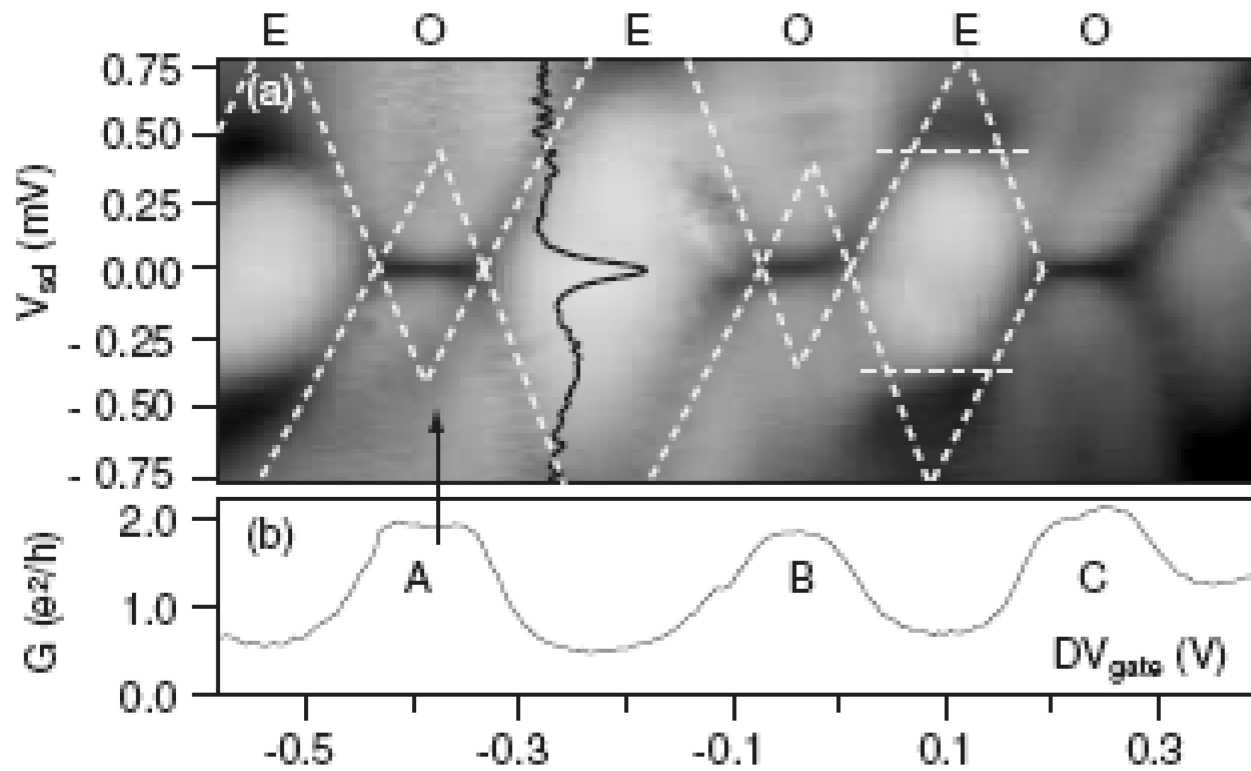


Roch et al., Nature (2008)

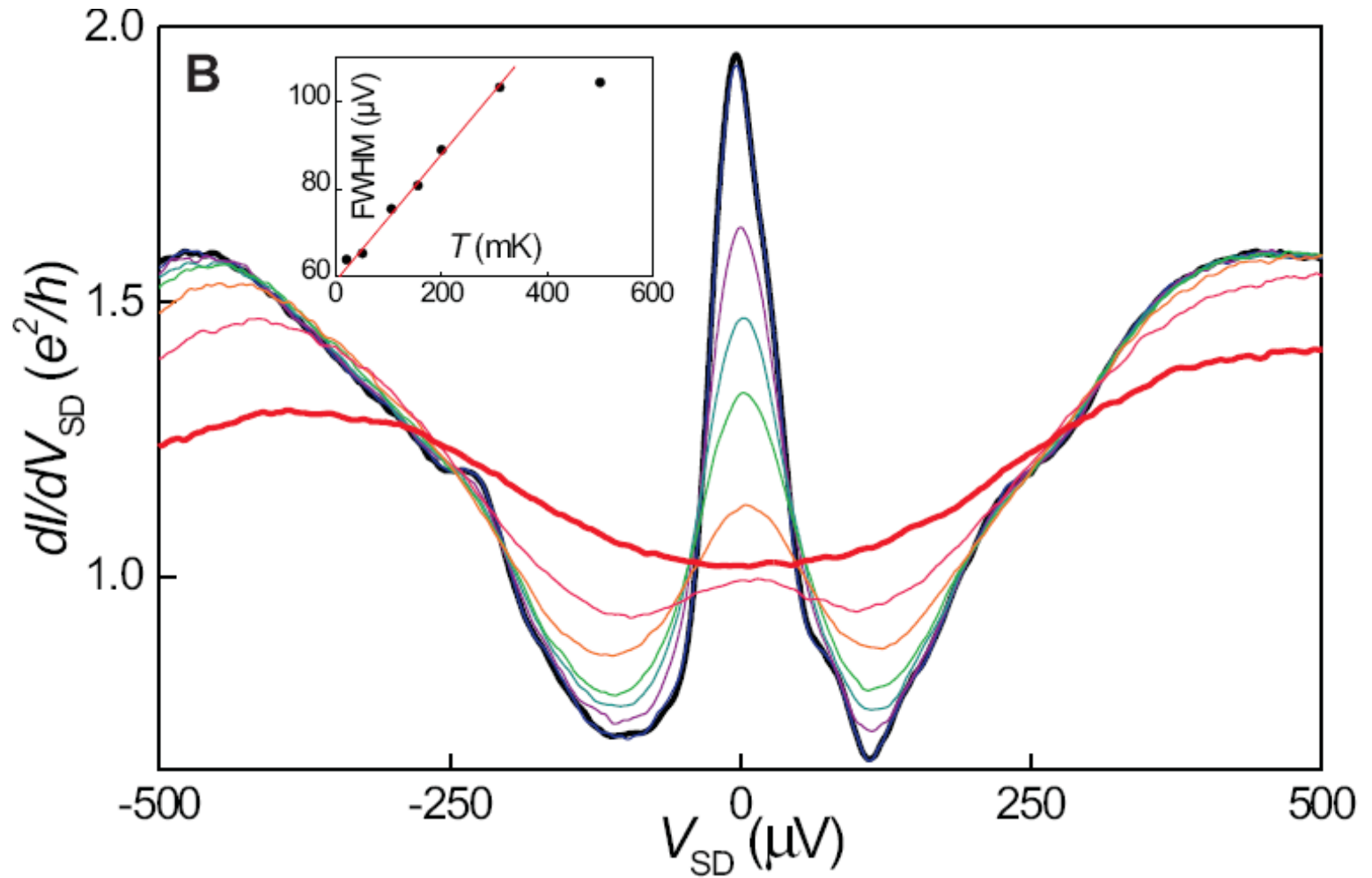
And many others ....

- Quantum dots can hold a few hundreds electrons
- A current can be driven through them

# Kondo ridges

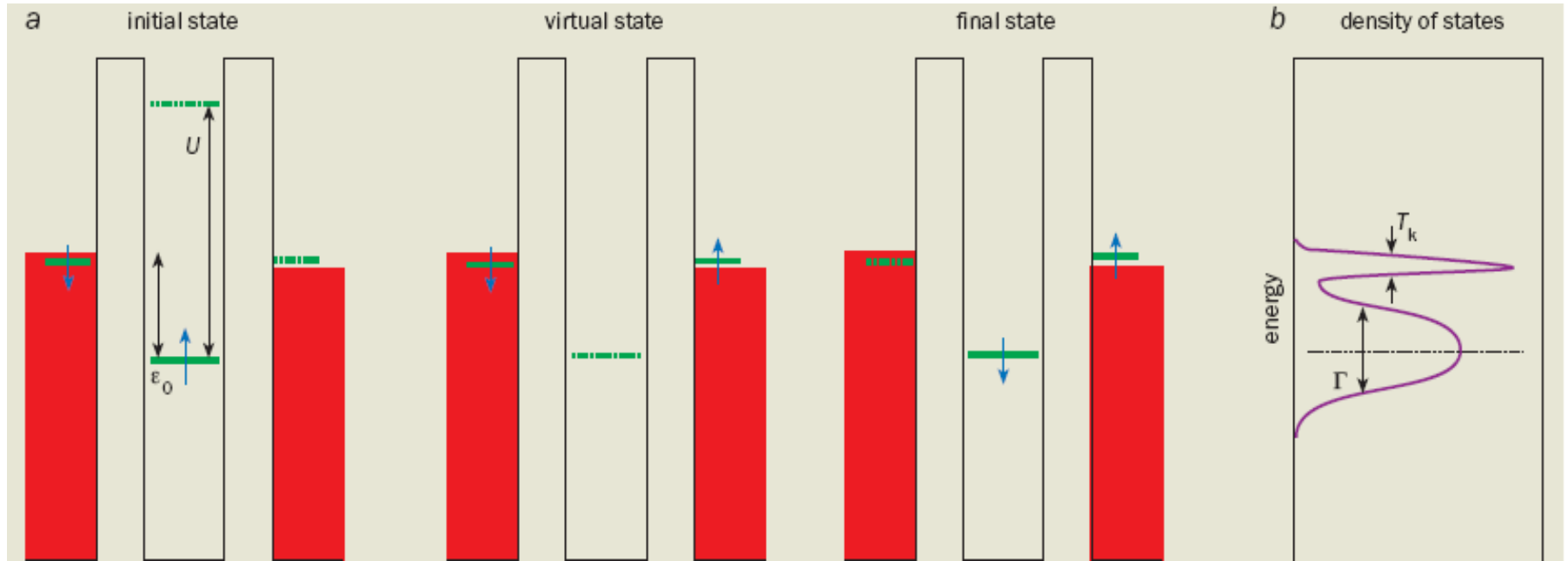


# Differential conductance



# Kondo effect in quantum dots

## Cotunneling regime



➡ Virtual charge transitions can be accompanied with a spin flip inside the dot !

➡ Like an ordinary magnetic impurity in a metal

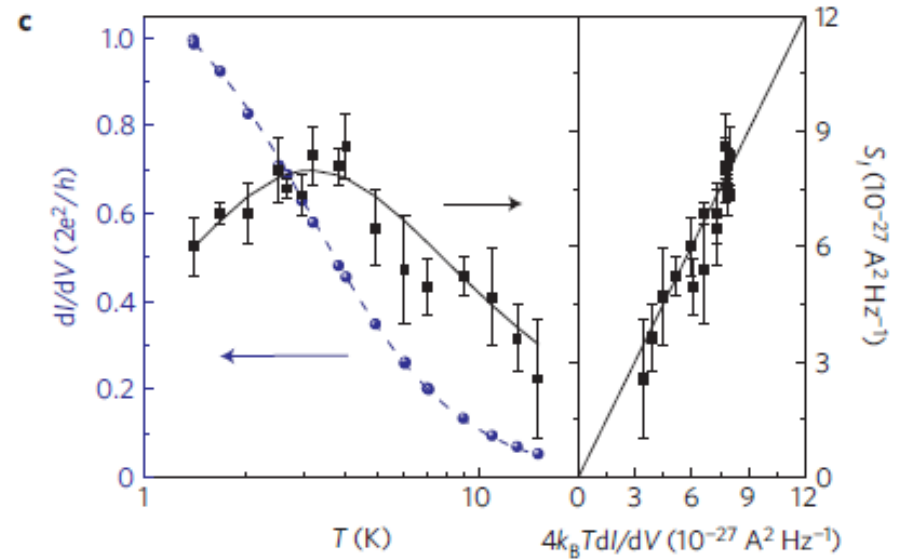
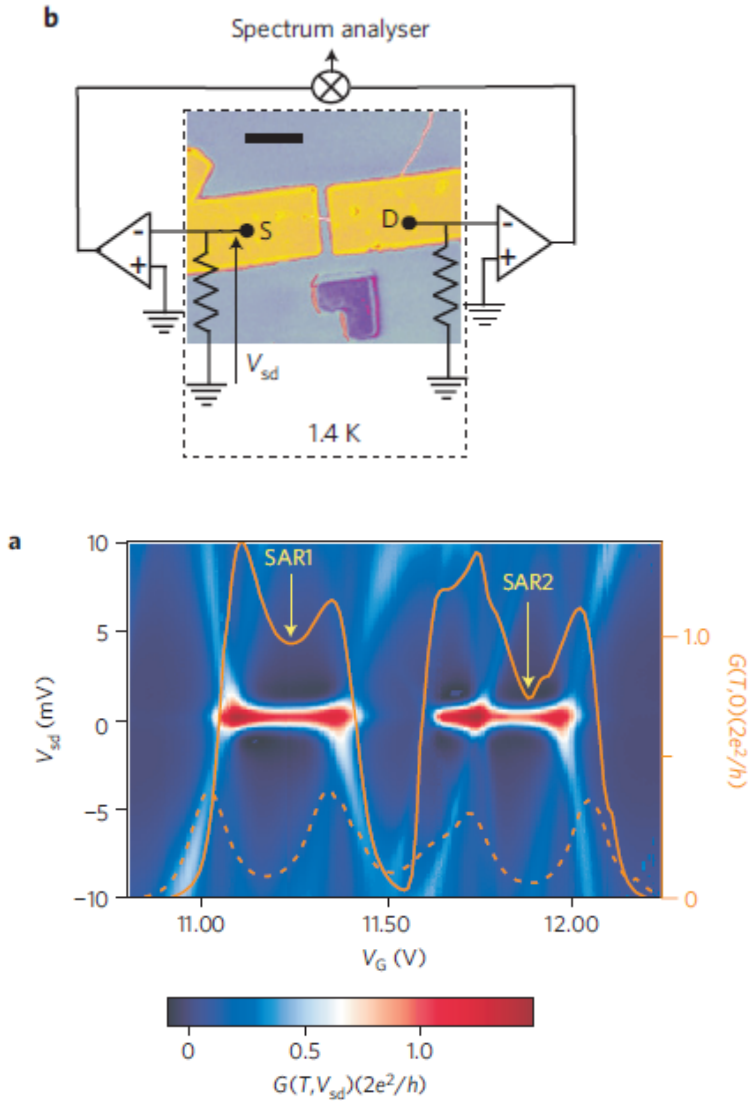
$$H = \sum_{\vec{k}, \sigma} \epsilon_k \psi_{\vec{k}\sigma}^\dagger \psi_{\vec{k}\sigma} + J \vec{S}_{imp} \cdot \vec{S}_{el}(\vec{r} = 0)$$

## **II. The non-equilibrium current noise for the Kondo model**

# Shot Noise

Delattre et al., Nature Physics (2009)

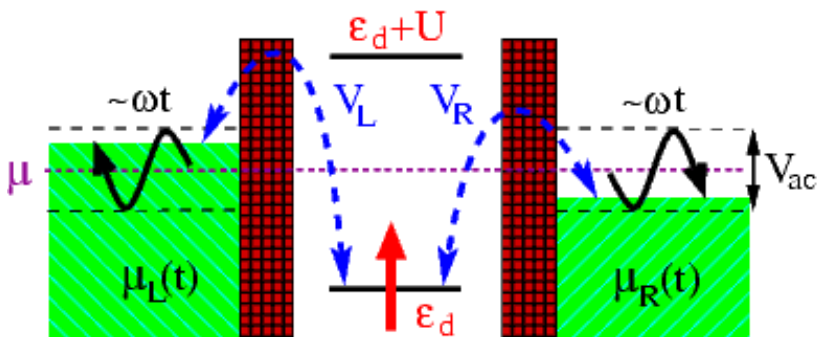
$$V_{SD} = 0!$$



In agreement with FDT...

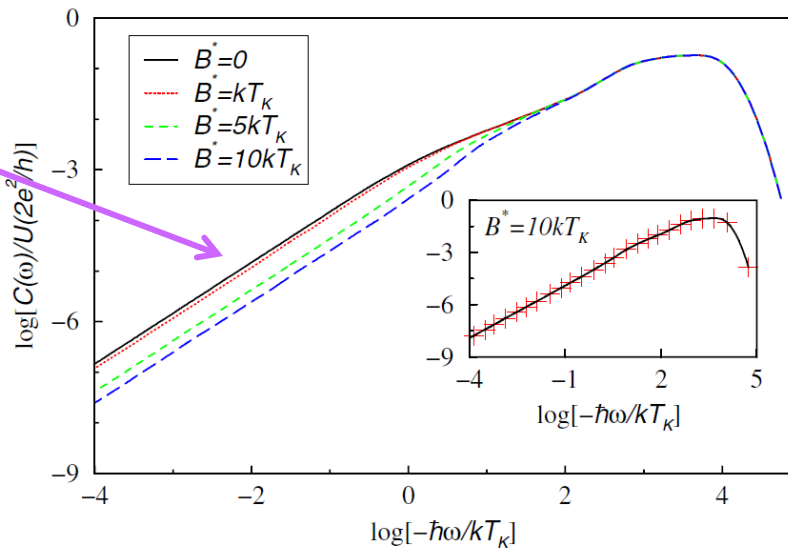
# Equilibrium noise

Study of the **ac** equilibrium noise



$\sim \omega$

Fermi liquid behavior !



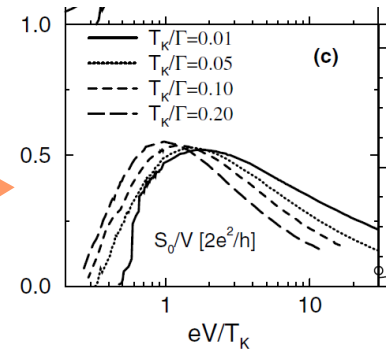
Sindel et al, PRL (2005)

# What do we know about current noise of a quantum dot?

- Shot noise  $S_{\omega=0}(V, T)$

Theory : Meir and Golub, PRL (2002)

Experiments: Delattre et al., Nature Physics (2009)



- Noise spectrum ?

Toulouse Limit: Schiller and Herschfield (1998)

$T = V = 0$ : Sindel et al, PRL (2005)

Perturbative calculations: Korb et al, PRB (2007)

## Our questions ?



$$S(\omega, V, T) = ???$$

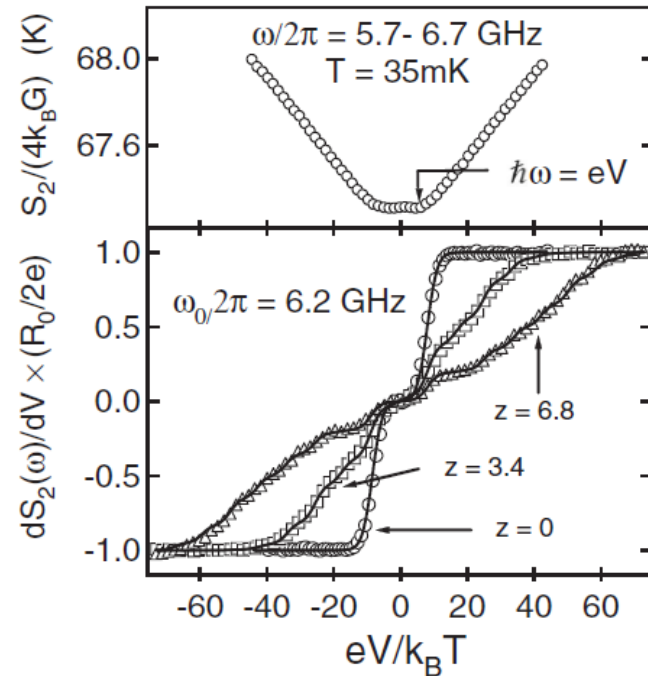
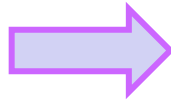
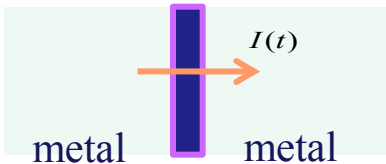
$$G(\omega, V, T) = ???$$

(non-equilibrium linear  
conductance)

# Are there interesting things in $S(\omega, V)$ ?

Fermi liquid, no... ( $eV \ll T_K$ ) is not very interesting

Expect behavior similar to tunnel junction



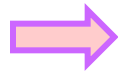
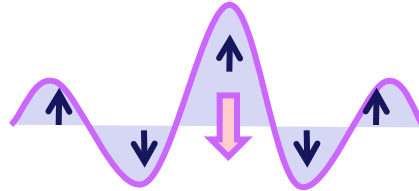
[Gabelli and Reulet, PRL 2008]

Yes, interesting regime:  $eV > T_K$

Perturbative, but...

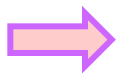
# Difficulties

## Kondo effect



Logarithmic singularities with “fine structure”

$$\ln(\omega / D), \quad \ln(|\omega - eV| / D)$$



Standard RG is not accurate enough

## Paaske, Rosch, Kroha, Wölfle:

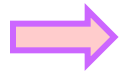
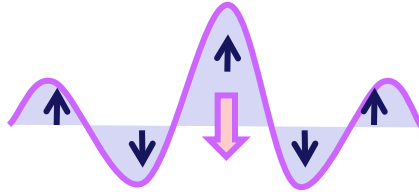
Scaling equations (heuristic) for the vertex function

$$\frac{\partial}{\partial \ln D} \text{Vertex} = \text{Diagram 1} + \text{Diagram 2}$$

The diagram shows the scaling equation for the vertex function. On the left, a vertex function is represented by a central black dot with four external lines. The top-left line is labeled  $\alpha, \sigma, \omega_c$ , the top-right line is  $\alpha', \sigma', \omega'_c$ , the bottom-left line is  $\gamma, \omega_f$ , and the bottom-right line is  $\gamma', \omega'_f$ . The derivative  $\frac{\partial}{\partial \ln D}$  is indicated by a vertical arrow pointing down from the vertex. The right-hand side of the equation consists of two diagrams. The first diagram is a loop diagram with two vertices (black dots) and two internal lines (dashed lines). The second diagram is a more complex loop diagram with two vertices and two internal lines, plus a horizontal line passing through the loop.

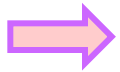
# Difficulties

## Kondo effect



Logarithmic singularities with „fine structure”

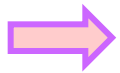
$$\ln(\omega / D), \quad \ln(|\omega - eV| / D)$$



Standard RG is not accurate enough

## Paaske, Rosch, Kroha, Wölfle:

Scaling equations (heuristic) for the vertex function:



**Retarded interactions !**

**Current conservation???**

**What is  $I(t)$  ???**

# Other non-equilibrium RG schemes

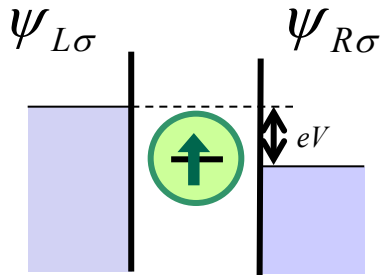
- Volker Meden  
(functional RG)
- Stefan Kehrein  
(flow equation)
- Herbert Schöller, Sabine Andergassen, Misha Pletnikov, ...  
(reduced density matrix formalism)

## Dynamical correlations ?? Current conservation ?

- Frithjof Anders and Avi Schiller  
(NRG)

# Simple model

**Kondo Hamiltonian:**



$$H_{\text{int}} = \frac{1}{2} \sum_{\alpha, \beta=L, R} \sum_{\sigma, \sigma'} j_{\alpha, \beta} \mathbf{S} \psi_{\alpha\sigma}^\dagger \sigma_{\sigma\sigma'} \psi_{\beta\sigma'} .$$

$$\alpha \in \{L, R\}$$

$$\psi_{\alpha\sigma} = \int c_{\alpha\sigma}(\xi) e^{-|\xi|a} d\xi$$

$$H_0 = \sum_{\alpha, \sigma} \int d\xi (\xi + \mu_\alpha) c_{\alpha\sigma}^\dagger(\xi) c_{\alpha\sigma}(\xi),$$

$$\mu_\alpha = \pm eV / 2$$

**Use pseudofermions (Abrikosov)**

$$\hat{S}^i \rightarrow \sum_{s, s'} \frac{1}{2} f_s^\dagger \sigma_{s, s'}^i f_{s'} \quad \sum_s f_s^\dagger f_s = 1$$

# Path integral on the Keldysh contour

**Action:**  $\mathcal{S} = \mathcal{S}_{\text{lead}} + \mathcal{S}_{\text{spin}} + \mathcal{S}_{\text{int}}$

**Interaction part:**

$$\mathcal{S}_{\text{int}} = \sum_{\kappa} \sum_{\alpha\beta} s_{\kappa} \frac{1}{4} \int dt_1 dt_2 g_{\alpha\beta}(t_1 - t_2) \bar{f}^{\kappa}(T_{12}) \vec{\sigma} f^{\kappa}(T_{12}) \cdot \bar{\psi}_{\alpha}^{\kappa}(t_1) \vec{\sigma} \psi_{\beta}^{\kappa}(t_2)$$

Keldysh label

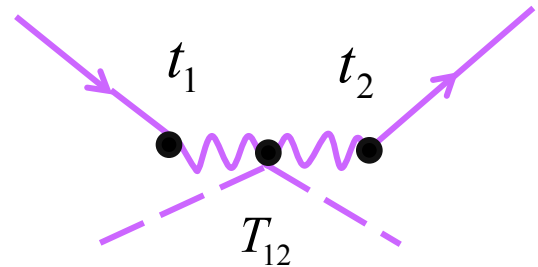
$s_{\kappa} = \pm 1$

$T_{12} = (t_1 + t_2)/2$

Interaction initially local:

$$g_{\alpha\beta}^{(0)}(t) = j_{\alpha\beta} \delta(t)$$

Keldysh sign:



# Generating the RG equations

1. Expand  $\langle U(-\infty, -\infty) \rangle$  in  $S_{\text{int}}$
2. Rescale  $a \rightarrow a'$ ,  $g_{\alpha\beta}(t, a) \rightarrow g'_{\alpha\beta}(t, a')$

$$\delta(\text{diagram}) = \text{diagram}_1 + \text{diagram}_2$$

$$\frac{dg(\omega)}{dl} = g(\omega) q(\omega, a) g(\omega)$$

$$l = \ln(a/a_0)$$

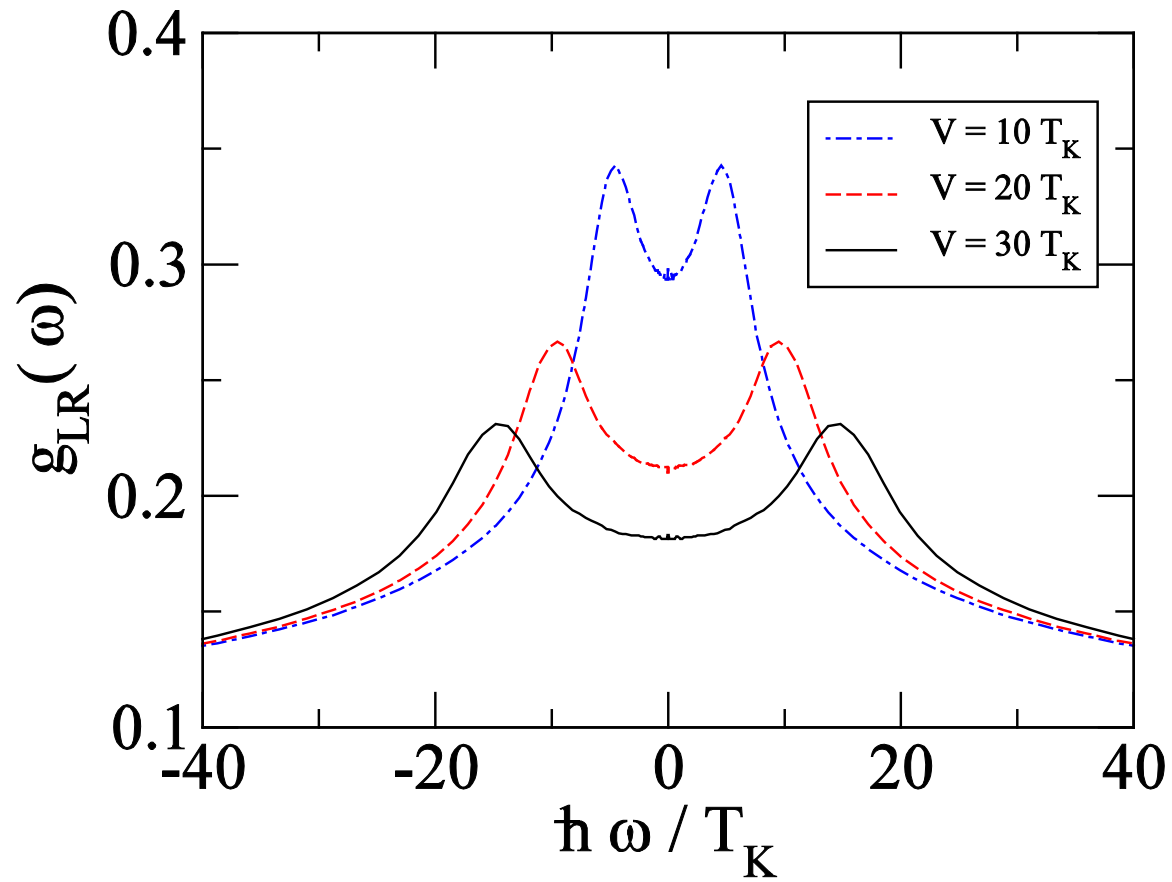
$$g_{\alpha\beta} \rightarrow g$$

Cut-off function

“Standard” RG:

$$g_{\alpha\beta}(t) \rightarrow \delta(t) \int dt g_{\alpha\beta}(t)$$

# Renormalized vertex function



# Current vertex

**Current operator from equation of motion:**

$$\hat{I}_L(t) = -\hat{I}_R(t) = \sum_{\alpha\beta} \frac{1}{2} v_{\alpha\beta}^L \hat{\mathbf{S}}(t) \cdot \hat{\psi}_\alpha^\dagger(t) \boldsymbol{\sigma} \hat{\psi}_\beta(t)$$

$$\mathbf{v}^L = -\mathbf{v}^R = \begin{pmatrix} 0 & -i j_{LR} \\ i j_{LR} & 0 \end{pmatrix}$$

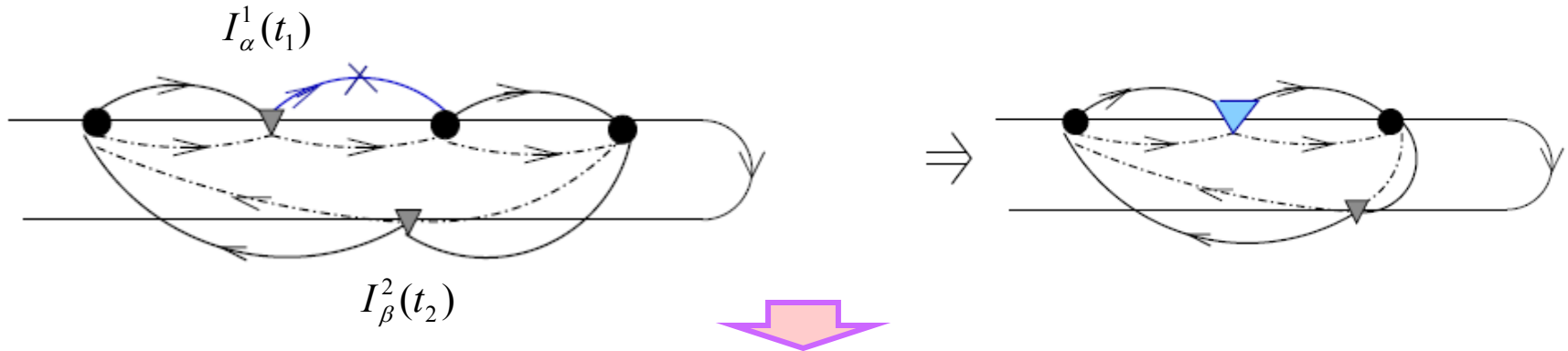
**Generating functional:**

$$Z[h_\alpha^\kappa(t)] \equiv \langle e^{-i \sum_{\kappa,\alpha} \int dt h_\alpha^\kappa(t) I_\alpha^\kappa(t)} \rangle_{\mathcal{S}}$$

**RG:**

1. Expand  $Z[h_\alpha^\kappa(t)]$  in  $h_\alpha^\kappa(t)$  and in  $S_{\text{int}}$
2. Rescale  $a \rightarrow a'$ ,  $g_{\alpha\beta}(t, a) \rightarrow g'_{\alpha\beta}(t, a')$   
and  $I_\alpha^\kappa(t)$

# Current vertex renormalization



**Current necessarily becomes non-local in time !**

$$I_L^\kappa(t) = \frac{e^2}{4} \sum_\kappa \sum_{\alpha\beta} \int dt_1 dt_2 V_{\alpha\beta}(t_1 - t, t - t_2, a) \bar{f}^\kappa(t) \vec{\sigma} f^\kappa(t) \cdot \bar{\psi}_\alpha^\kappa(t_1) \vec{\sigma} \psi_\beta^\kappa(t_2) ,$$

**time of the measurement!**

# RG equations

**Current vertex scaling:**

$$\delta \left( \text{diagram} \right) = \text{diagram}_1 + \text{diagram}_2 + \text{diagram}_3 + \text{diagram}_4$$

$$\frac{d\mathbf{V}^L(\omega_1, \omega_2)}{dl} = \mathbf{V}^L(\omega_1, \omega_2) \mathbf{q}(\omega_2, a) \mathbf{g}(\omega_2) + \mathbf{g}(\omega_1) \mathbf{q}(\omega_1, a) \mathbf{V}^L(\omega_1, \omega_2)$$

Initial condition:  $\mathbf{V}^{L/R}(\tau_1, \tau_2, a_0) = \delta(\tau_1) \delta(\tau_2) \mathbf{v}^{L/R}$

**Current conservation:**

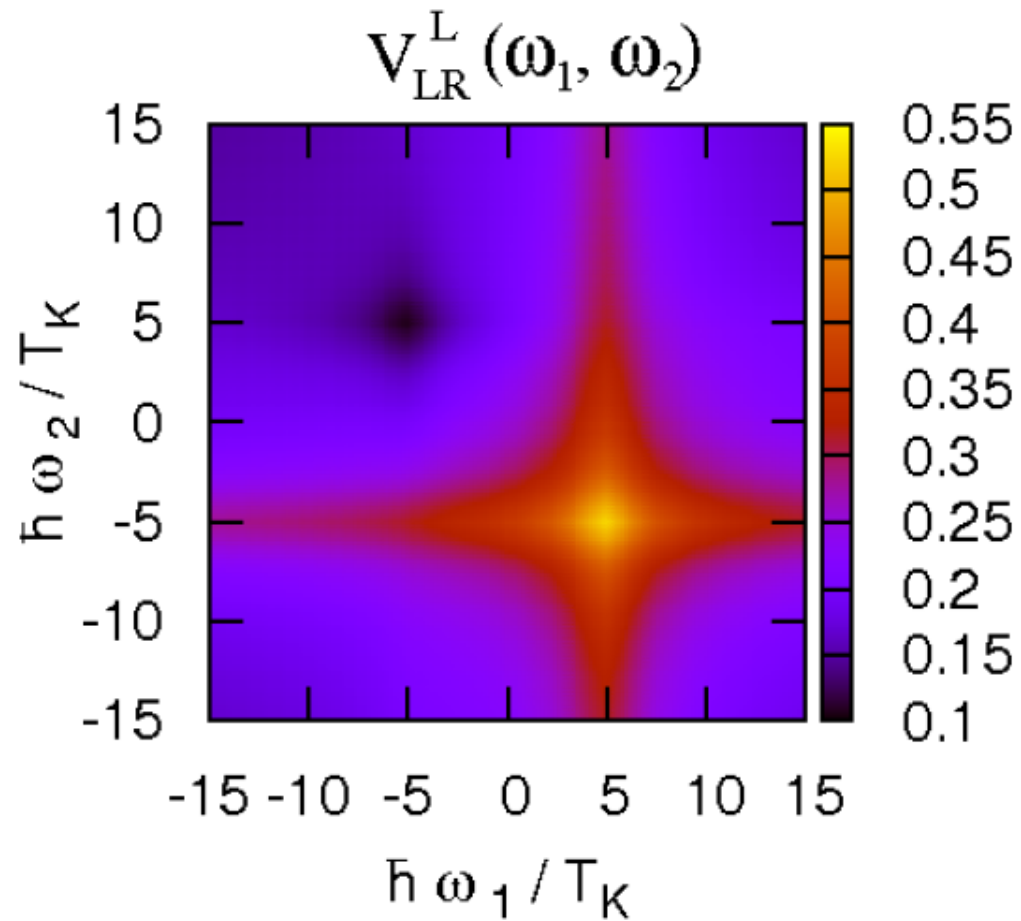
$$\mathbf{v}^L = -\mathbf{v}^R \quad \rightarrow \quad V_{\alpha\beta}^L(\omega_1, \omega_2) = -V_{\alpha\beta}^R(\omega_1, \omega_2)$$

$$I_L^\kappa(t) + I_R^\kappa(t) \equiv 0$$

Automatically satisfied at the functional level !

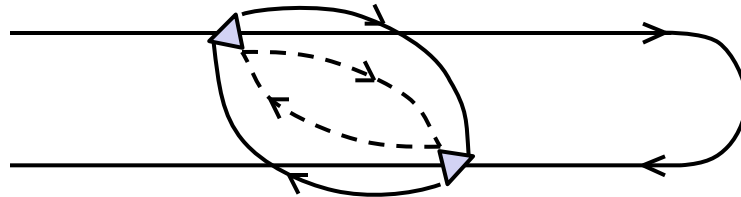
# Current vertex

$$eV/T_K = 10$$



# Computing the noise

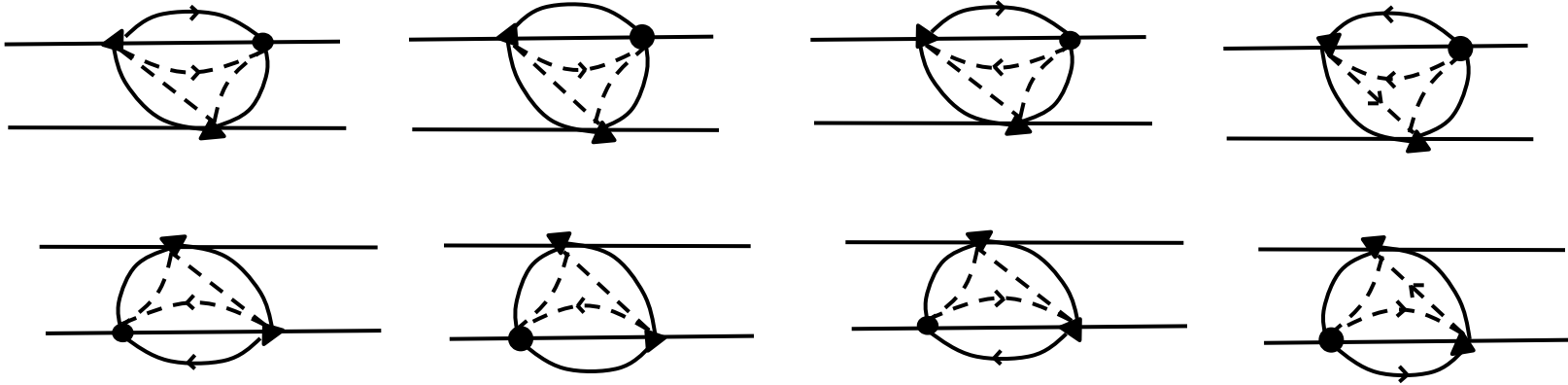
**Functional RG noise formula:**



$$S_{LL}^{>}(\omega) = \frac{e^2}{2} S(S+1) \int \frac{d\tilde{\omega}}{2\pi} \text{Tr}\{ \mathbf{V}^L(\tilde{\omega}_-, \tilde{\omega}_+) \mathbf{G}^{>}(\tilde{\omega}_+) \mathbf{V}^L(\tilde{\omega}_+, \tilde{\omega}_-) \mathbf{G}^{<}(\tilde{\omega}_-) \} .$$

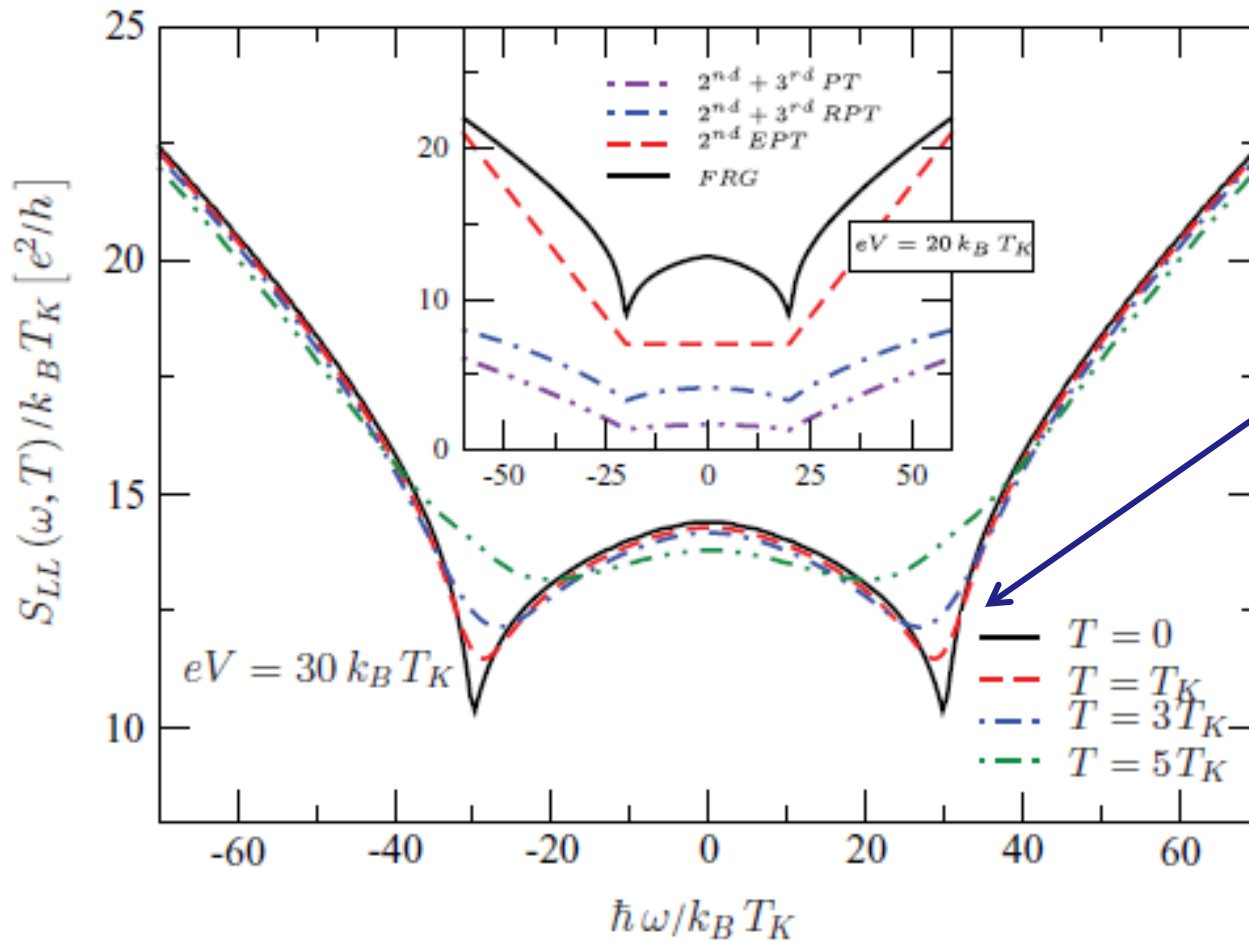
$$\tilde{\omega}_{\pm} = \tilde{\omega} \pm \frac{\varepsilon}{2} \quad G_{\alpha\beta}^{> / <}(\omega) = \pm i 2\pi \delta_{\alpha\beta} f(\pm(\omega - \mu_{\alpha}))$$

# Perturbation theory



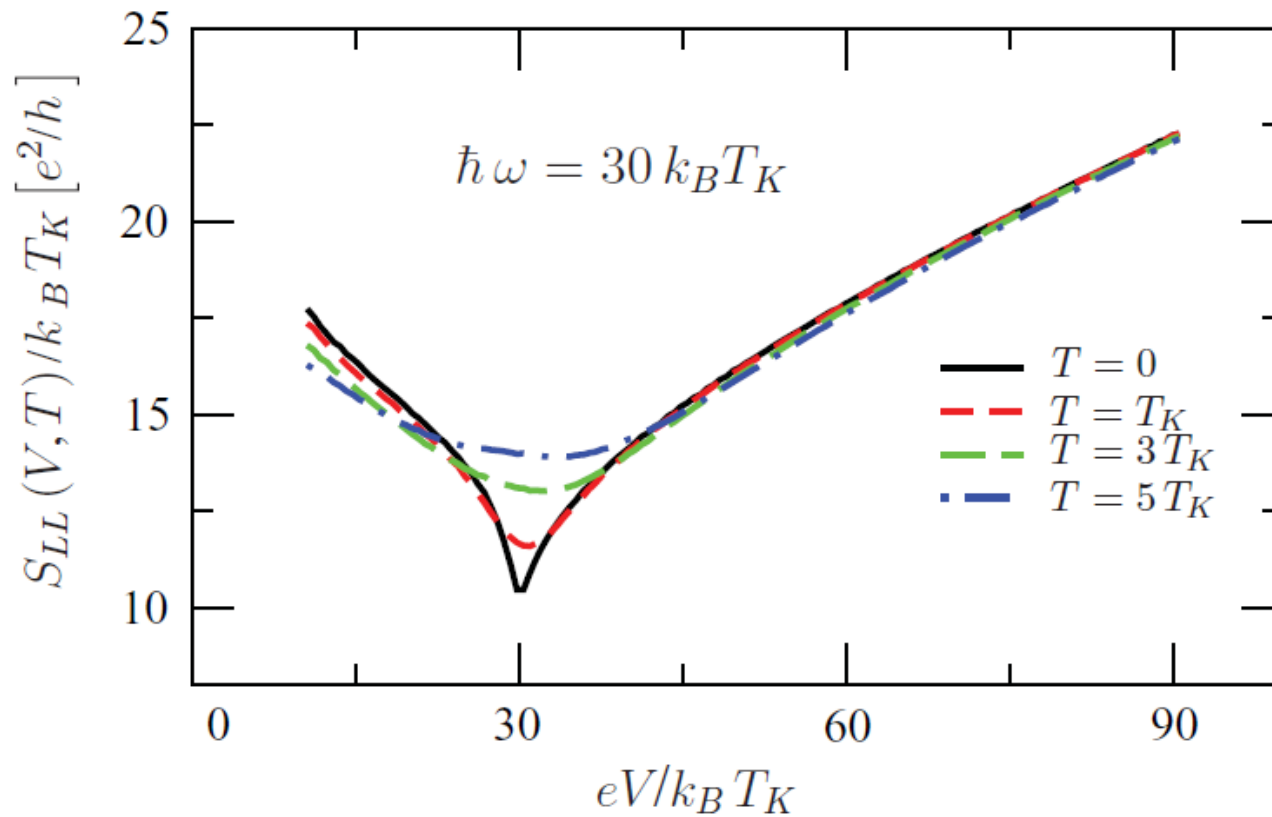
$$\begin{aligned}
 S_{LL}^>(t) = & -e^2 \frac{3}{4} |j_{LR}|^2 \cos(eVt) \left\{ \frac{1}{(t - i a)^2} \right. \\
 & \left. + 2(j_{LL} + j_{RR}) \frac{\ln(1 + i t/a)}{t(t - 2 i a)} + \dots \right\}
 \end{aligned}$$

# Symmetrized noise spectra

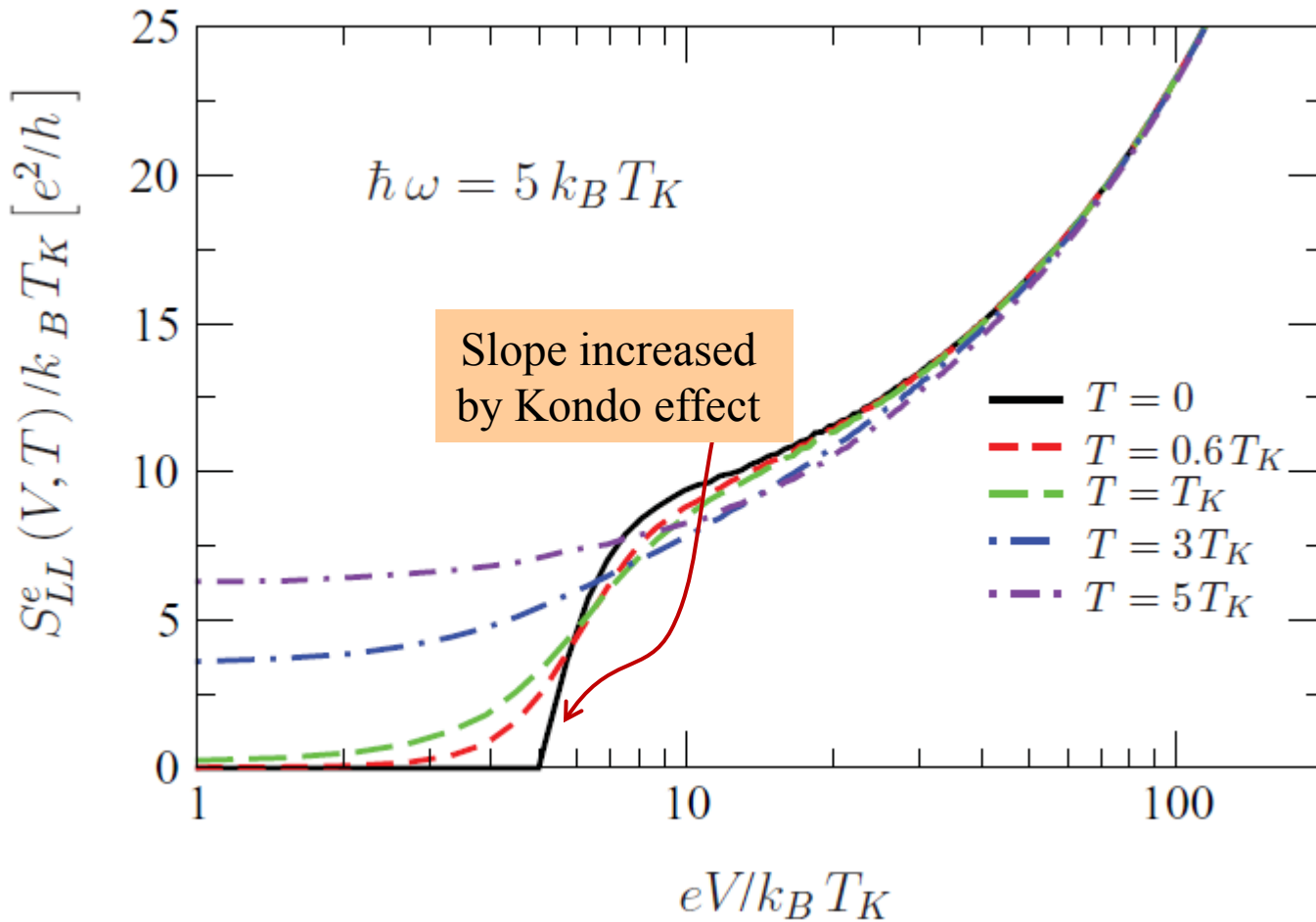


**Non-equilibrium  
Kondo effect**

# Noise spectra at fixed frequency



# Emission noise



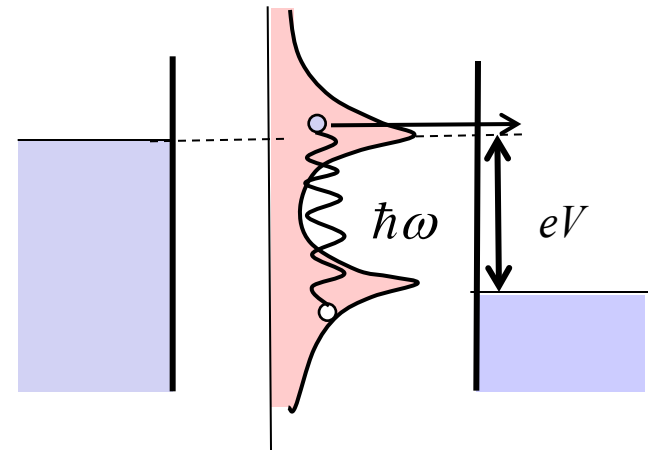
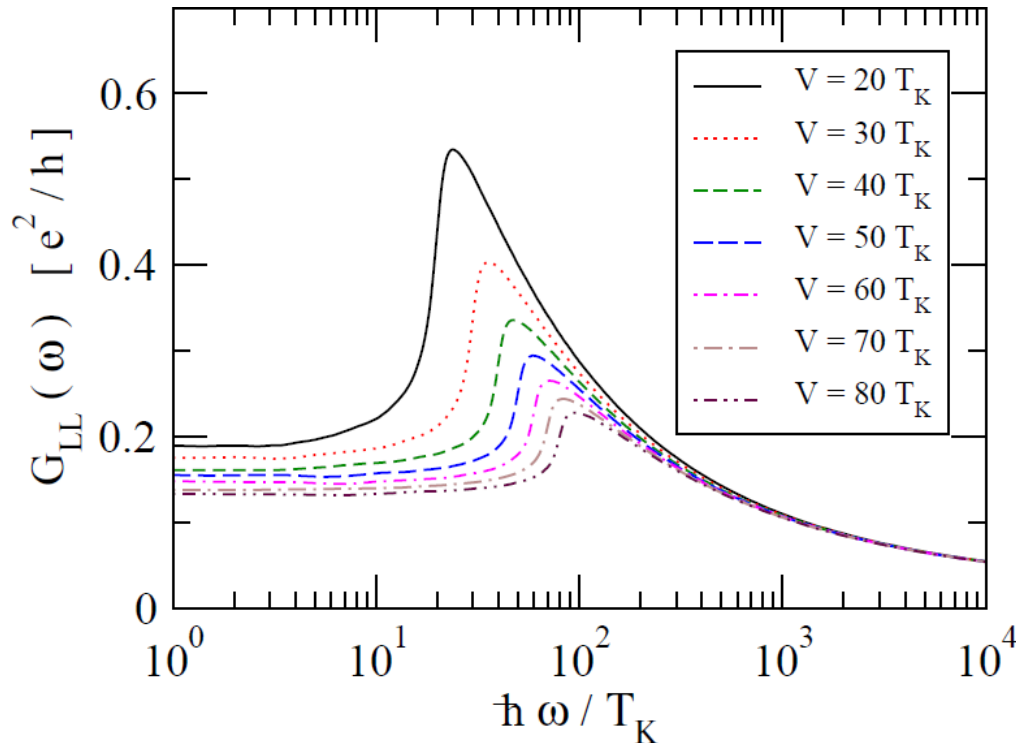
# Non-equilibrium ac linear conductivity

$$V_{SD} = V + \delta V_{\omega} \cos(\omega t) \quad \Rightarrow \quad I(t) = \text{Re } G(\omega, V) \delta V_{\omega} \cos(\omega t) + \dots$$

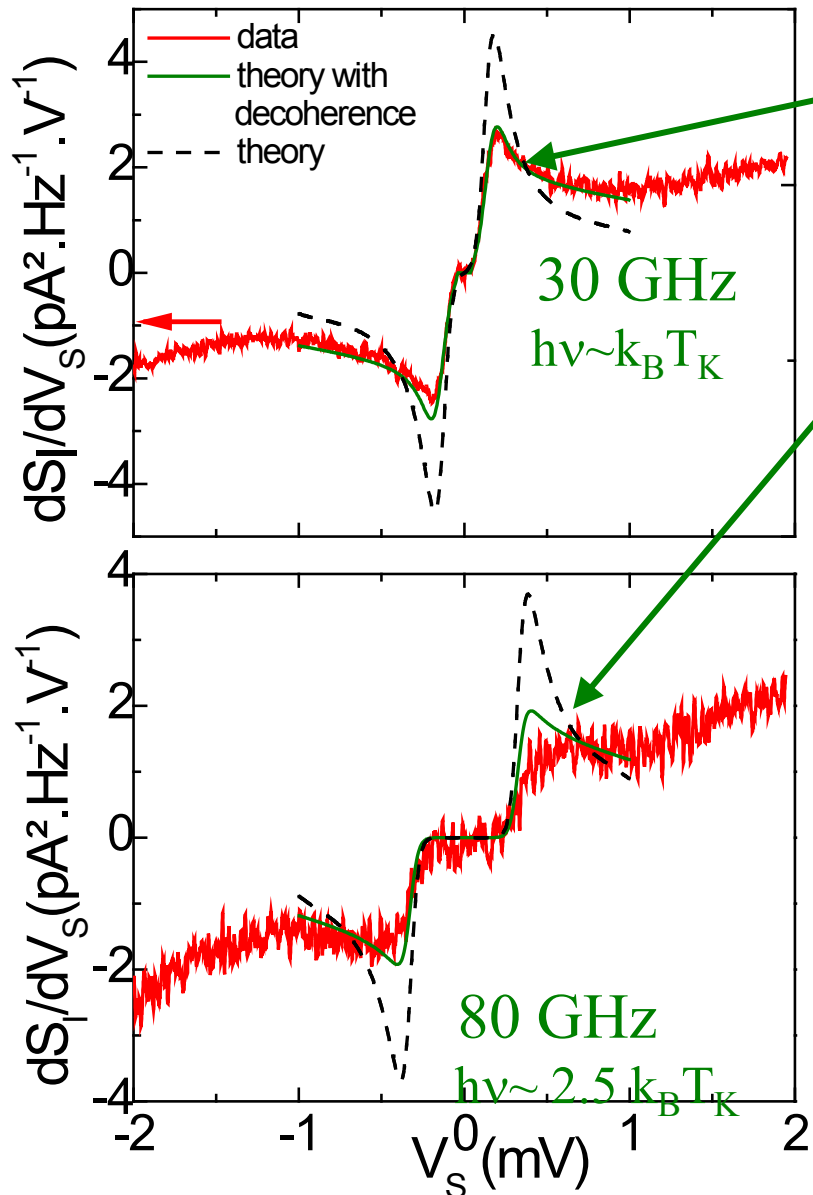
Non-equilibrium ac-conductance

$$G_{LL}(\omega, V) = \frac{1}{\hbar\omega} (S_{LL}^{>}(\omega) - S_{LL}^{<}(\omega))$$

Safi 09'



# Comparison with the experimental data



Fits OK using a single bias dependent spin decoherence rate function

# Conclusions

- Real time functional RG formalism
  - ⇒ simple and transparent derivation of equations of Rosch et al.
  - ⇒ Current-conserving scheme
- RG equations for current vertex
- $S(\omega, V)$  shows strong anomalies at  $\omega = \pm eV$
- simple perturbation theory or RG is insufficient
- $G(\omega, V)$  shows split Kondo resonance
- Good agreement with experimental data

## In progress

- magnetic fields...
- More complicated systems

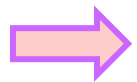




# Path integral on the Keldysh contour II

Leads:

$\mathcal{S}_{\text{lead}}$  Quadratic,



correlation function is enough to know

$$\langle \psi_{\alpha\sigma}^{\kappa}(t) \bar{\psi}_{\alpha'\sigma'}^{\kappa'}(0) \rangle_{\mathcal{S}_{\text{lead}}} = i e^{-i\mu_{\alpha} t} \delta_{\alpha\alpha'} \delta_{\sigma\sigma'} \begin{pmatrix} \frac{-1}{t - i a \operatorname{sgn} t} & \frac{-1}{t + i a} \\ \frac{-1}{t - i a} & \frac{-1}{t + i a \operatorname{sgn} t} \end{pmatrix}$$

Same holds for pseudofermions....