



Formation, self-sustainment and control of transport barriers in tokamaks

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Thanks to: Y. Baranov, M. Becoulet, P. Beyer, S. Benkadda,
C. Bourdelle, C. Figarella, P. Ghendrih, F. Imbeaux,
E. Joffrin, X. Litaudon, D. Moreau, V. Parail, Y. Sarazin



Why Transport Barriers?

- Thermonuclear fusion:

Lawson criterion → Good confinement → Reduced turbulent transport.

- Confinement improvement is a key issue . Transport barriers provide an attractive solution.
- Need to control position and height (MHD stability).
- Relaxation oscillations appear in edge transport barriers → constraint on plasma facing components.



Strategy to control transport barriers and relaxation oscillations

- Facts:
 - main instabilities are driven by the **pressure gradient**.
 - **shear flow** stabilisation plays a central role, but is not the unique ingredient.
 - **shear of magnetic field** is also a key ingredient.
- Internal Transport Barriers : strategy is to control turbulent transport via **shear flow and/or magnetic shear**.
- Relaxations oscillations (and MHD): strategy is to **keep the pressure away from stability limit**.

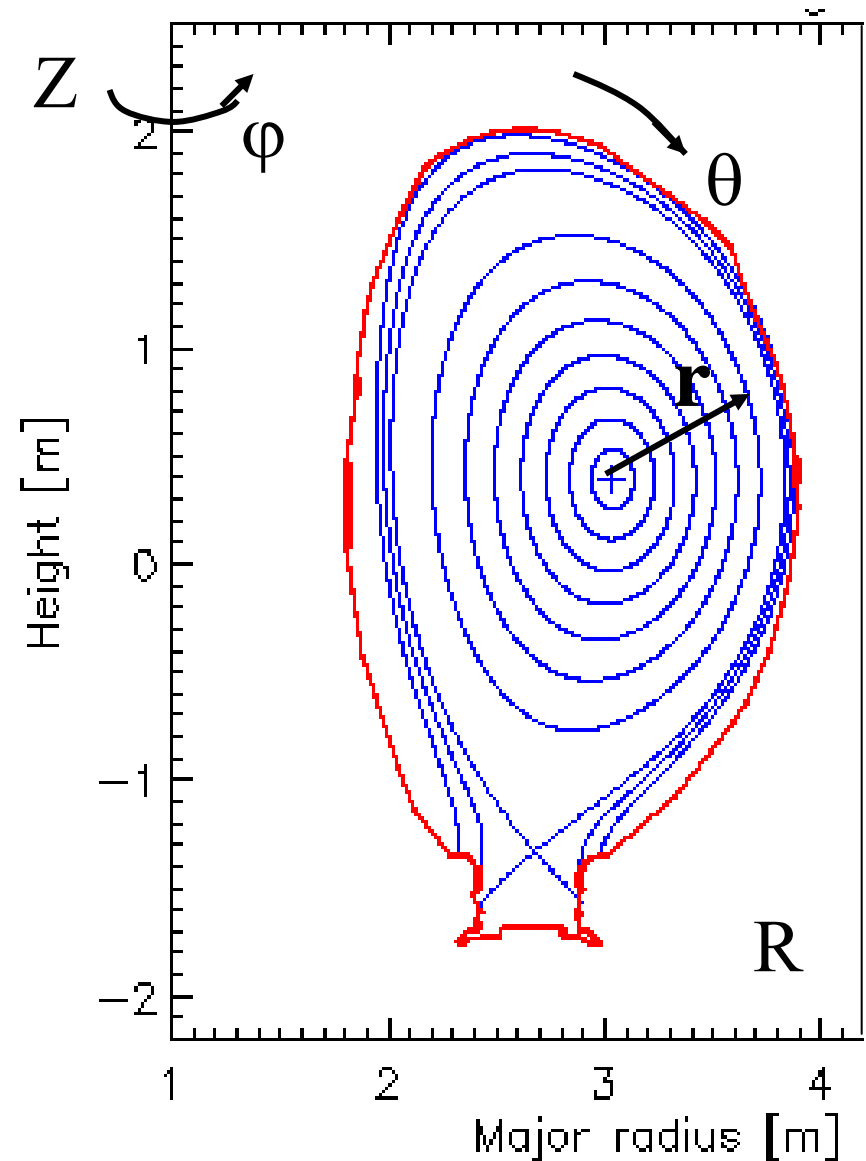
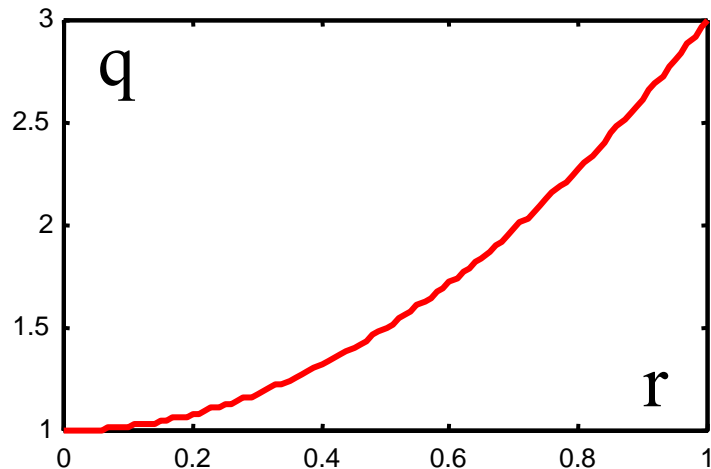


Outline

- Introduction to turbulent transport in tokamaks
- Physics of transport barriers:
 - edge transport barriers and relaxation oscillations.
 - internal transport barriers.
- Examples of control
 - internal transport barriers
 - relaxation oscillations (ELM's) in edge transport barriers.

Geometry

- Field lines generate magnetic surfaces.
- Along a field line $q(r)=d\phi/d\theta$
- Density and temperature are constant on magnetic surfaces.

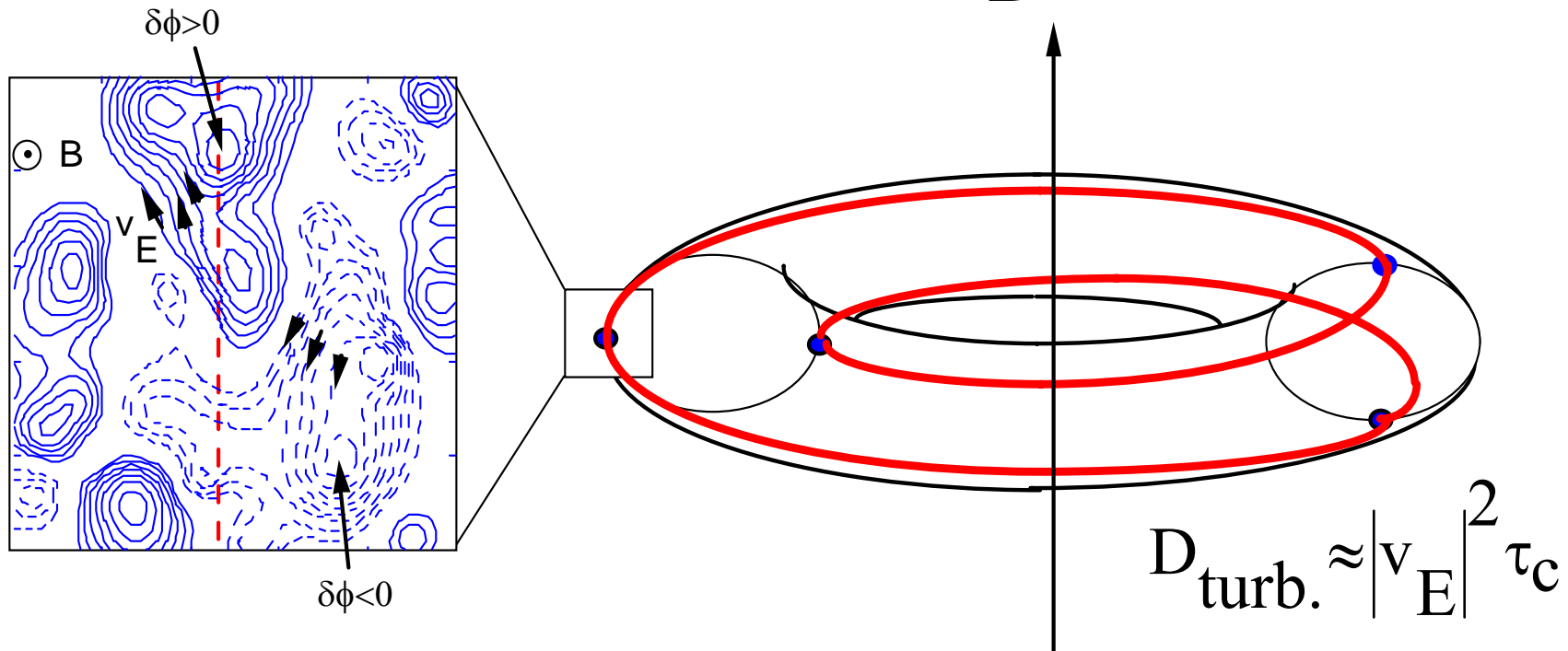


Turbulent Transport

Key ingredients:

- Fast motion along the field lines
- Perpendicular $E \times B$ Drift

$$\mathbf{v}_E = \frac{\mathbf{B} \times \nabla \phi}{B^2}$$

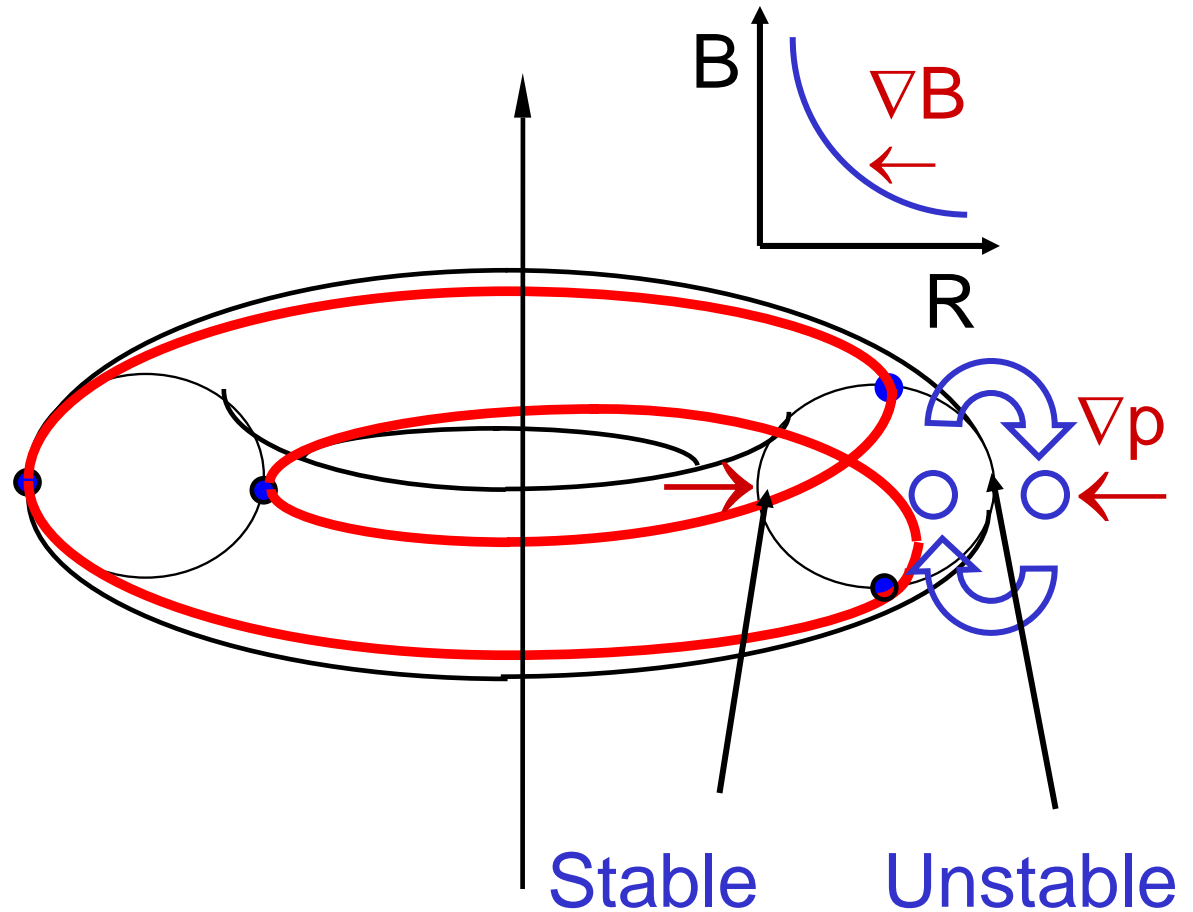


Main instabilities are interchange modes

- Exchange of two flux tubes is energetically favourable if

$$(v_E \cdot \nabla B)(v_E \cdot \nabla p) > 0$$

- Stable and unstable regions are connected by field lines.



Models of turbulence in tokamaks

- A key ingredient in tokamak turbulence is the **interchange instability**

$$d_t \nabla_{\perp}^2 \phi = -(\mathbf{b} \cdot \nabla)^2 \phi + \mathbf{V}_g \cdot \nabla p + \nu \nabla_{\perp}^4 \phi$$

$$d_t p = \chi_{//} (\mathbf{b} \cdot \nabla)^2 p + \chi_{\perp} \nabla_{\perp}^2 p + S$$

$$d_t = \partial_t + \mathbf{v}_E \cdot \nabla \quad ; \quad \mathbf{v}_E = \mathbf{B} \times \nabla \phi / B^2$$

- Similarities with **thermo-convection** and Rayleigh-Taylor instability

$$d_t \nabla_{\perp}^2 \phi = \mathbf{V}_g \cdot \nabla T + \nu \nabla_{\perp}^4 \phi$$

$$d_t T = \chi_{\perp} \nabla_{\perp}^2 T + S$$

- The actual plasma response is more complex.

Fluctuations of ExB drift velocity produce turbulent transport

- ExB drift

$$\mathbf{v}_E = \frac{\mathbf{B} \times \nabla \phi}{B^2}$$

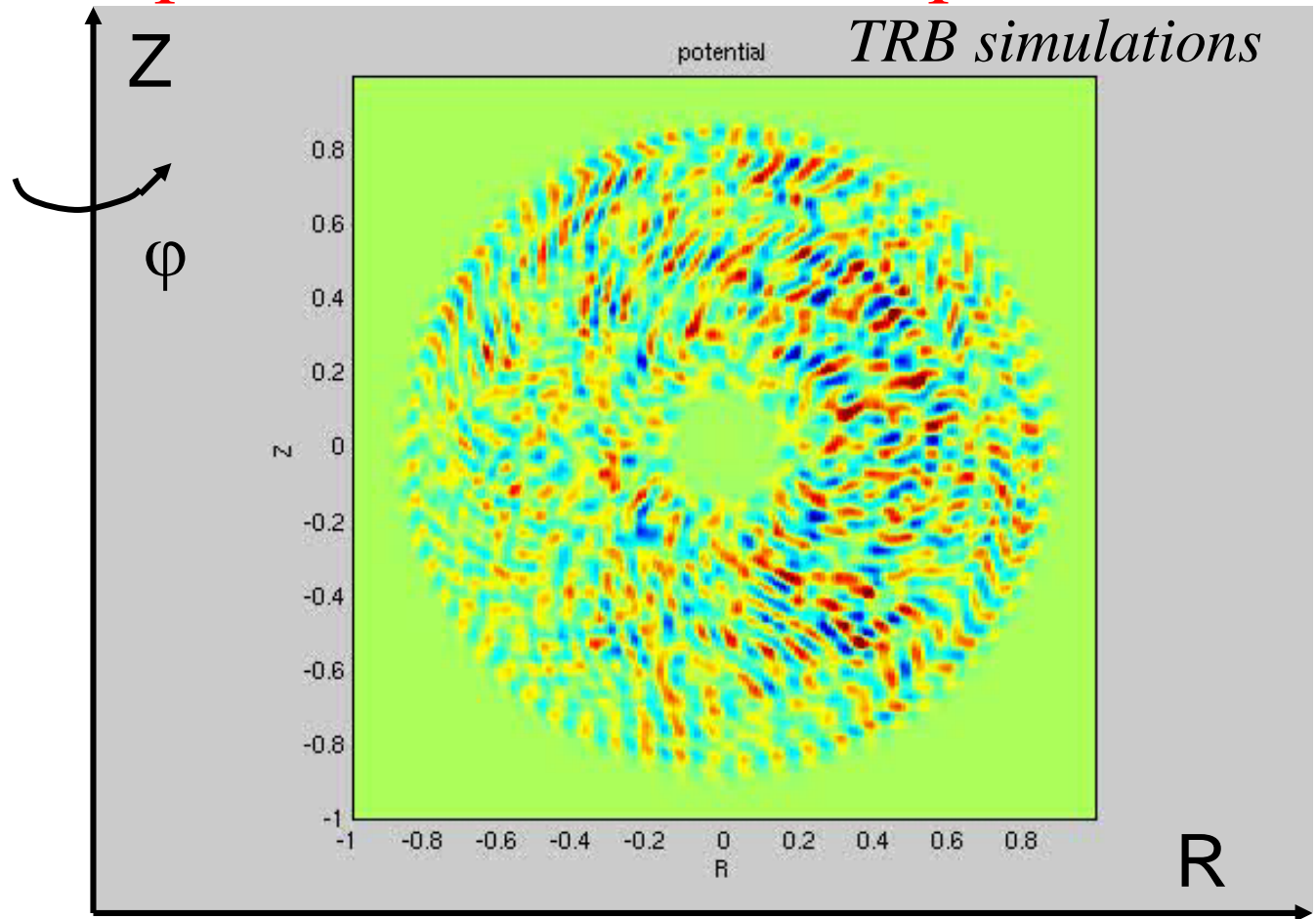
- Turbulent diffusion

$$D_{\text{turb}} \propto |\mathbf{v}_E|^2 \tau_c$$

$$\propto L_c^2 / \tau_c$$

- Turbulent flux

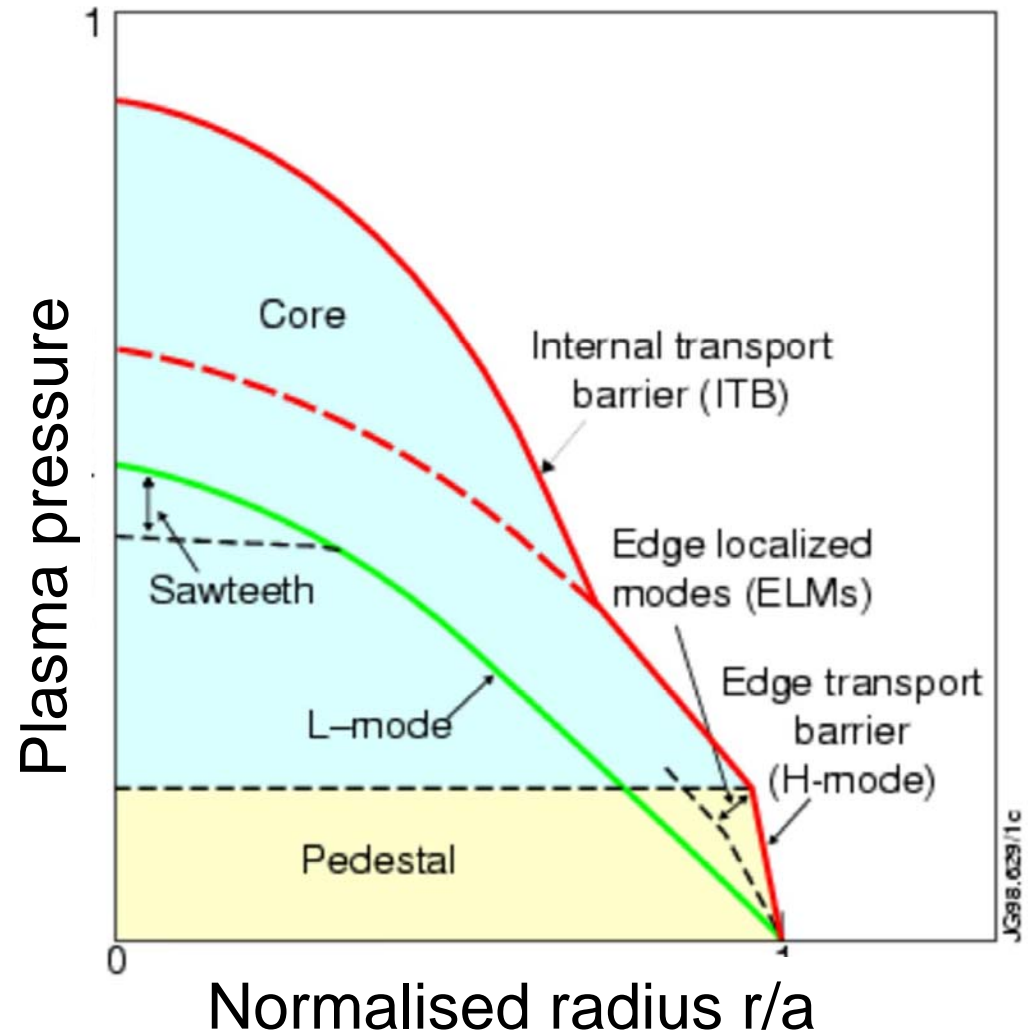
$$\phi_E = \frac{3}{2} \langle \mathbf{p} \mathbf{v}_E \rangle$$



Contour lines of electric potential ϕ .

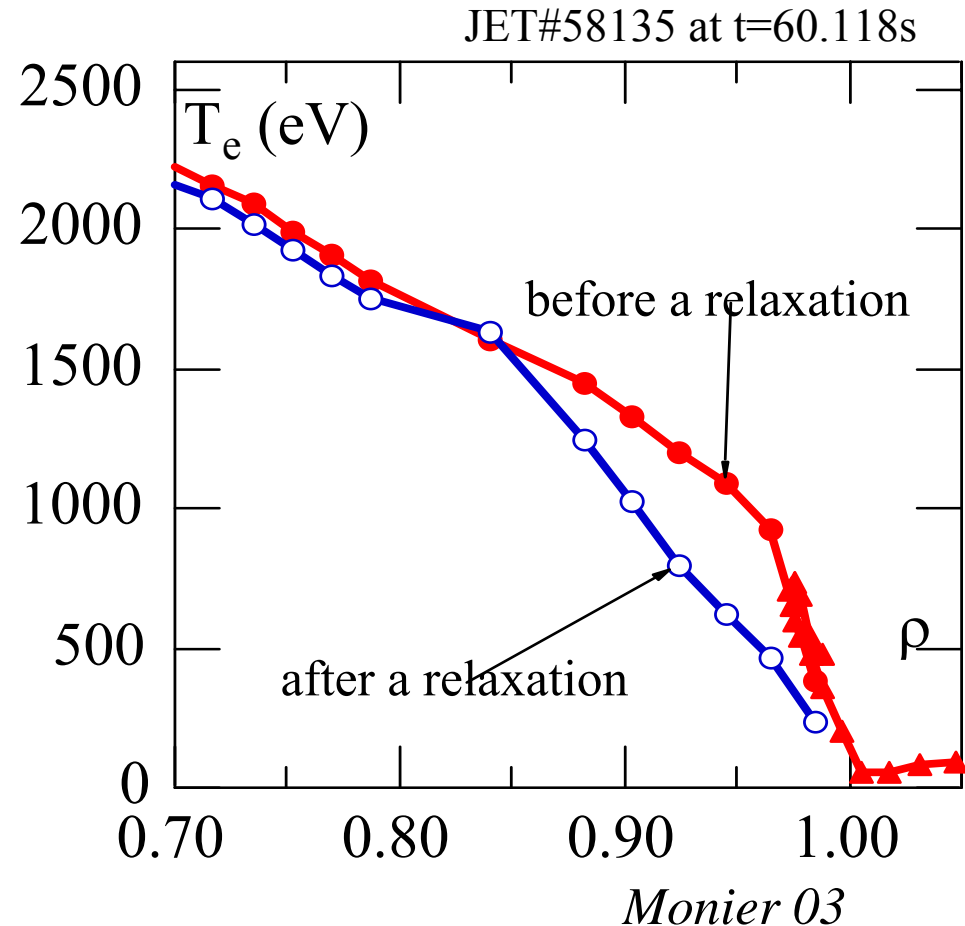
Several “regimes” in a tokamak plasma

- **L-mode**: basic plasma, turbulence everywhere.
- **H-mode**: low turbulent transport in the edge, formation of a pedestal.
- **Internal Transport Barrier** low turbulent transport in the core, steep profiles.

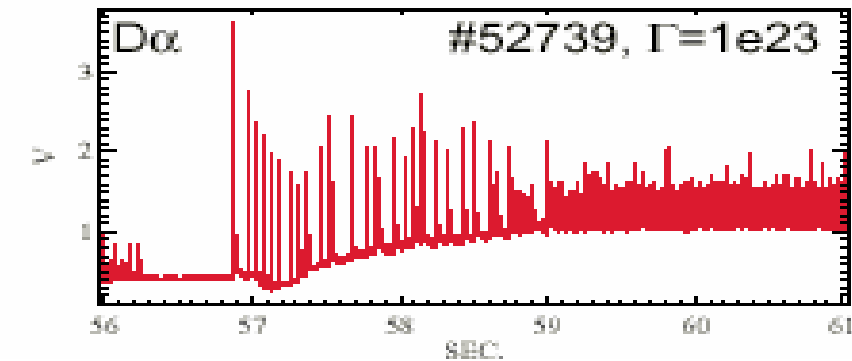
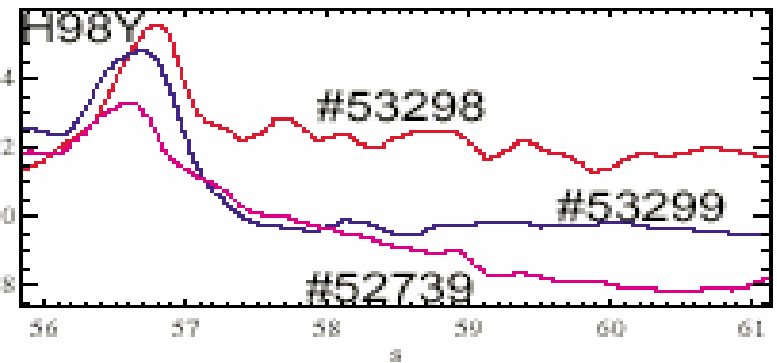
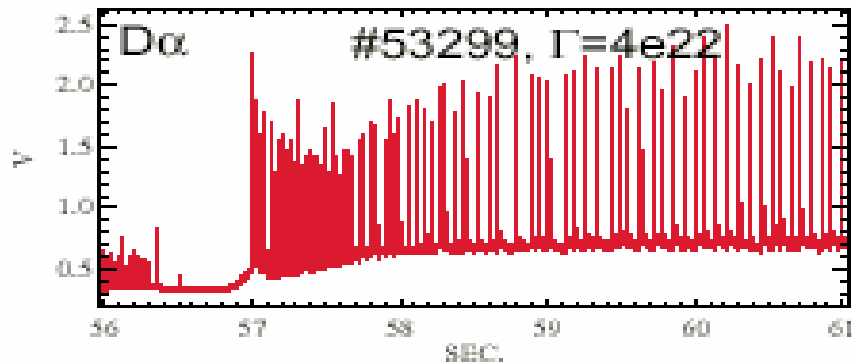
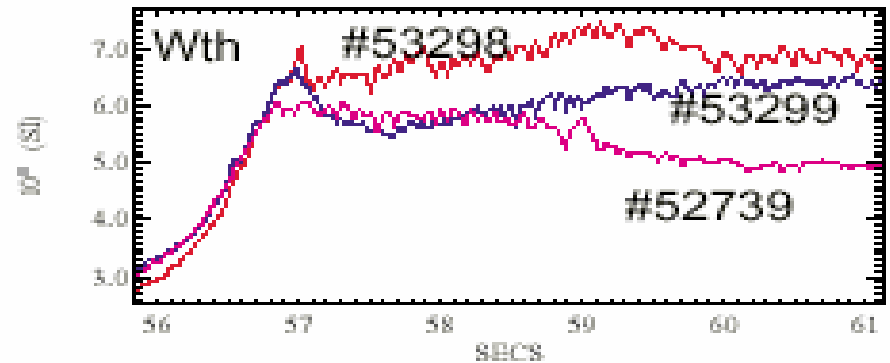
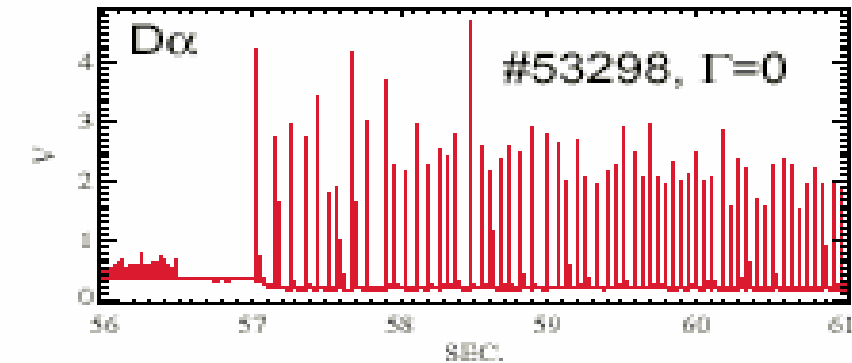


Oscillations relaxations ("Edge Localised Modes") appear in H-mode plasmas

- **H-mode** : transport barrier in the edge due to a shear flow.
- **ELM: relaxation oscillations.**
- **Complex temporal behaviour.**



ELM's dynamics is crucial

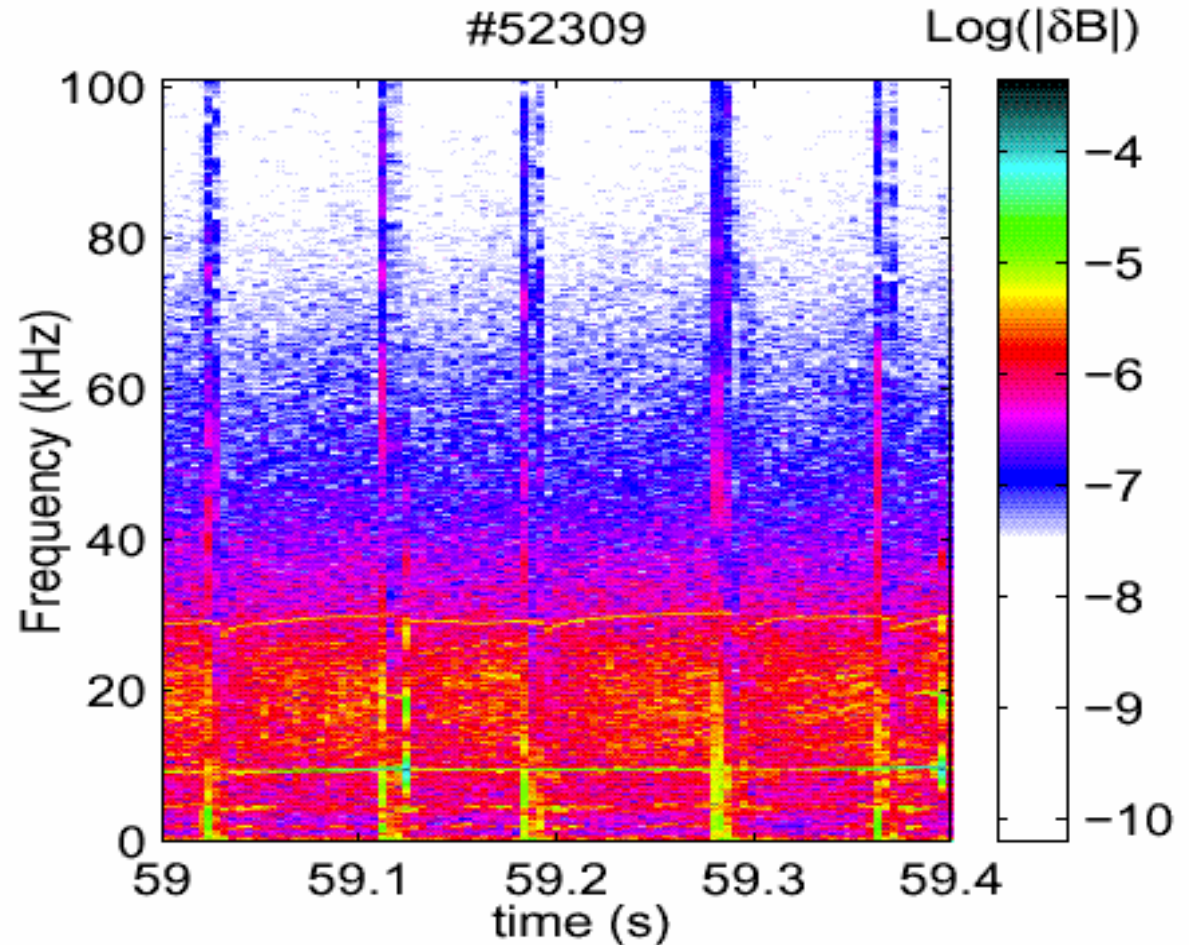


- Energy content depends on the type of ELM's.

Parail 02

ELM's are associated to an MHD instability

- Underlying electromagnetic mode.
- Relaxation= mode growth+transport event.
- Crash time $\approx 100\mu\text{s}$
- Recovery time= diffusion time.



G. Saibene 03

ELM's live close to an MHD limit

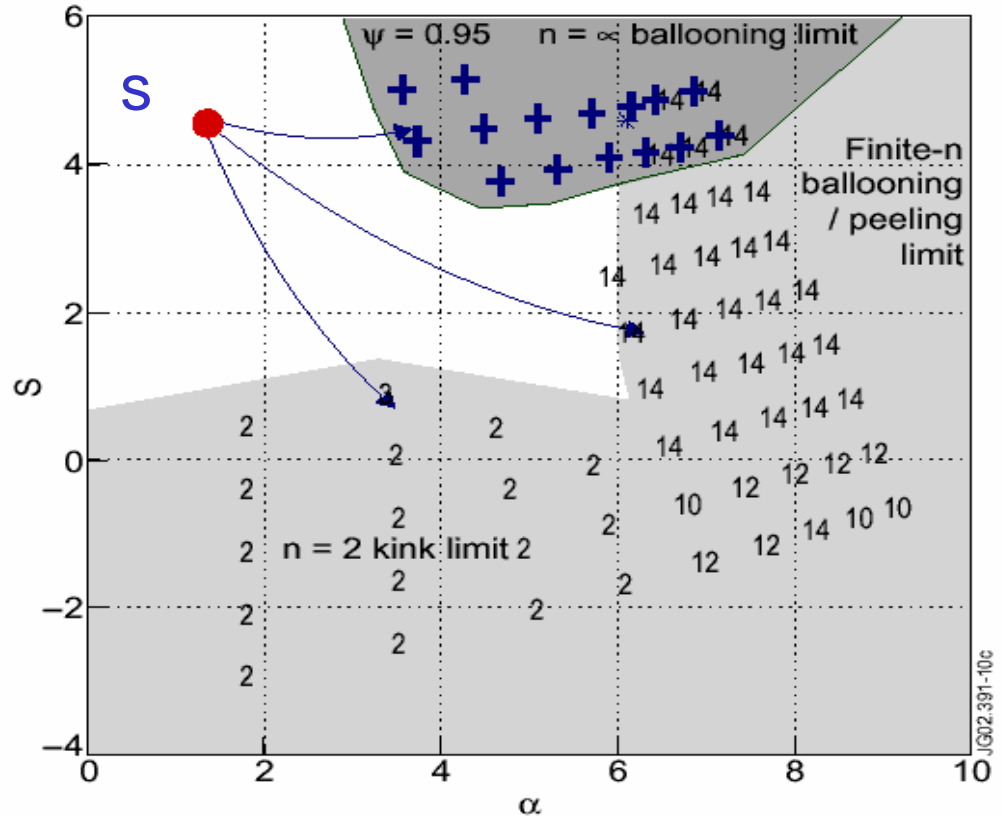
- Pressure (ballooning) and current (kink) driven modes.

- Stability domain pressure gradient vs magnetic shear

$$\alpha = -q^2 R d\beta/dr$$

vs magnetic shear

$$s = rdq/qdr.$$



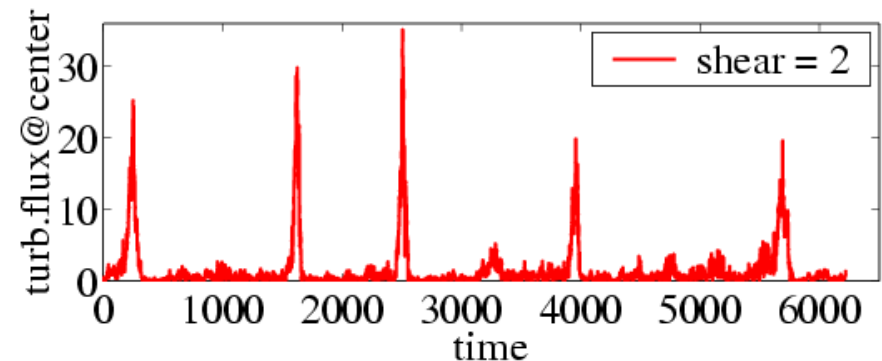
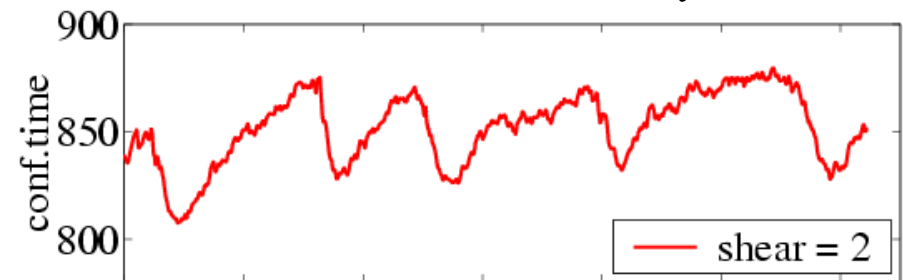
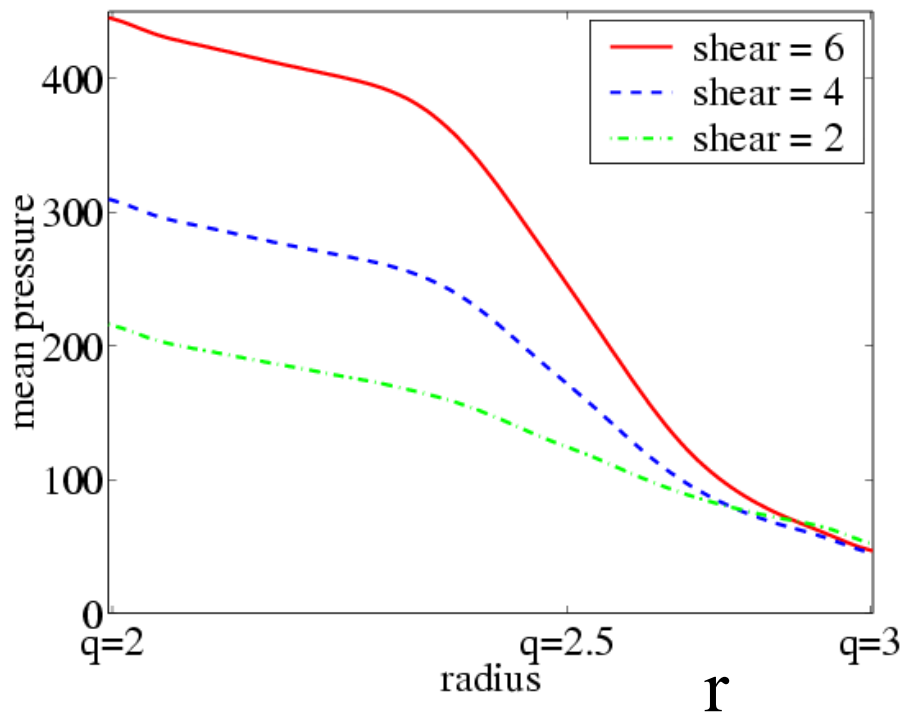
Wilson 01, Snyder 02, Parail 02, G. Huysmans 02

Lonroth/Parail 03

Barrier Relaxations appear in simulations for a large enough velocity shear

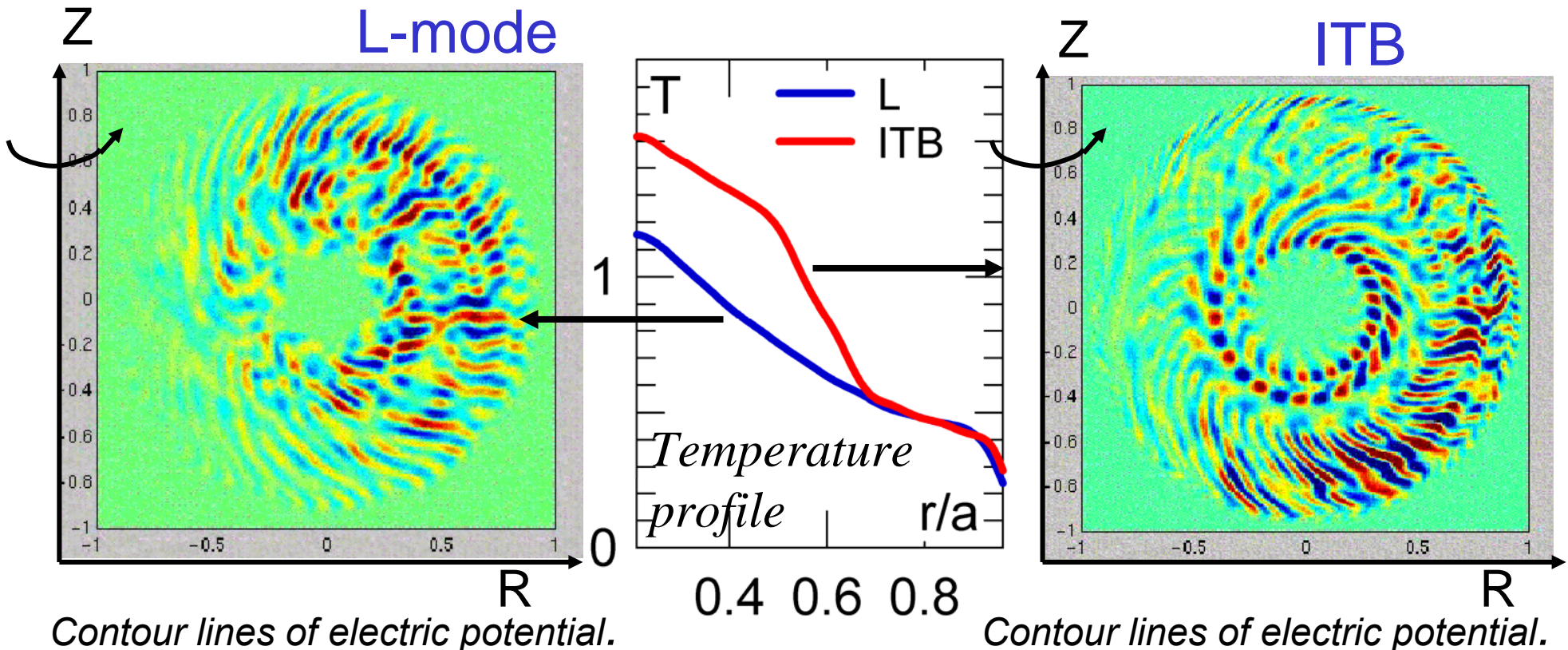
- Similarities with relaxations in edge barriers
- Link with actual ELM's is unclear yet.

P. Beyer



Physics of Transport Barriers

- Transport barriers are layers of plasma where turbulent transport is reduced.
- Requires a minimum amount of power



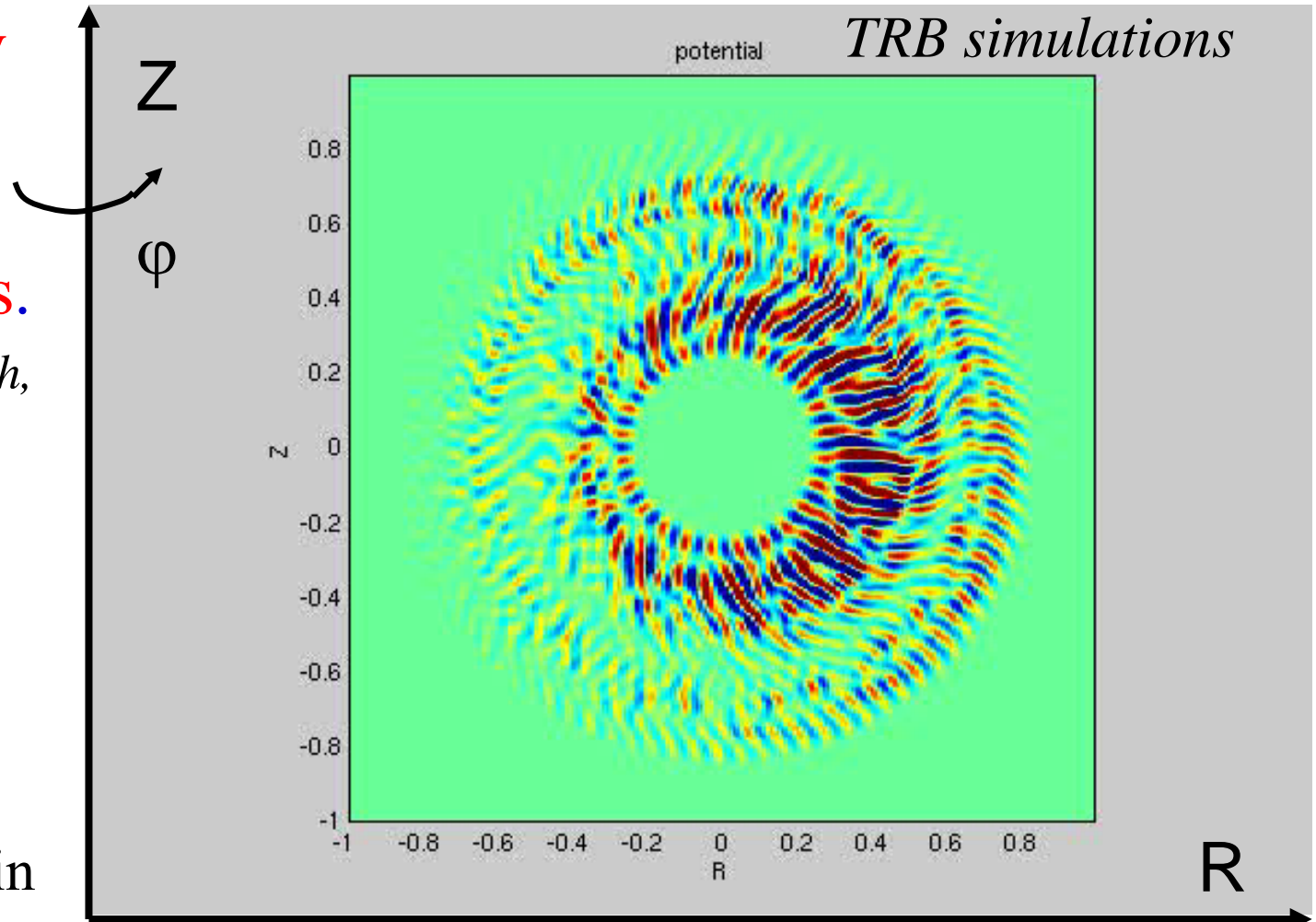
Shear flow is stabilising

- $E \times B$ velocity shear tears apart large scale vortices.

*K.C. Shaing, K&S.Itoh,
K.Burrell,
P.H.Diamond,
R.Waltz, ...*

- Criterion for stabilisation

$$\gamma_E = \frac{dV_E}{dr} > \gamma_{lin}$$



Contour lines of electric potential.

Control of the ExB Drift in a Tokamak

- Force balance equation

$$E_r = \frac{T_i dn_i}{e_i n_i dr} + (1 - k_{neo}) \frac{dT_i}{e_i dr} + V_{Ti} B_p$$

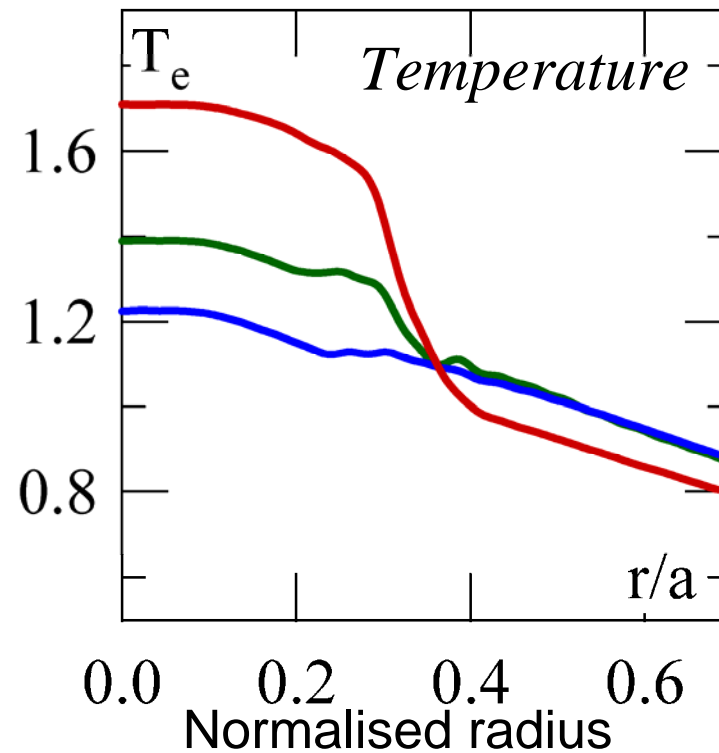
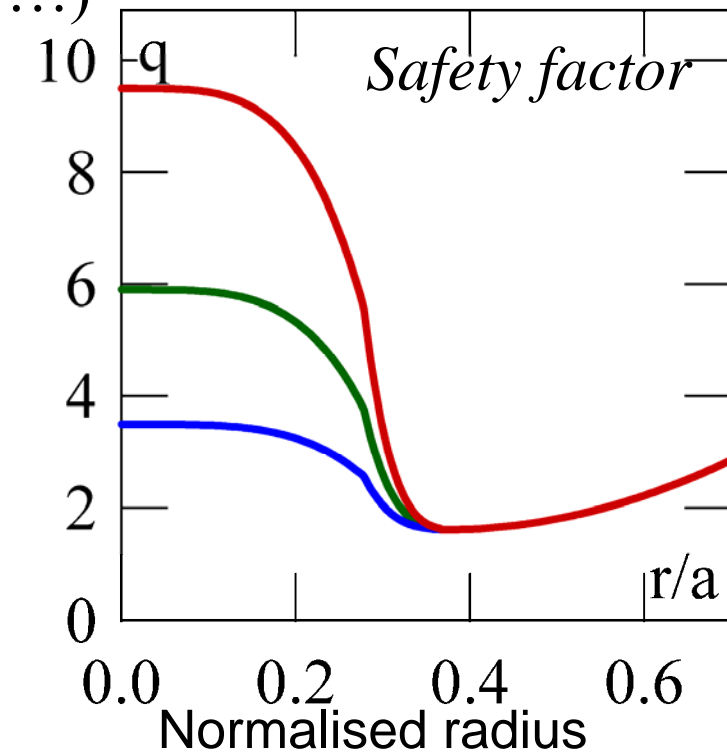
Fuelling
Heating
Toroidal momentum

- Self-generation of mean flow

$$\partial_t V_\theta = -\nabla_r \langle \tilde{V}_{Er} \tilde{V}_{E\theta} \rangle - v_{neo} (V_\theta - V_{eq})$$

Negative magnetic shear is also stabilising

- Turbulence simulations : stabilisation for $s=r\frac{dq}{qdr} < -0.5$
(*Y. Baranov, A. Bottino, R. Budny, ...*)
- Agrees with experiment (TORE SUPRA, TCV, FTU, JET, AUG ...)



*Y. Baranov,
TRB
simulations*

Magnetic shear lowers critical shear flow at transition

*Shear flow rate vs.
magnetic shear
JET- T. Tala/V. Parail*

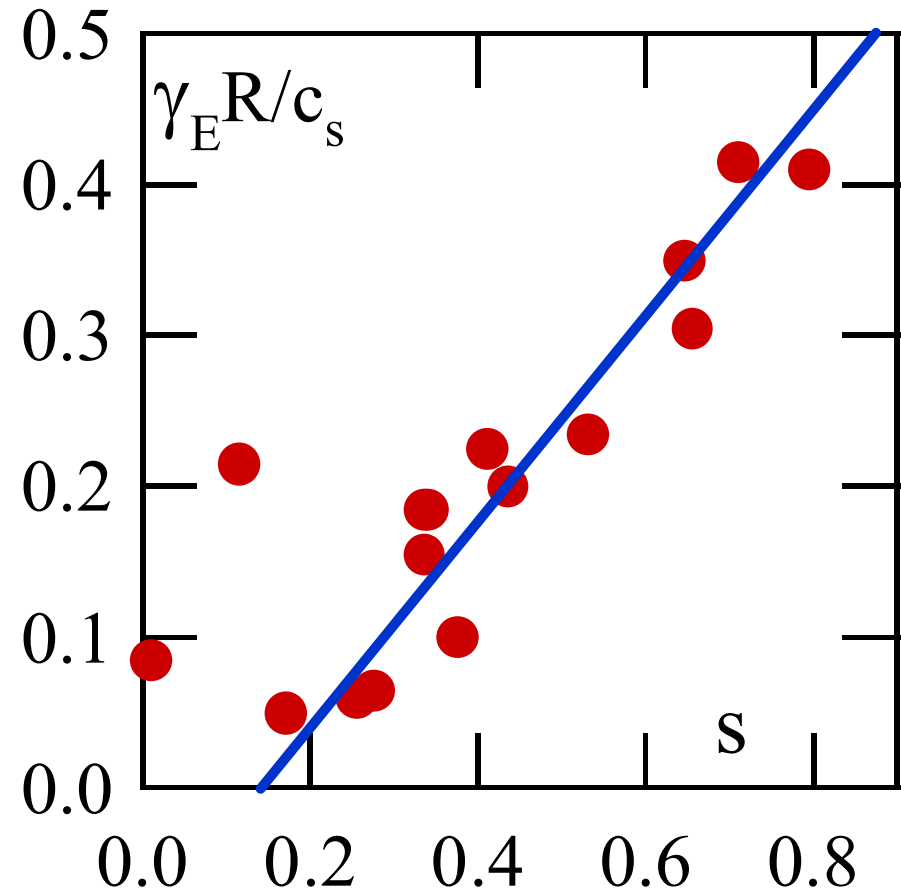
- Force balance
equation

$$n_i e_i (\mathbf{E} + \mathbf{V} \times \mathbf{B}) - \nabla p_i = 0$$

→ in a reactor plasma

$$\gamma_E / \gamma_{lin} \approx \rho_T^* = \rho_s / L_T \ll 1$$

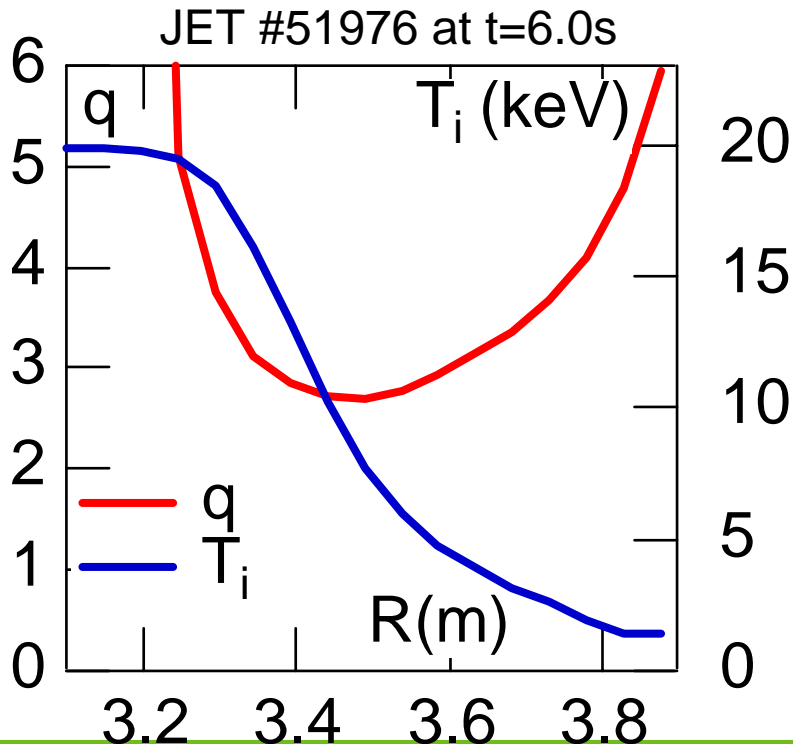
→ adjustment of
magnetic shear s to
lower γ_{lin} .



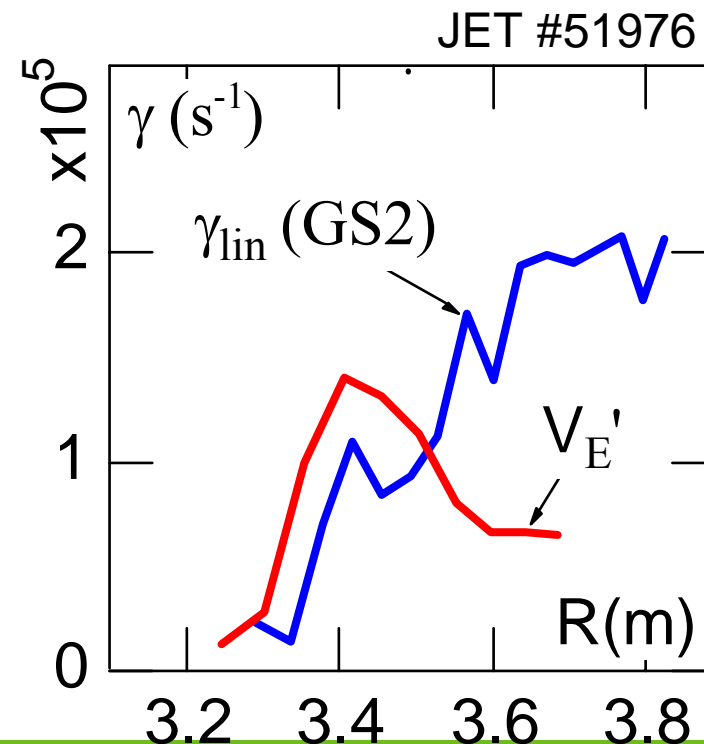
Internal Transport Barriers

- Magnetic shear seems to be the trigger.
- Once the barrier is established, the velocity shear rate exceeds the linear growth rate.

Challis 02

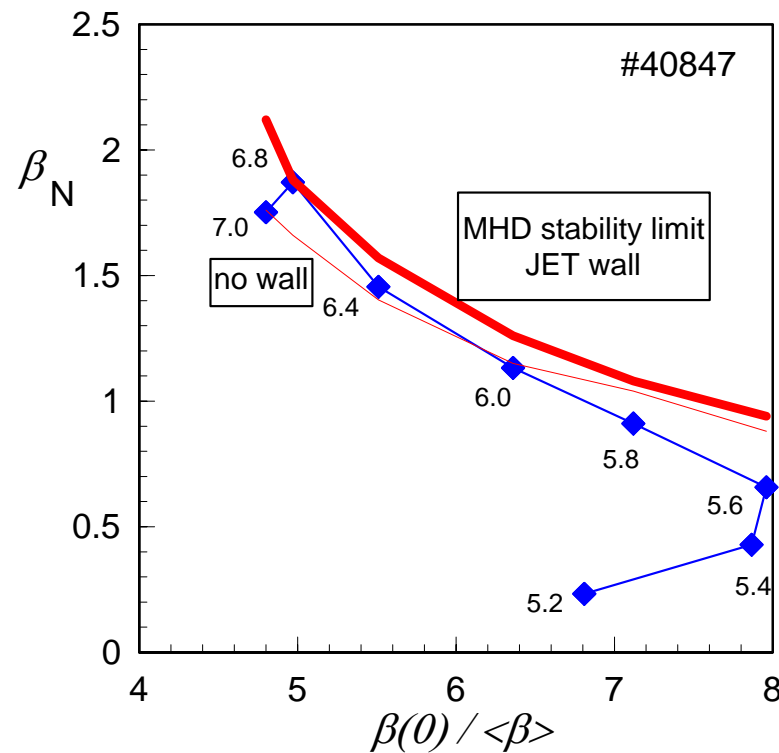
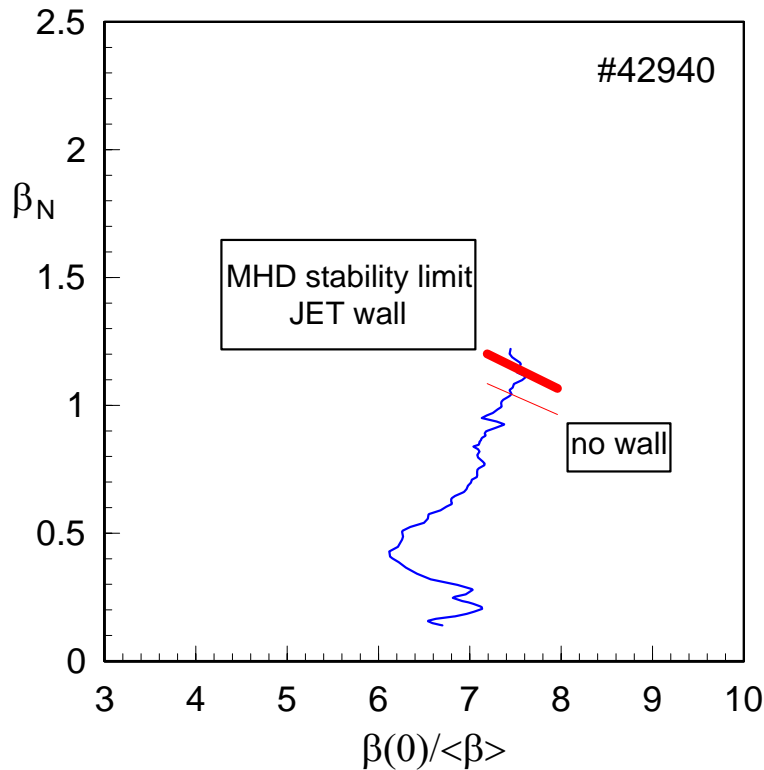


Budny 02



Control of MHD Stability

- Pressure driven MHD mode \rightarrow threshold
- After optimisation.



Huysmans, JET 1999

Real-time control of internal transport barriers via velocity shear and current profile control

- Controlling γ_E and s seems an efficient way to control a transport barrier.
- Current drive provides a way to control $j(r)$ and thus $s \rightarrow$ expensive.
- In principle γ_E can be controlled via torque, but very expensive in a reactor \rightarrow shear flow rate is related to gradient

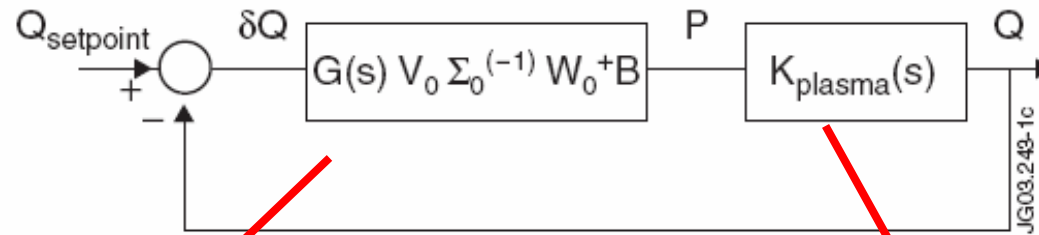
$$\gamma_E / \gamma_{lin} \approx \rho_T^* = \rho_s / L_{Te}$$

\rightarrow Control of q profile and temperature profile (ρ_T^*) with current drive heating power

Means of (global) control in a tokamak

- **q profile** is determined by the poloidal field → current density → controlled by current generation. Done with inductive field + waves (e.g. Lower Hybrid) or particle beams.
- Neutral Beam Injection (NBI) controls **heating (mainly ions) and torque.**
- Ion Cyclotron Resonant Heating (ICRH) controls **heating, mainly on electrons.**
- Pellet injection controls **central fuelling (density)**
- In a reactor :
 - **heating due to alpha particles (not a control parameter)**
 - **fuelling and torque will be negligible.**

Control scheme : proportional+integral feedback



Moreau 03

SVD decomposition

$$K(s) = W(s) \Sigma(s) V^+(s)$$

Applied power levels at time=t

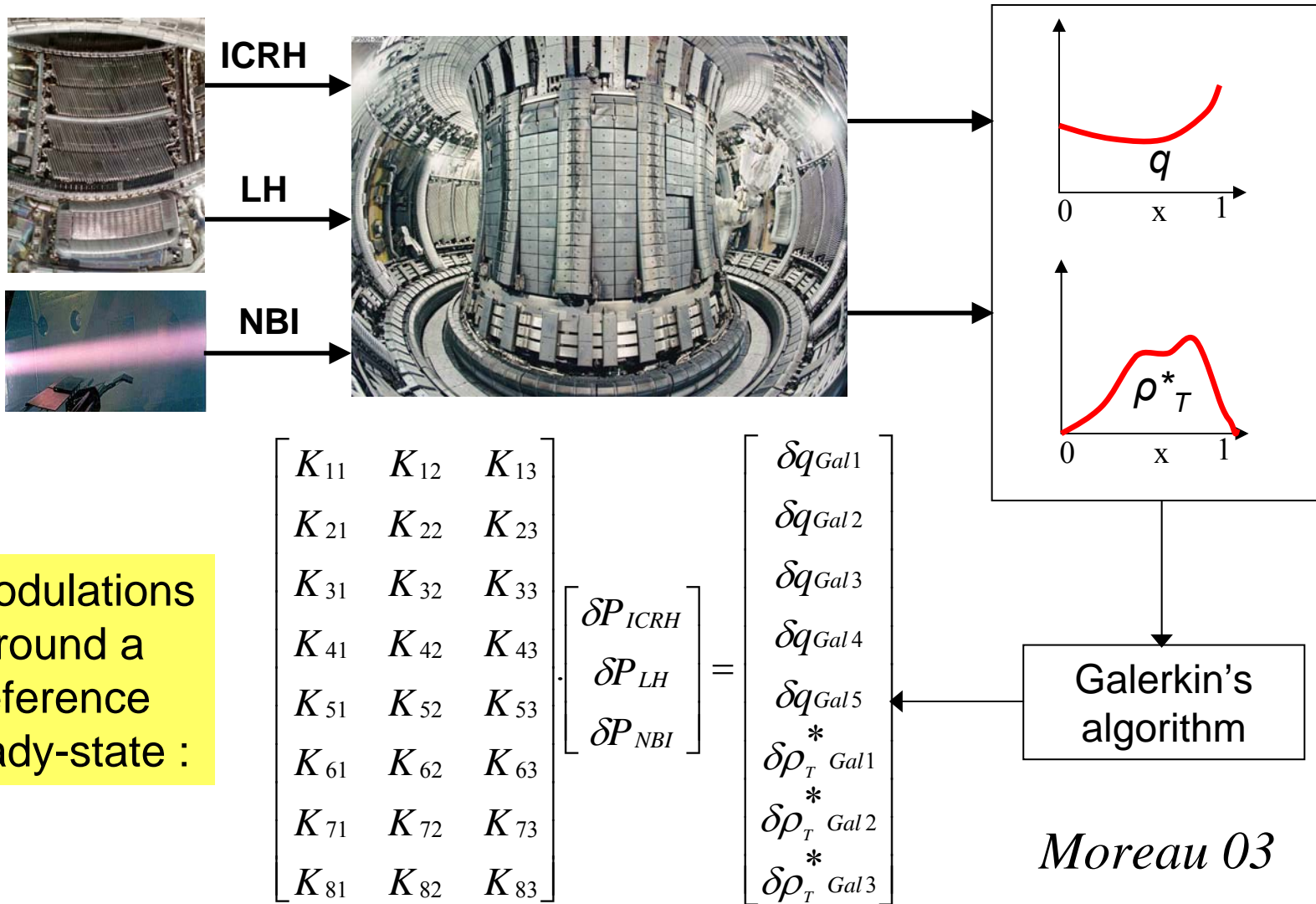
Power levels when control starts

The feedback control matrix

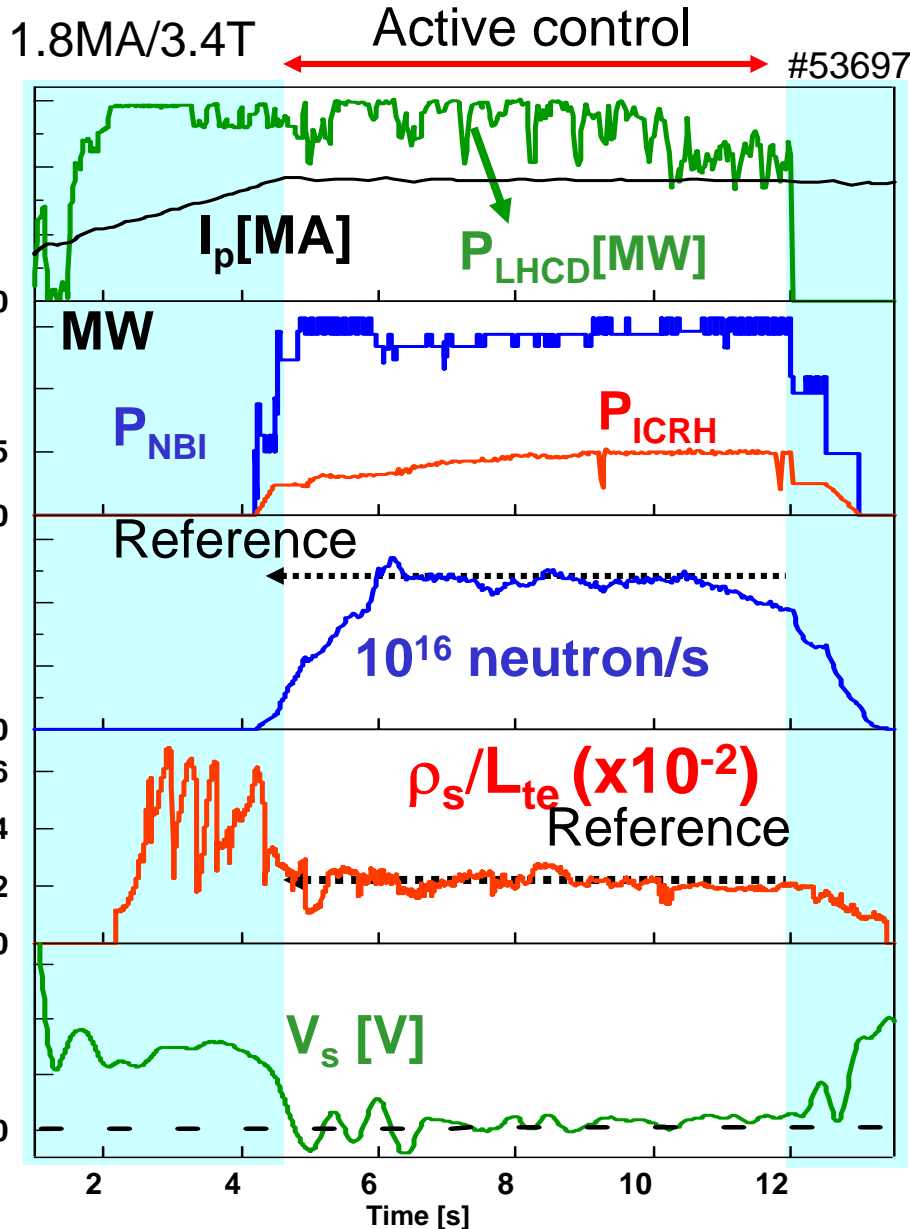
Difference between the target and actual q and ρ_t^*

$$\begin{pmatrix} P_{LH}(t) \\ P_{NB}(t) \\ P_{RF}(t) \end{pmatrix} = \begin{pmatrix} P_{LH}(t_0) \\ P_{NB}(t_0) \\ P_{RF}(t_0) \end{pmatrix} + g_c \left(1 + \frac{1}{\tau_c} \int_{t_0}^t dt \right) \times \begin{pmatrix} q_{1LH} & q_{2LH} \dots & \rho_{tLH}^* \dots \\ q_{1NB} & q_{2NB} \dots & \rho_{tNB}^* \dots \\ q_{1RF} & q_{2RF} \dots & \rho_{tRF}^* \dots \end{pmatrix} \begin{pmatrix} q_{1,setpoint} - q_1(t) \\ q_{2,setpoint} - q_2(t) \\ \dots \\ \rho_{t,setpoint}^* - \rho_t^*(t) \\ \dots \end{pmatrix}$$

Algorithm for controlling current and pressure profiles



3 modulations
around a
reference
steady-state :



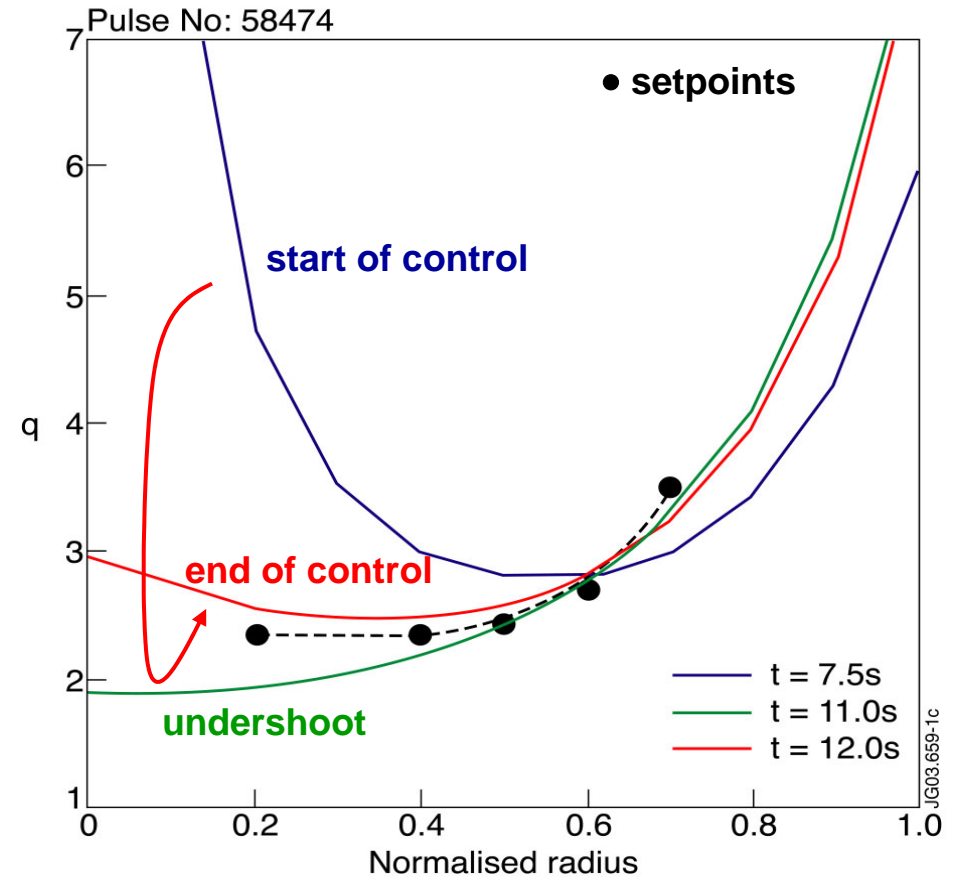
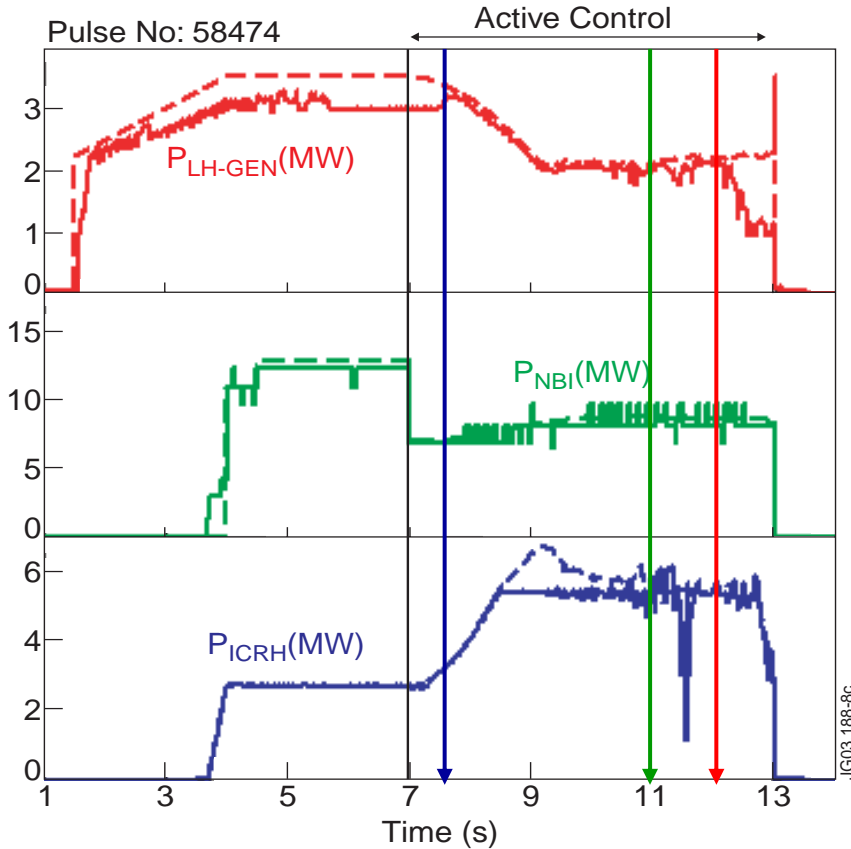
An example of control

- ITB is controlled during 7.5s with $\approx 100\%$ of non-inductive current.
- Neutral beam injection controlled by neutron emission
- Radio-frequency heating controlled by ρ_s/L_{Te} at the barrier location
- Plasmas are more stable with RT control

Mazon 02

First q-profile control in the high power phase

1.8MA / 3.0T $H\alpha\beta_N \sim 2$

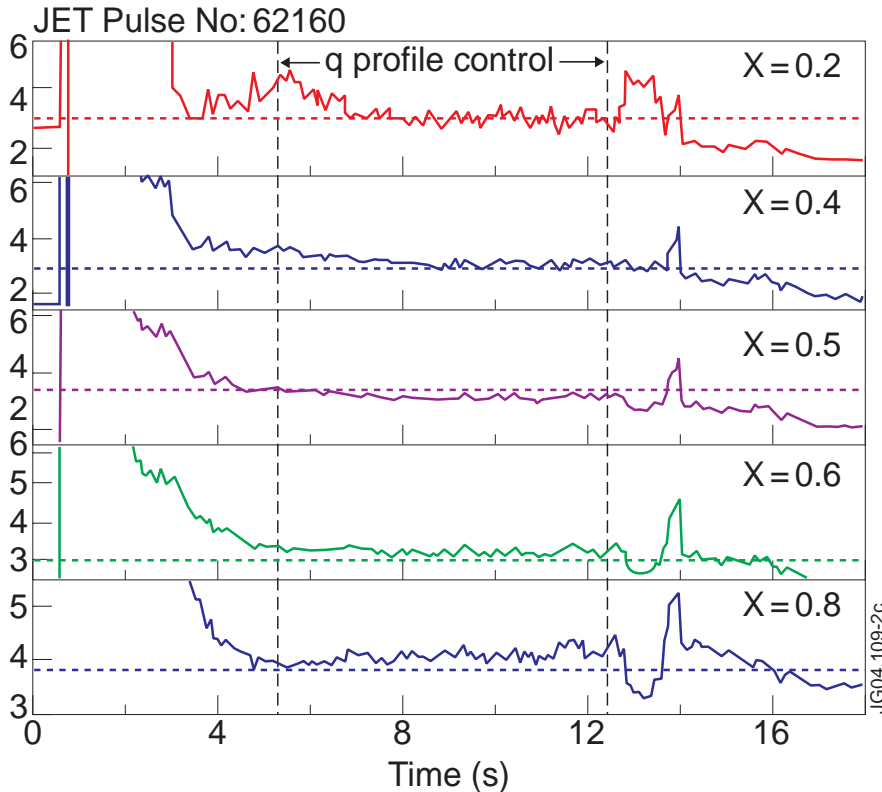


"Model Based SVD control" deduced from open loop experiments

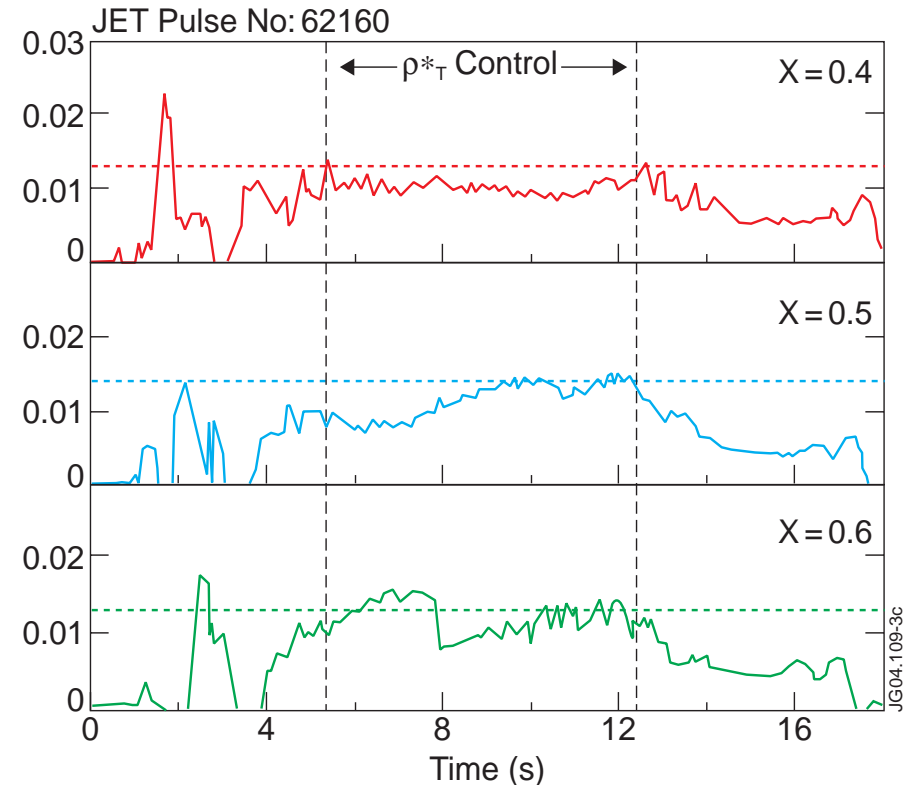
Mazon 04

First simultaneous control of q-profile and ITB strength

q profile control



ρ^*_{Te} control



• $3T/1.7MA H_{89} \times \beta_N \sim 3.4$

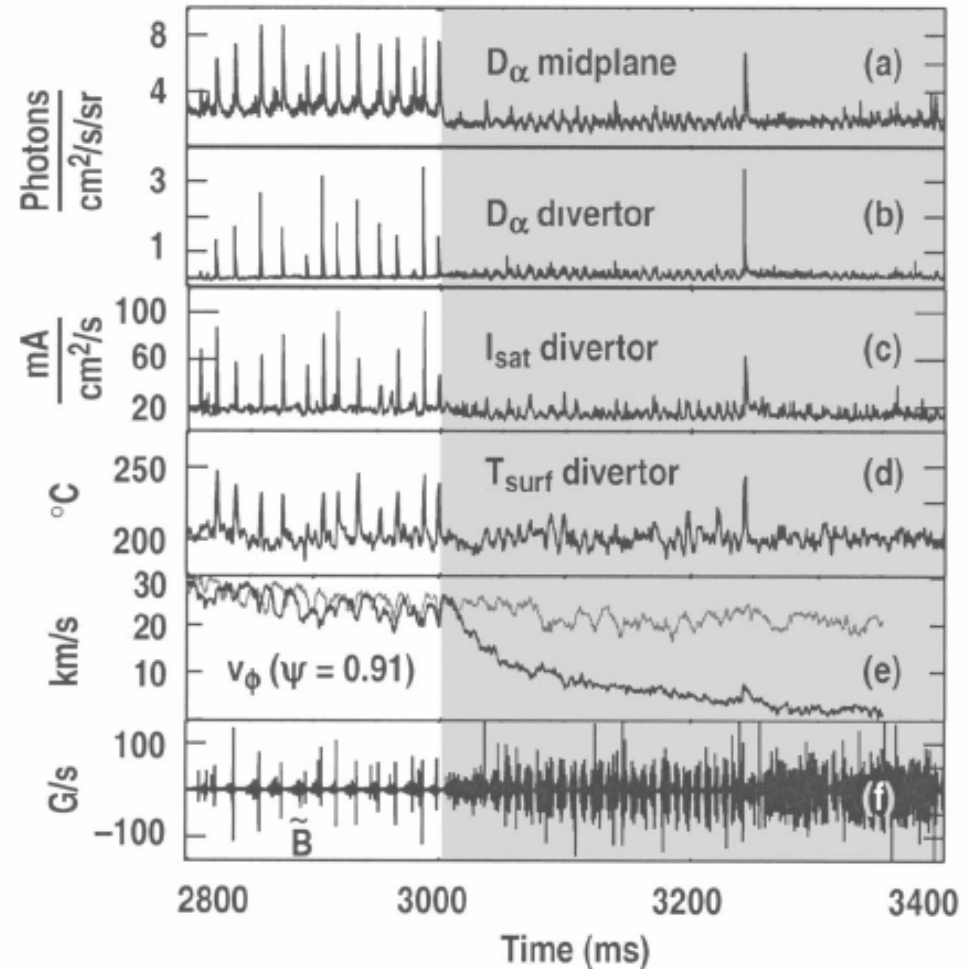
• Control performed either with a monotonic or a non-monotonic q-profile

Control of oscillation relaxations with external coils.

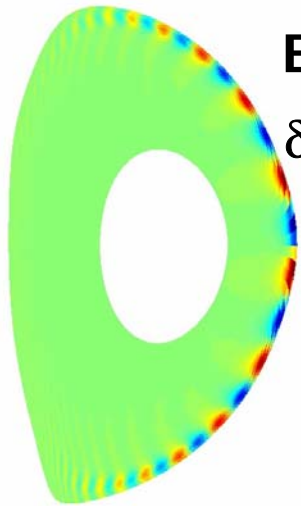
T.E. Evans



- Use of magnetic coils to ergodise field lines at the edge.
- Aim is to decrease the local pressure gradient to avoid crossing the stability threshold.



Control of oscillation relaxations with external coils (cont.) *M. Becoulet 2004*



ELM δB_r ($n=-10$)

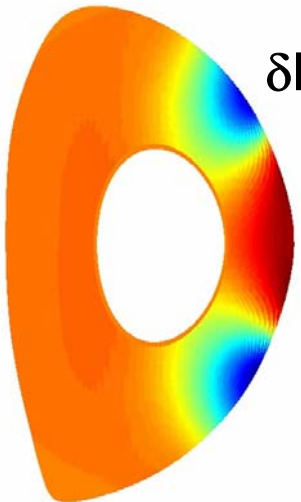
$\delta B_r \sim 10^{-2}$

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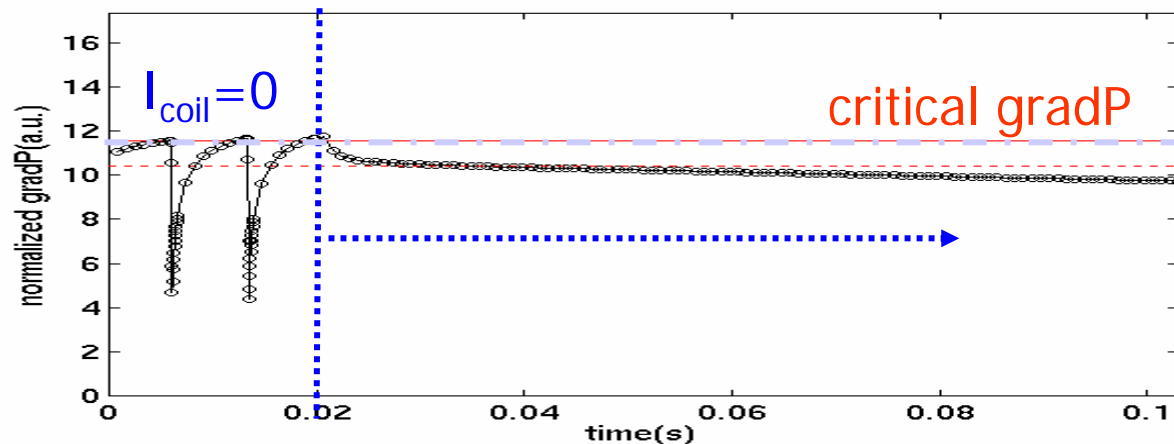
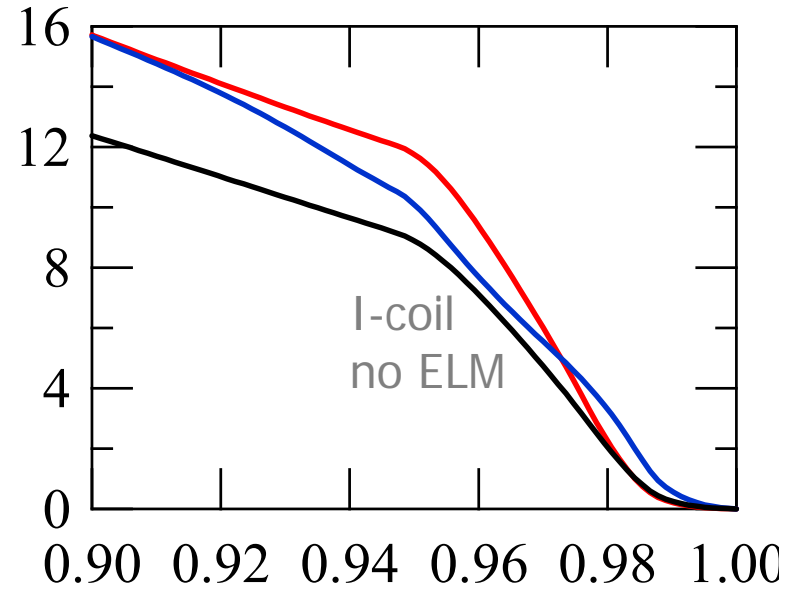
1.6T/1.13MA

$q_{95} = 3.8$

I-coil = 4kA



$\delta B_r \sim 10^{-4}$





Conclusions

- Control of confinement and stability seems feasible with macroscopic quantities such as **magnetic shear, velocity shear and density gradient**.
- **Magnetic shear requires current drive: expensive but feasible.**
- Control of rotation seems much more difficult in a reactor: **torque due to beams is small** → means to generate rotation with RF waves.
- On a longer term, more sophisticated control techniques will be necessary.

Effect of a Shear Flow

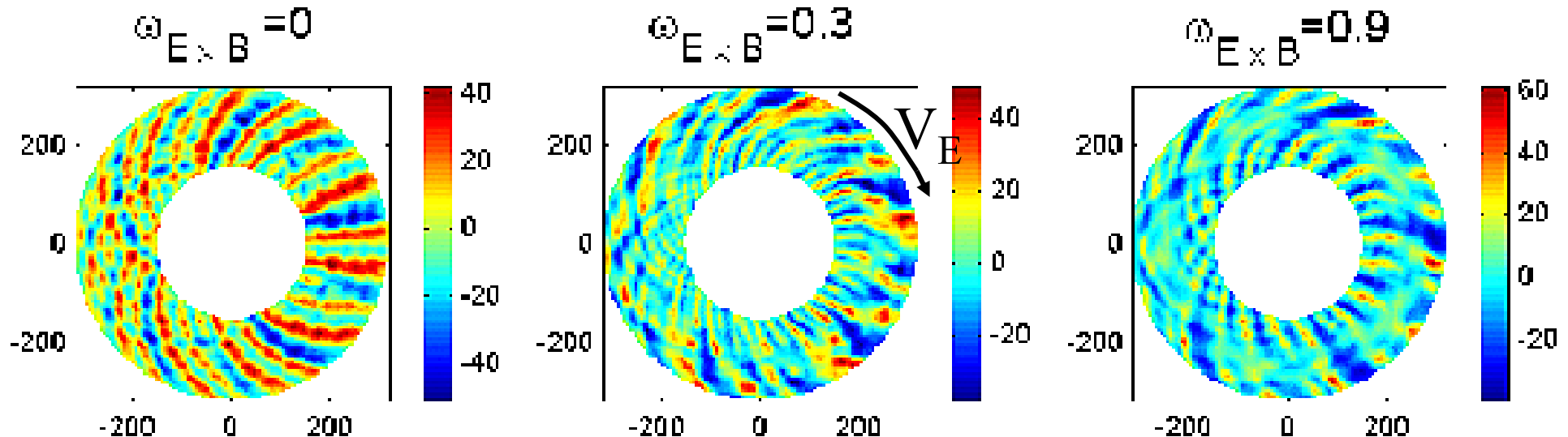
- Shear flow rate $V'_E = \frac{dV_E}{dr}$
- Approximate criterion for stabilisation

$$\left(Dk_\theta^2 V'_E{}^2 \right)^{1/3} > \tau_c^{-1}$$

$$V'_E > \gamma_{lin}$$

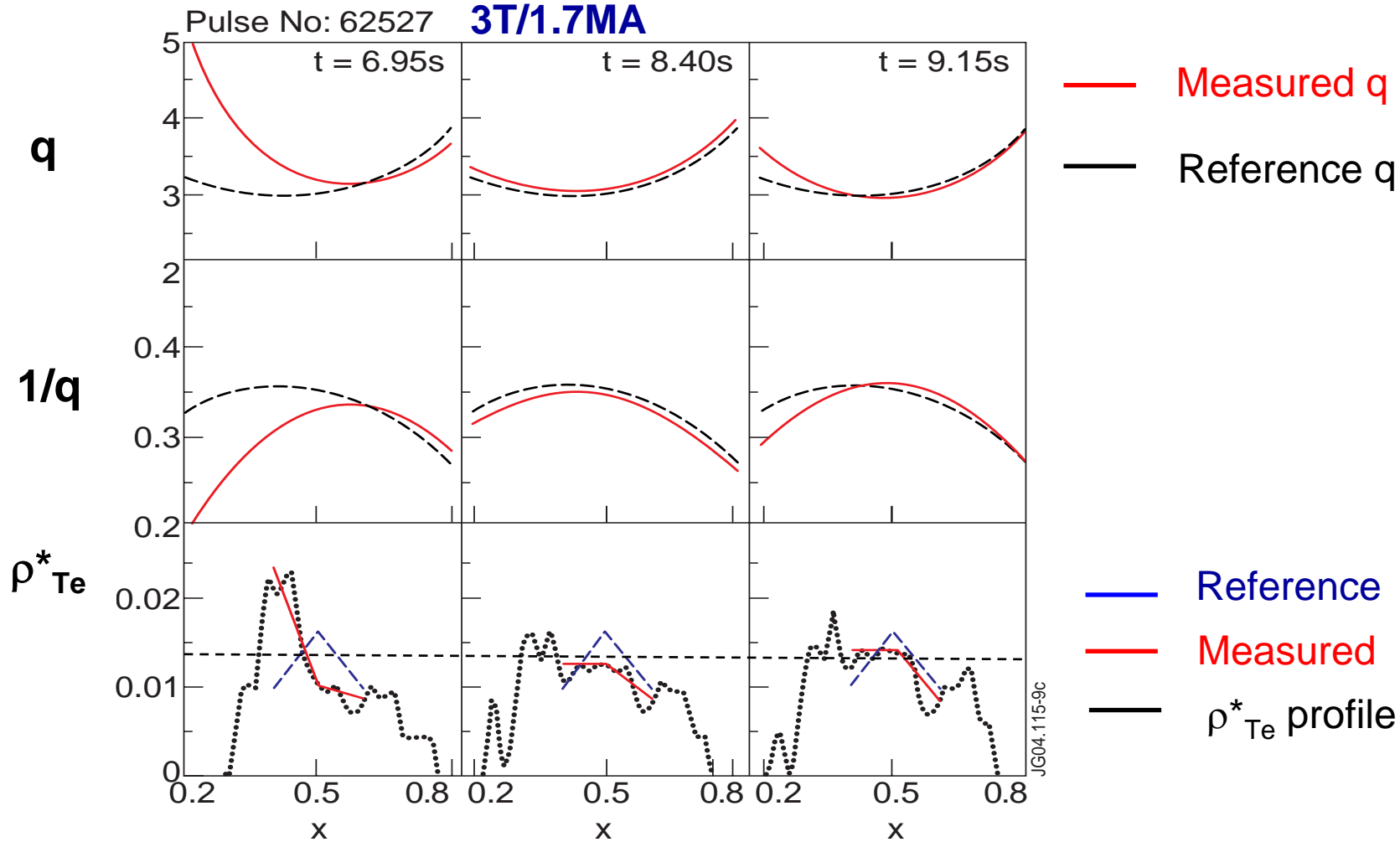
Biglari-Diamond-Terry 90

Waltz 94



Figarella 03

Simultaneous control of q-profile and ITB location



Effect of a Shear Flow on Transport

Figarella 03

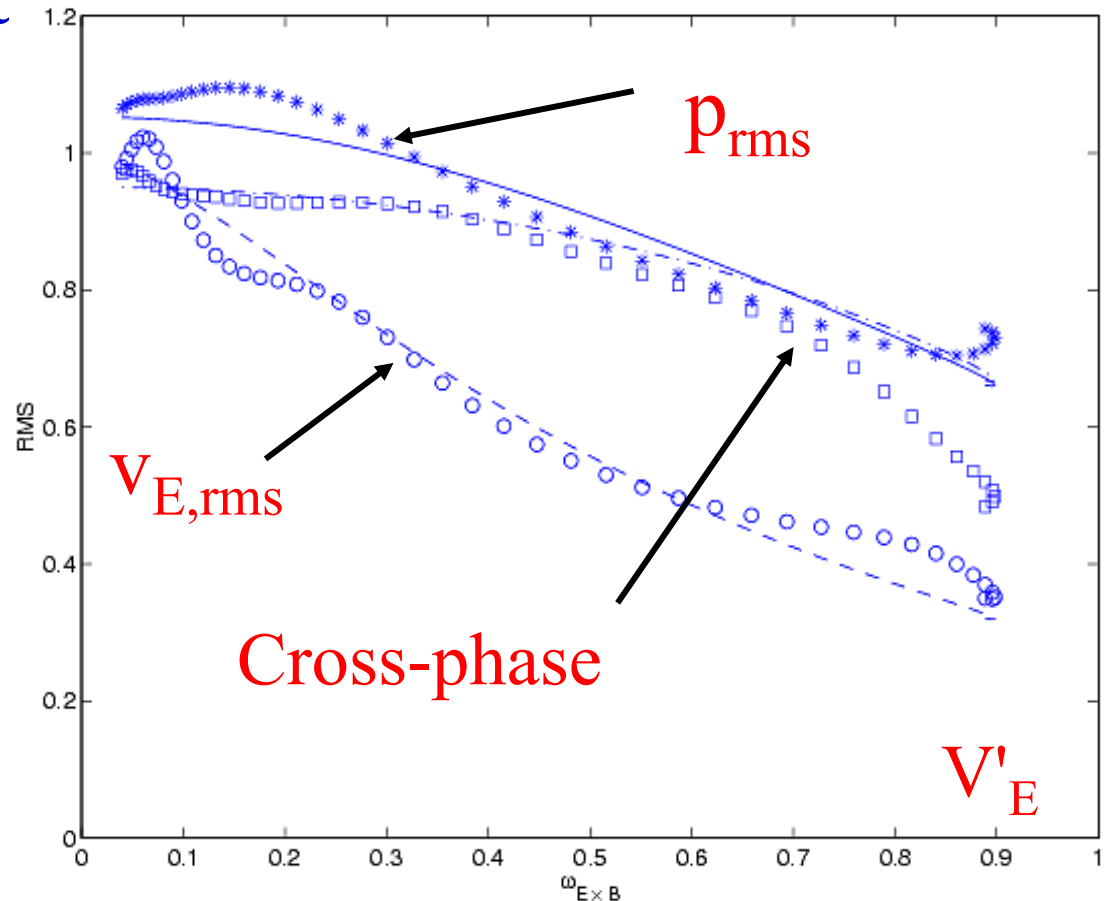
- Acts on amplitude and cross-phase of fluctuations

$$\Gamma = 3/2 \langle p v_E \rangle$$

- Leads to a transport barrier

$$\Gamma = -D_{\text{turb}} \nabla n_{\text{eq}}$$

$D_{\text{turb}} \downarrow \rightarrow \nabla n_{\text{eq}} \uparrow$



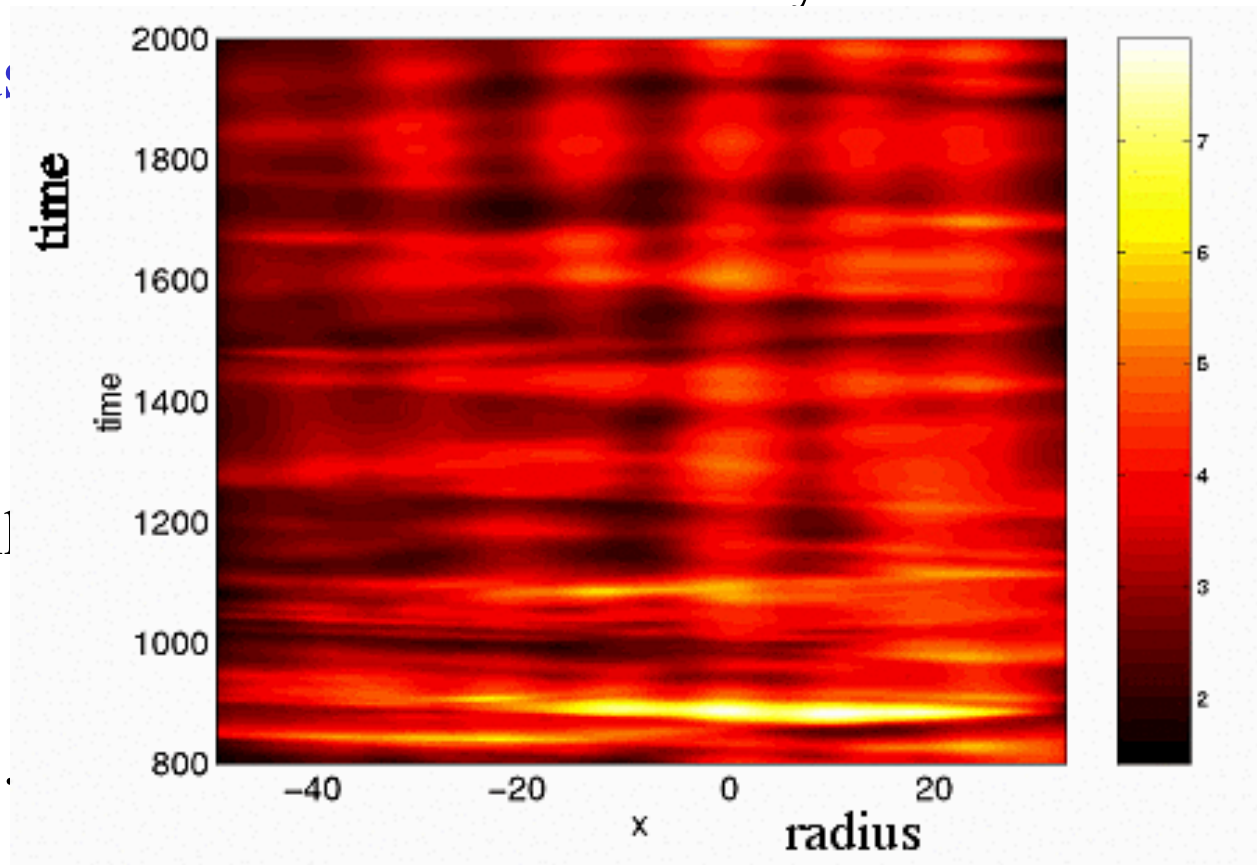
The interaction between structures and transport barriers

- Structures have been found to play an important role in tokamak turbulence.
- **Zonal Flows** are fluctuations of the poloidal velocity and play a stabilizing role.
- **Streamers** are convective cells elongated in the radial direction : enhance the turbulent transport.
- **Avalanches** are large scale transport events; Connected to streamers.
- **Interplay with transport barriers?**

Avalanches are Large Scale Transport Events

- Evidence : maps of turbulent flux versus time and radius. Same for pressure.
- Observed in many simulations Carreras 96, Sarazin and Gendril 98, Garbet and Waltz 98, Beyer et al. 99, Candy and Waltz 02, ...

Beyer et al 99

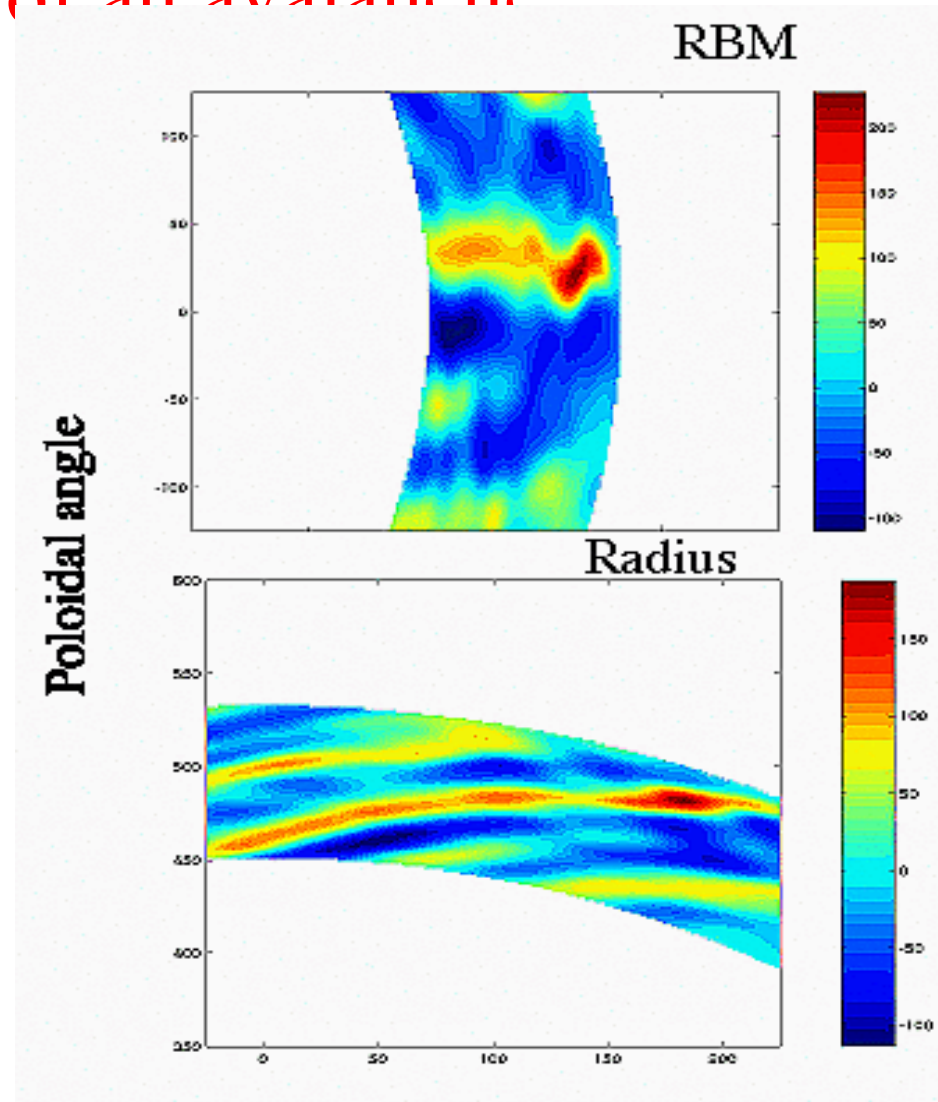


3D Structure of an avalanche

- Maps of the flux in poloidal planes.
- Elongated structures in the radial direction: **streamers**.

Drake 88, Diamond 99,
Jenko 00

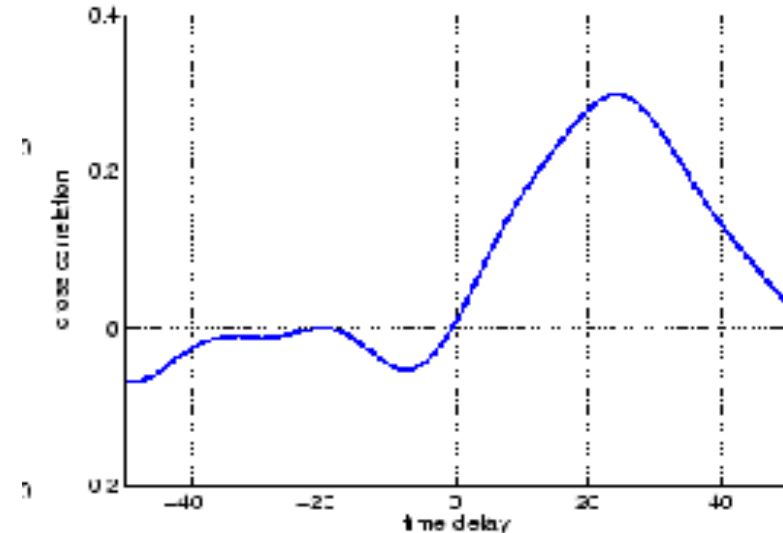
- Elongated vortices along the equilibrium magnetic field.



Beyer et al 00

Interaction with Zonal Flows

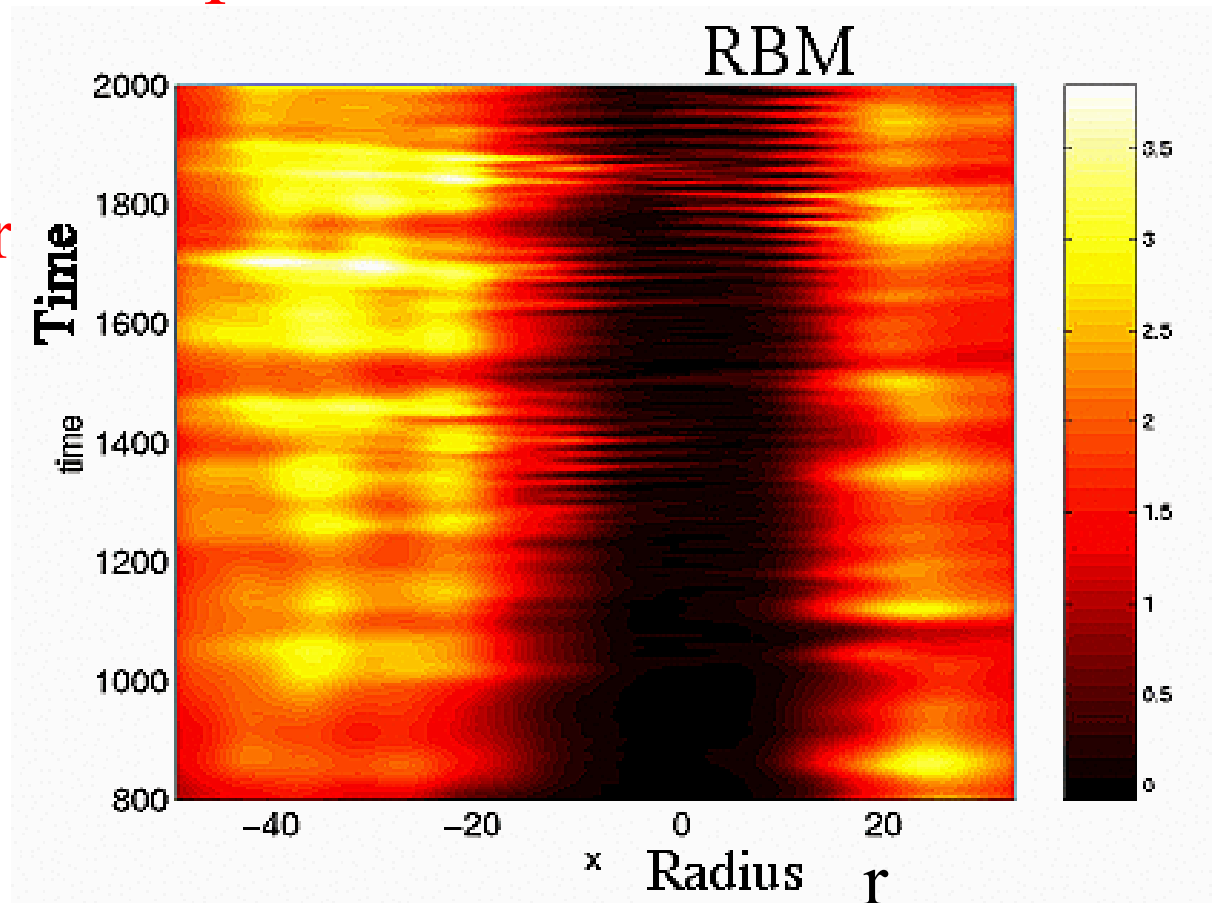
- Streamers in low k turbulence seem to be non linear structures:
 - several toroidal wave numbers.
 - growth time scale is not linear.
- Mechanism for the onset of a streamer still unclear.
- Interplay with Zonal Flows.



Cross correlation between turbulent flux and ExB shear flow: time delay.

Avalanches hardly cross a transport barrier

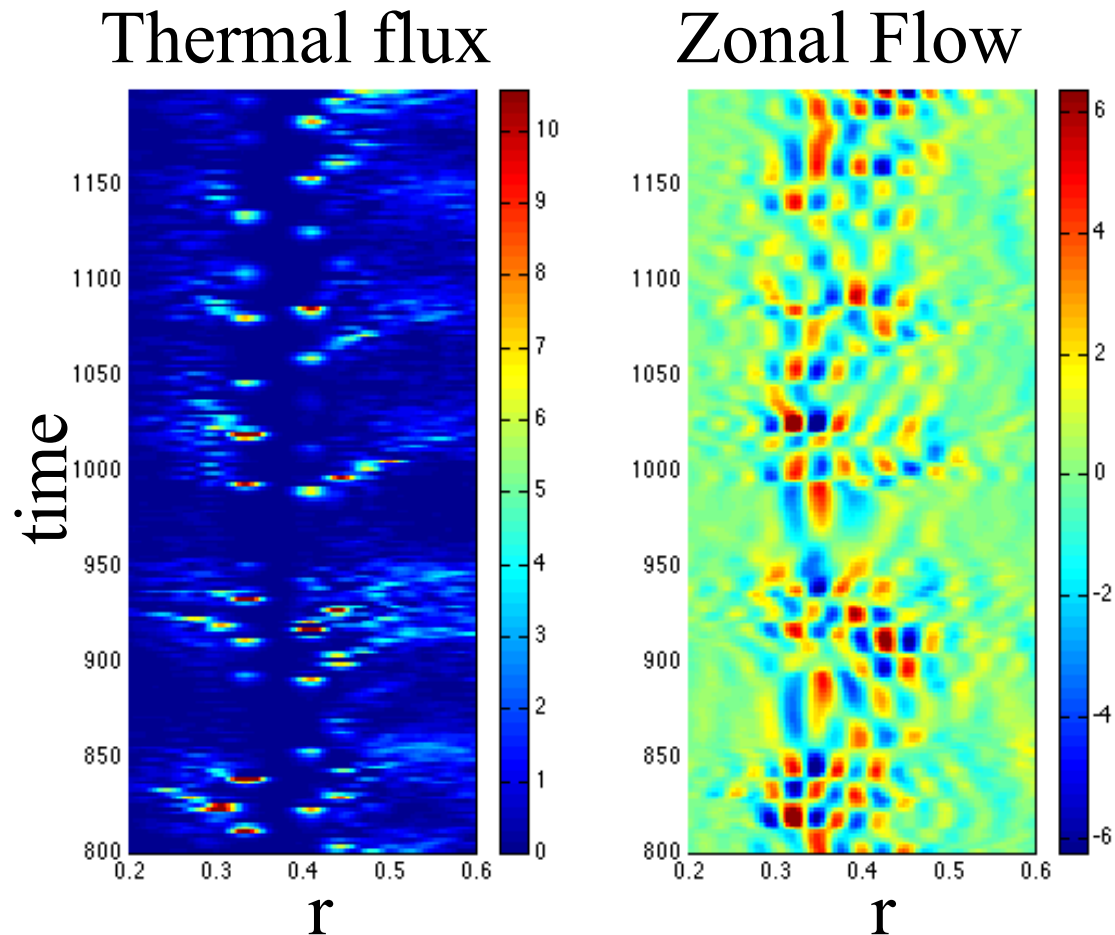
- Suggests an effect of the velocity shear on the propagation of a transport event.
- Some large events cross the barrier.



Beyer et al 00

Zonal Flows are active within transport barriers.

- Observed for combined electron and ion barriers.
- Not clear whether ZF participate in the barrier onset.
- Some barriers are quiet.





Conclusions

- Velocity shear is a powerful way of producing a transport barrier.
- There exists other ways to produce a barrier in a tokamak. A barrier is ultimately reinforced by the velocity shear associated to the strong gradient : positive feedback loop.
- Avalanches hardly cross transport barriers. Consistent with suppression due to mean shear flow.
- Zonal Flows are active within some transport barriers. Precise role to be clarified.

Effect of a Shear Flow

- Shear flow rate

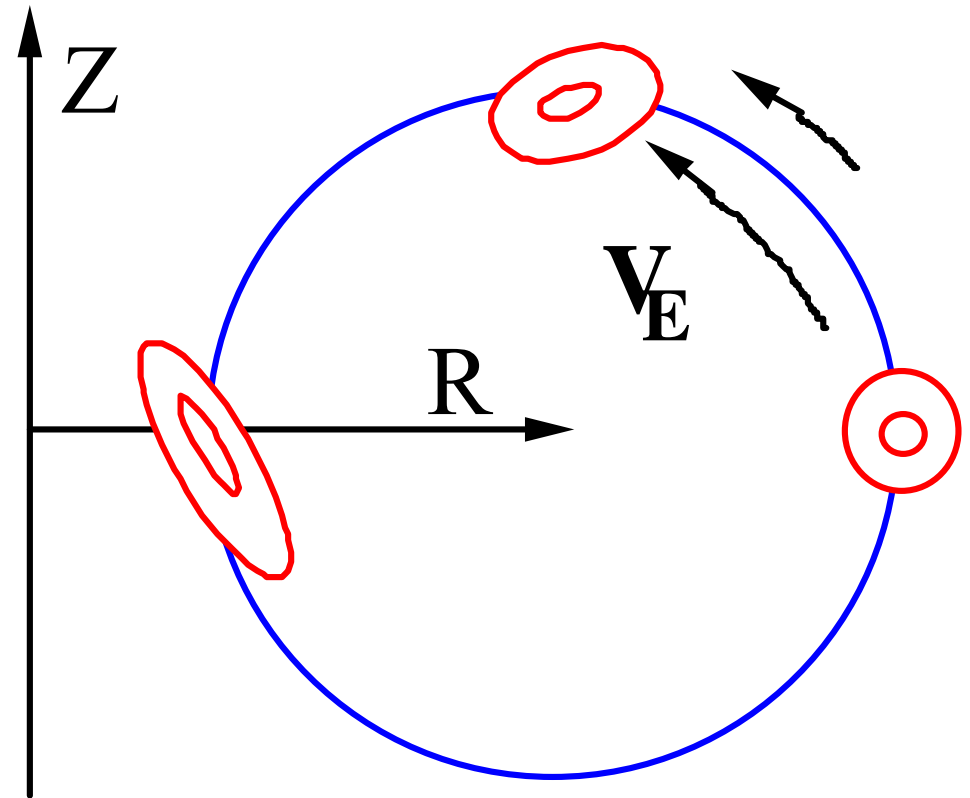
$$V'_E = \frac{dV_E}{dr}$$

- Approximate criterion for stabilisation

$$\left(Dk_\theta^2 V_E'^2 \right)^{1/3} > \tau_c^{-1}$$

Biglari-Terry-Diamond 90

$$V'_E > \gamma \text{ lin} \quad \text{Waltz 94}$$



Negative magnetic shear is stabilising

- Magnetic shear :

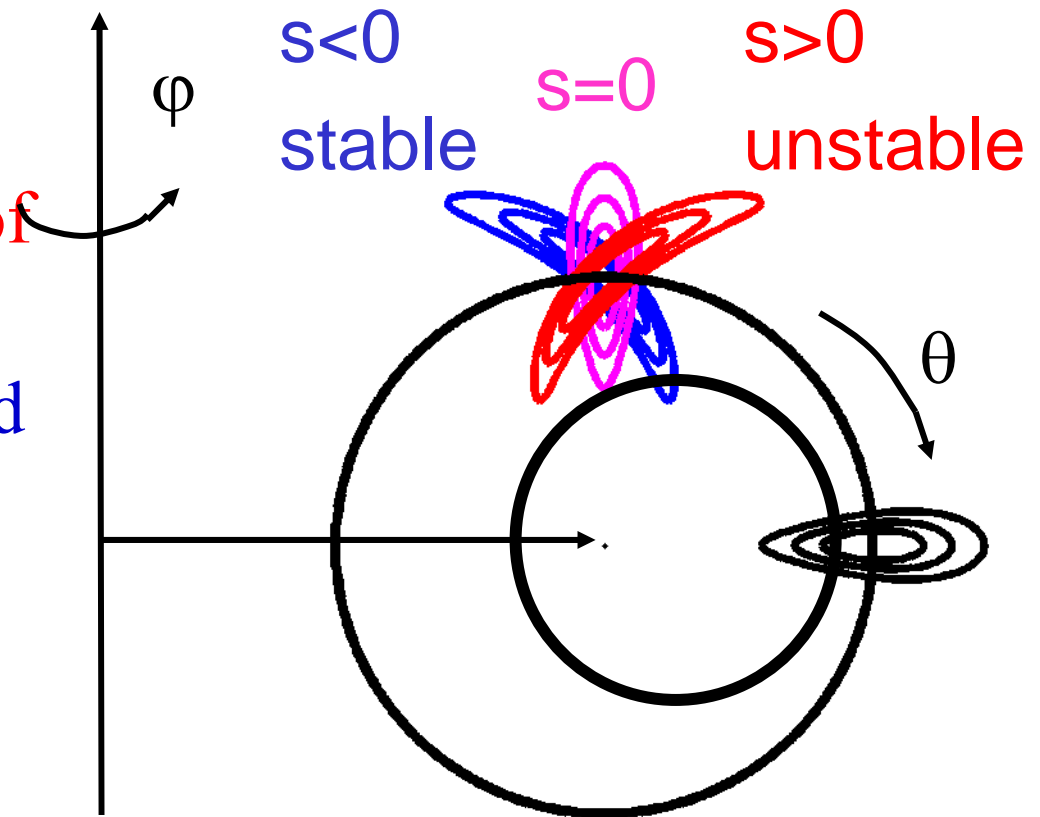
$$s = \frac{r}{q} \frac{dq}{dr}$$

- $s < 0$: favourable average of interchange drive

$(\mathbf{v}_E \cdot \nabla B)(\mathbf{v}_E \cdot \nabla p)$ along field lines.

- Enhanced by geometry effect.

*B.B.Kadomtsev, J.Connor, M.Beer,
J.Drake, R.Waltz, A.Dimits,
C.Bourdelle...*



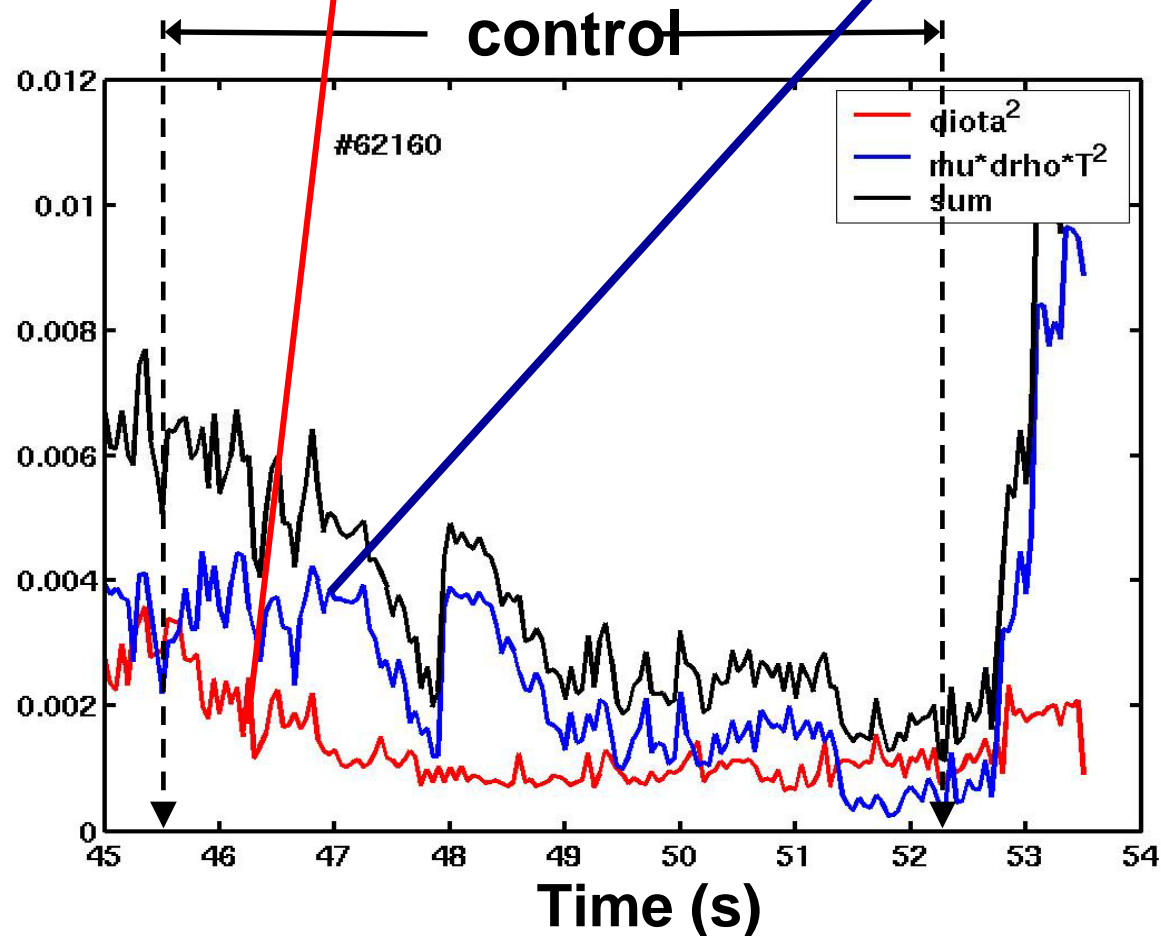
Vortex distortion

Strategy for Predicting Turbulent Transport in Fusion Plasmas

- Calculating the plasma response is a challenge: fluid equations (3D, 5 equations at least) or kinetic equations (5D). Simplifications:
 - **Mean field theory**: development of transport models (usually based on a mixing-length approximation)
 - Statistical theory of turbulent transport.
 - Use low resolution turbulence simulations.
- **Develop generic recipes to reduce turbulent transport.**

The least square integral error
is minimised by the controller

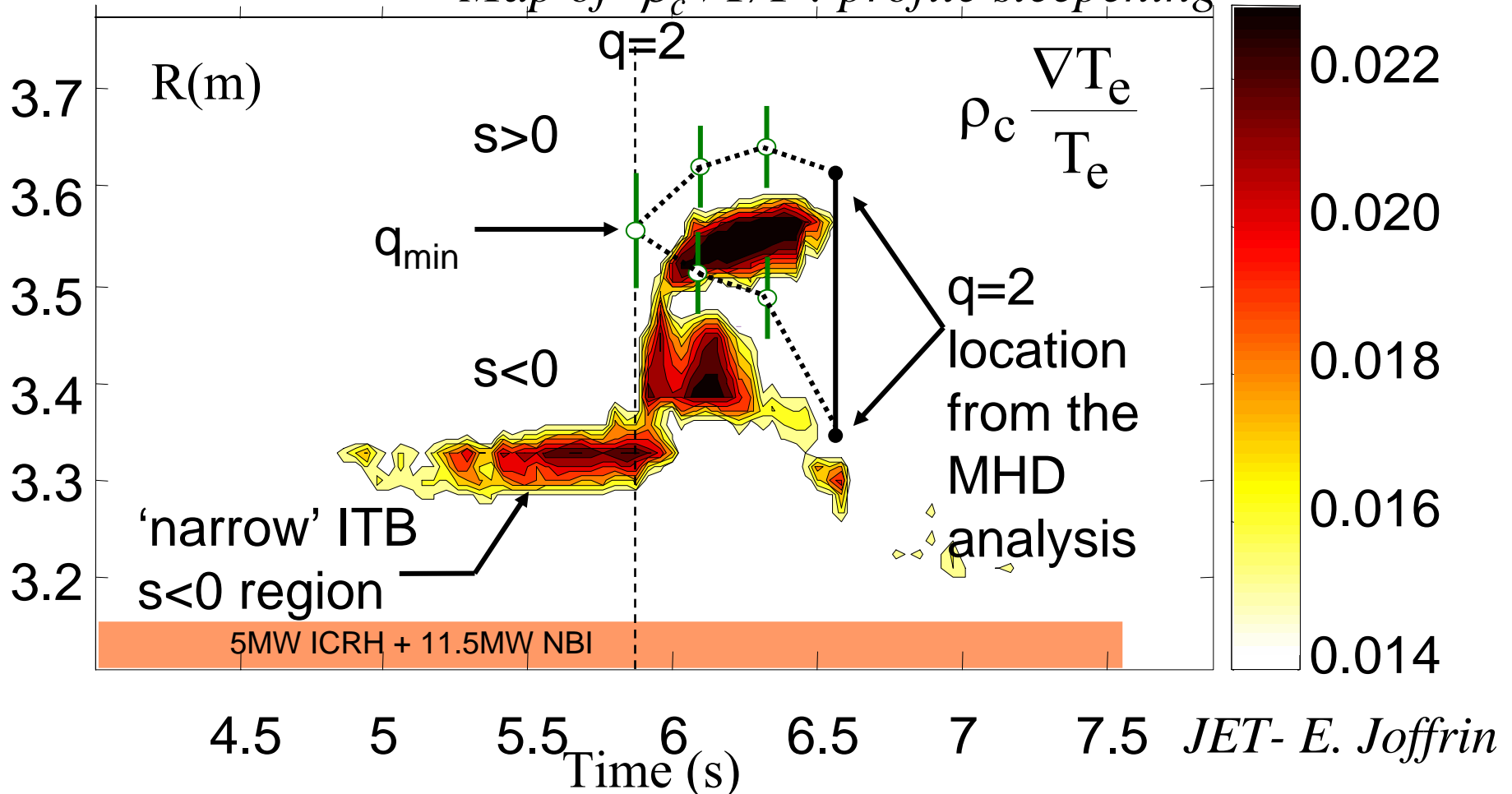
$$\int_0^1 \mu_1(x) [q(x) - q_{\text{setpoint}}(x)]^2 dx + \int_0^1 \mu_2(x) [\rho_T^*(x) - \rho_{T,\text{setpoint}}^*(x)]^2 dx$$



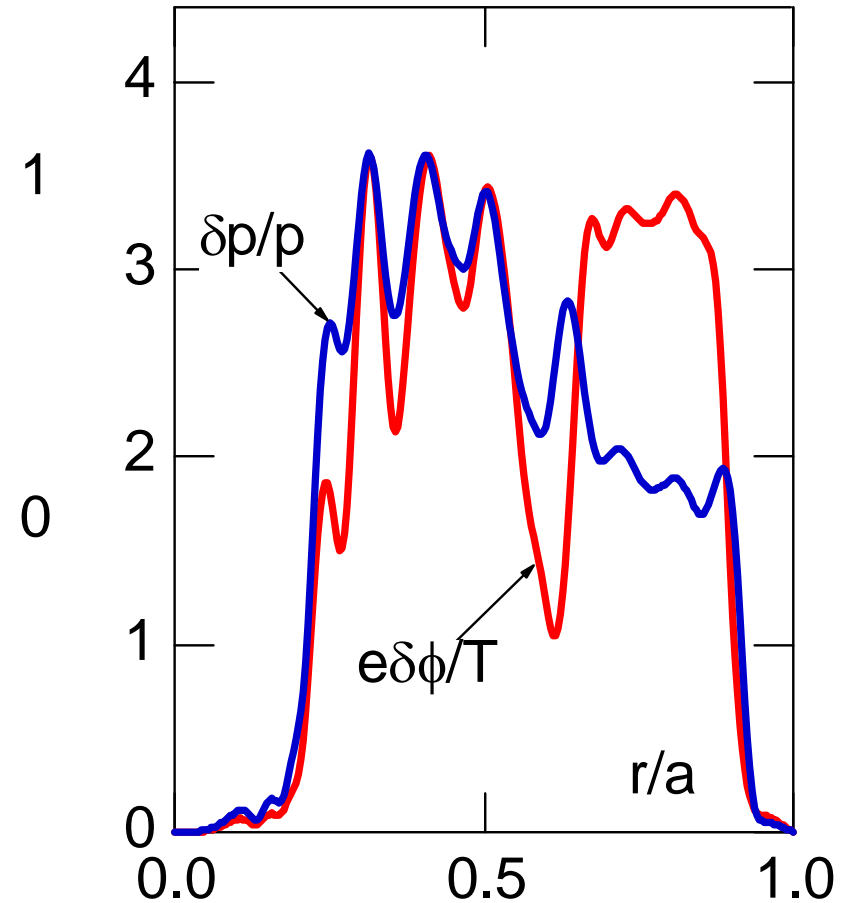
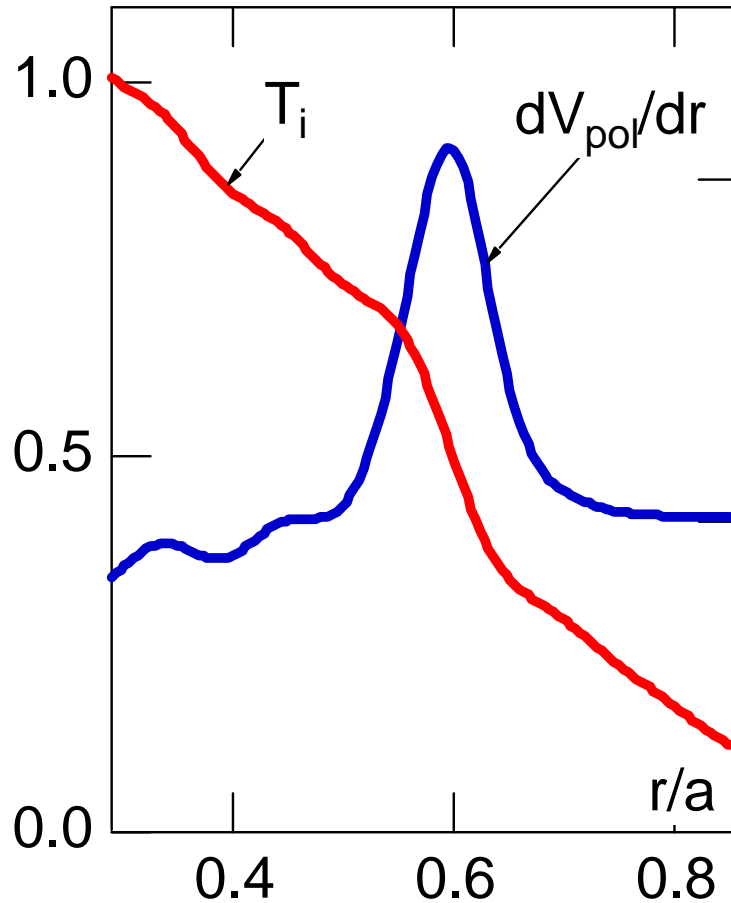
Dynamics of transport barriers is more complex than $s < 0$ and shear flow

JET #51573

Map of $-\rho_c \nabla T_e / T_e$: profile steepening



A shear layer results in a region where the gradients are large: transport barrier



Challenges of profile control

Previous experiments were based on scalar measurements

1. ITB = pressure and current (+ rotation ...) profiles
2. Multiple time-scale system+loop interaction

Energy confinement time \neq Resistive time

Nonlinear **interaction between $p(r)$ and $j(r)$**

→ Multiple-input multiple-output distributed parameter system
(MIMO + DPS)

→ **Space-time structure of the system must be determined**

Identify a high-order operator model around the target steady state
and try model-based DPS control using SVD techniques

D. Moreau et al., Nucl. Fus. 2003

Negative magnetic shear is stabilising

- Magnetic shear :

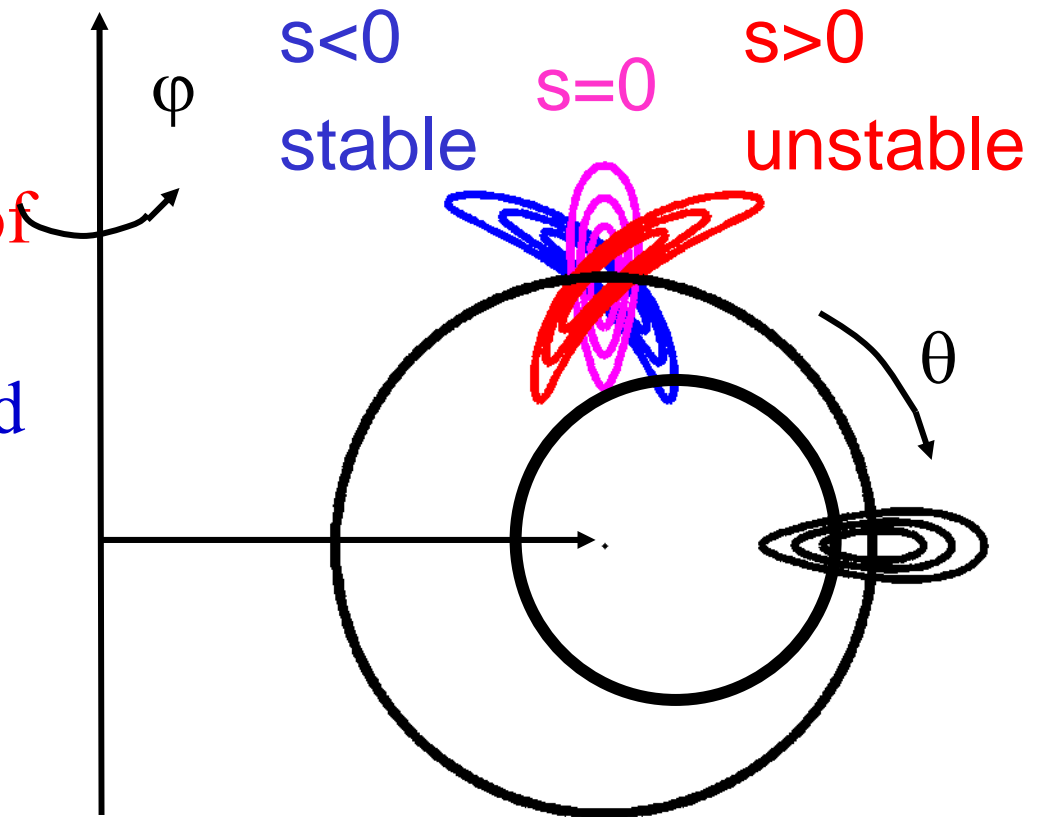
$$s = \frac{r}{q} \frac{dq}{dr}$$

- $s < 0$: favourable average of interchange drive

$(\mathbf{v}_E \cdot \nabla B)(\mathbf{v}_E \cdot \nabla p)$ along field lines.

- Enhanced by geometry effect.

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