

*Ecole de Physique des Astroparticules, OHP, 7-12 septembre 2009*

# ***Measurements of cosmic ray fluxes with PAMELA apparatus***

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*On behalf of the PAMELA collaboration*

# Summary

- **This presentation is aimed at understanding experimental and data analysis issues of PAMELA measurements.**
- **(1) The PAMELA experiment:**
  - **description of mission and apparatus; principle of operation.**
- **(2) Measurement of relative fluxes of antiparticles:**
  - **(2.a) antiproton/proton ratio;**
  - **(2.b) positron/(positron+electron).**
- **(3) Measurement of absolute fluxes:**
  - **(3.a) protons;**
  - **(3.b) antiprotons;**
  - **(3.c) Helium;**
  - **(3.d) electrons.**

# ***(1) The PAMELA experiment***



# The *PAMELA* collaboration

Italy



Bari



Florence



Naples



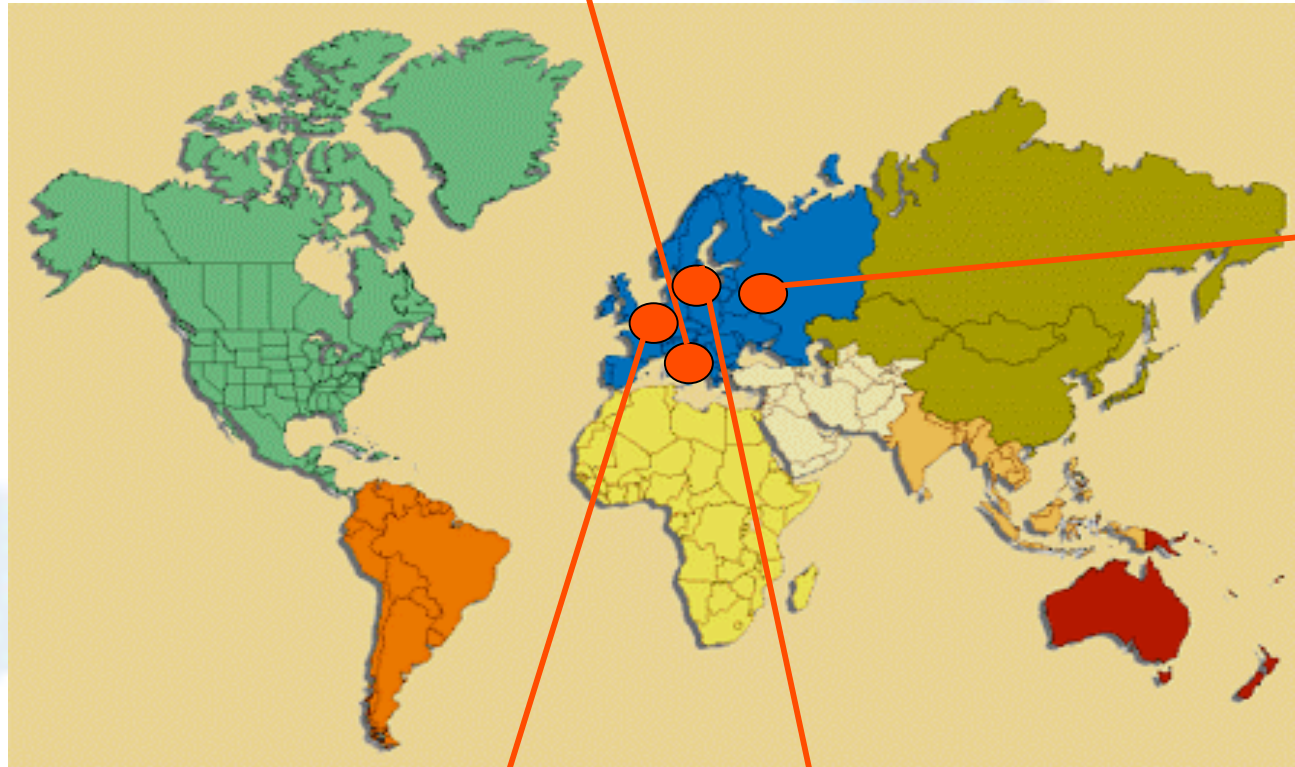
Rome



Trieste



Frascati



Russia



Moscow,  
St. Petersburg

Germany



Siegen

Sweden



Stockholm



# ***PAMELA scientific objectives***

- **Study antiparticles in cosmic rays.**
- **Search for dark matter annihilation ( $e^+$  and  $p\text{-bar}$  spectra).**
- **Study cosmic-ray production and propagation.**
- **Study composition and spectra of cosmic rays (including light nuclei).**
- **Search for anti-He (primordial antimatter).**
- **Study solar physics and solar modulation.**
- **Study of terrestrial magnetosphere and radiation belts.**

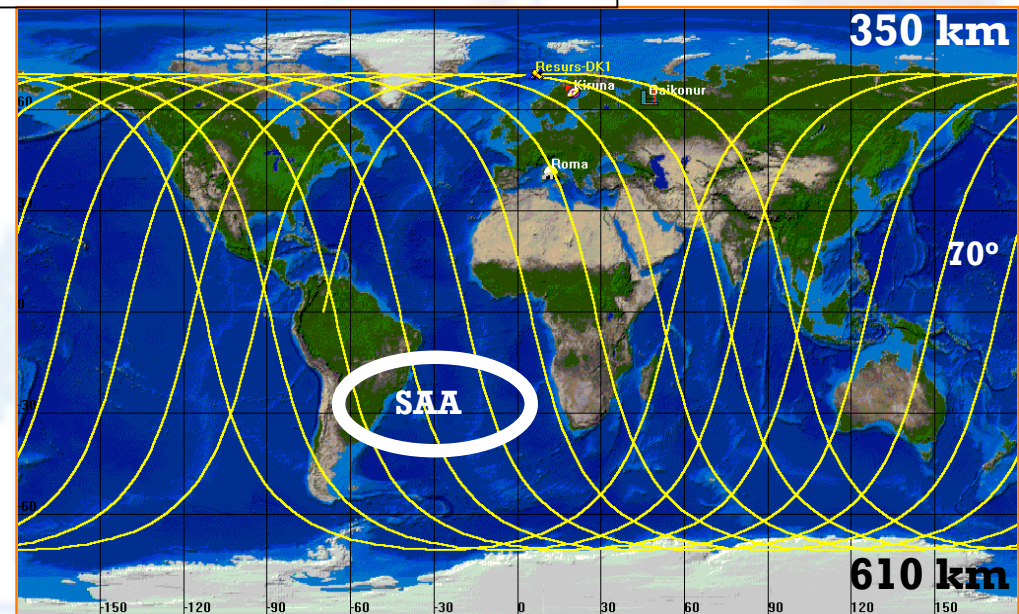
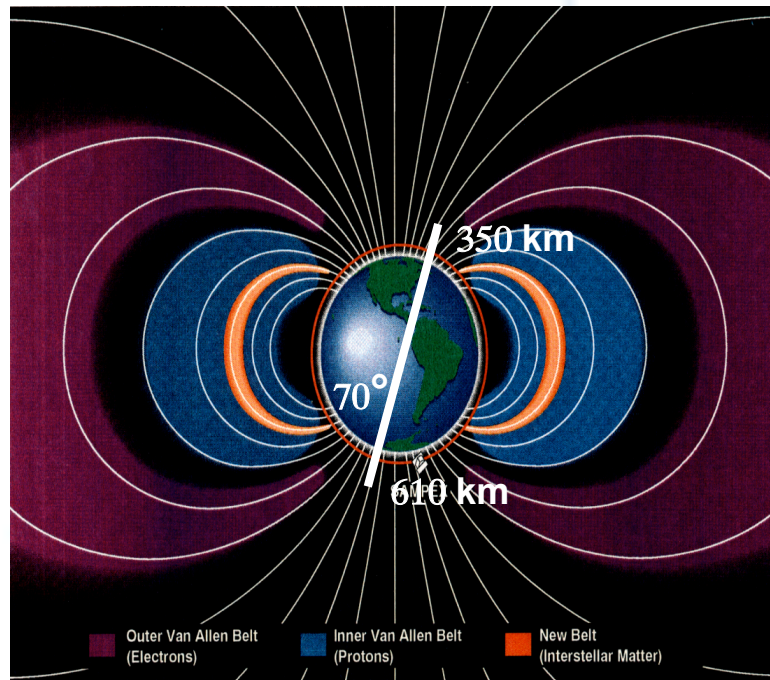
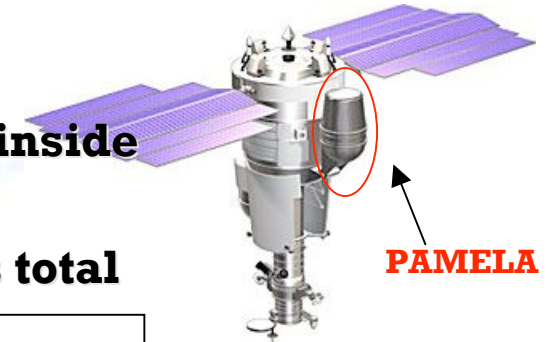
# The mission

- **PAMELA installed on Russian satellite Resurs-DK1, inside a pressurized container.**

- **Mission started on June 2006, extended to 5 years total lifetime.**

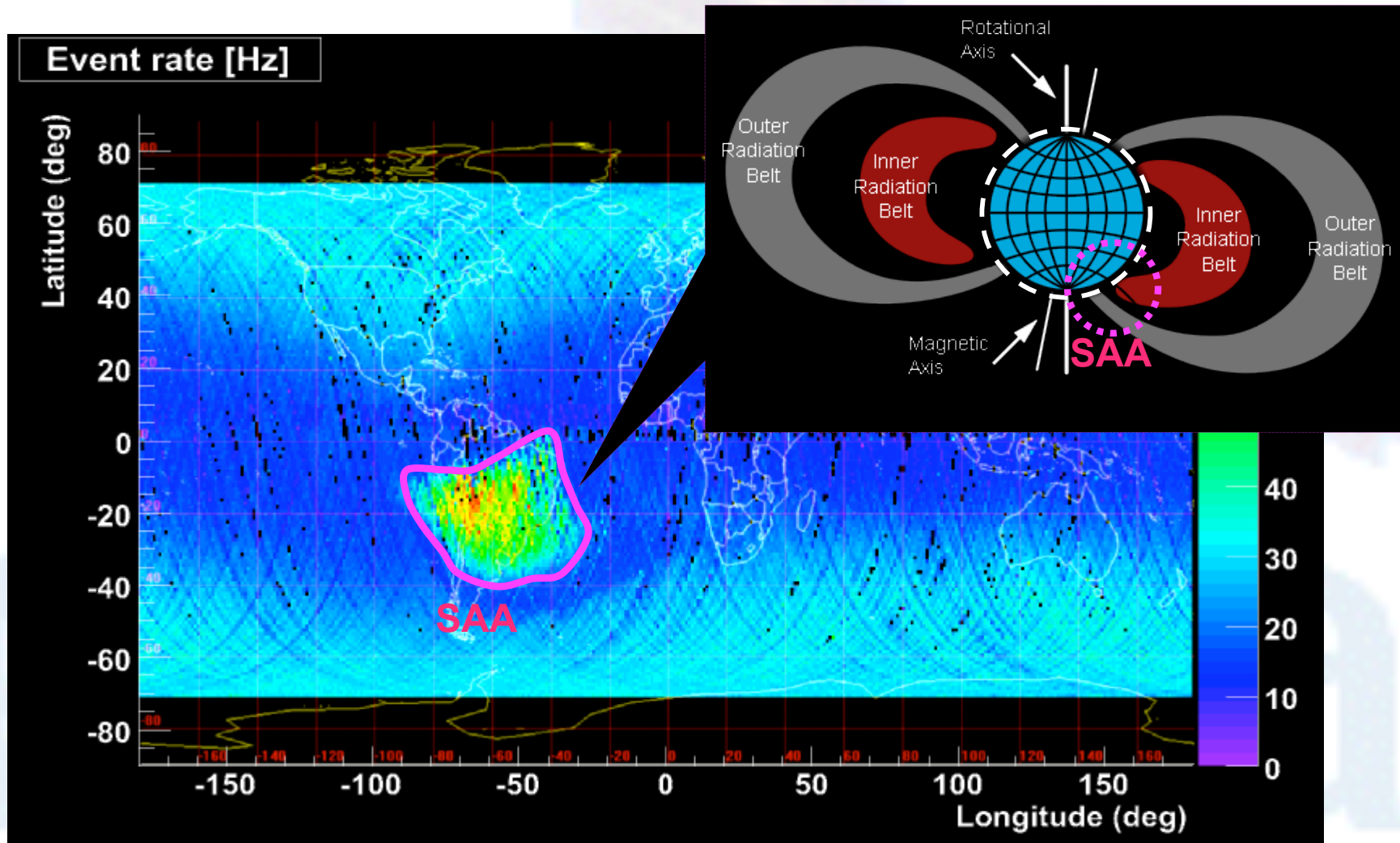
**Quasi-polar low-earth elliptical orbit** ( $70.0^\circ$ , 350 - 610 km).

- Traverses and operates in the South Atlantic Anomaly.
- Crosses the outer (electron) Van Allen belt at south pole.



**orbit period ~90 min**

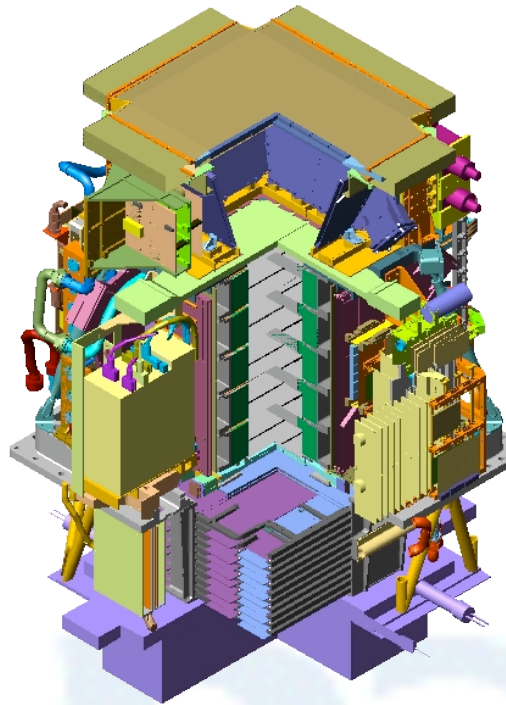
# Trigger rate





# The instrument

**Main requirements: high-sensitivity particle identification and precise momentum measurement**



**GF: 21.6 cm<sup>2</sup> sr**  
**Mass: 470 kg**  
**Size: 130 · 70 · 70 cm<sup>3</sup>**  
**Power Budget: 360 W**

## Time-Of-Flight (TOF)

plastic scintillators + PMT:

- Trigger
- Upward-going rejection
- Mass identification up to 1 GeV
- Charge value from dE/dL

## Electromagnetic calorimeter

W/Si sampling (16.3  $X_0$ , 0.6  $\lambda_I$ )

- Discrimination  $e^+$  / p, p-bar /  $e^-$  (shower topology)
- Direct E measurement for  $e^-/e^+$

## Neutron detector

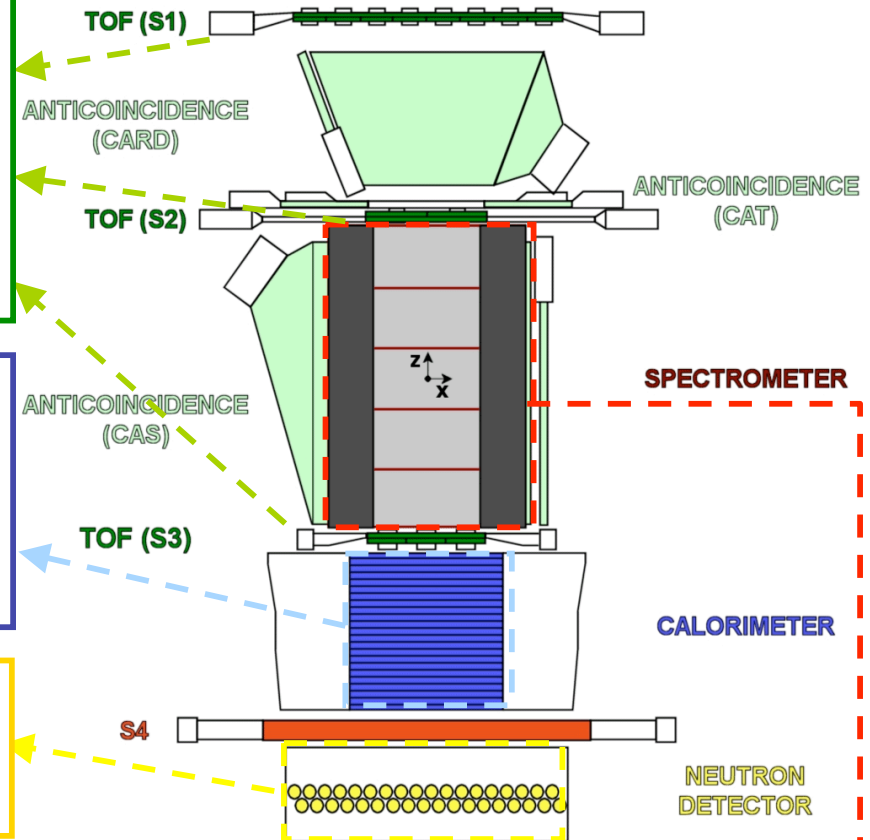
polyethylene +  $^3\text{He}$  counters:

- High-energy e/h discrimination

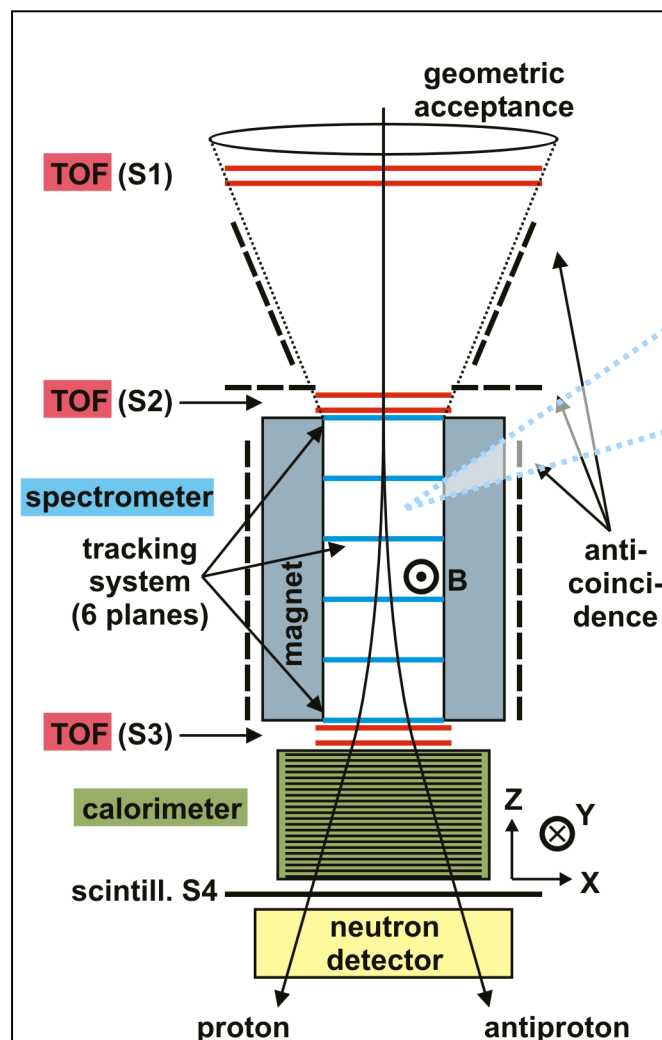
## Spectrometer

microstrip Si tracking system (TRK) + permanent magnet

- Charge sign (particle/antiparticle discrimination)
- Momentum
- Charge value from dE/dL

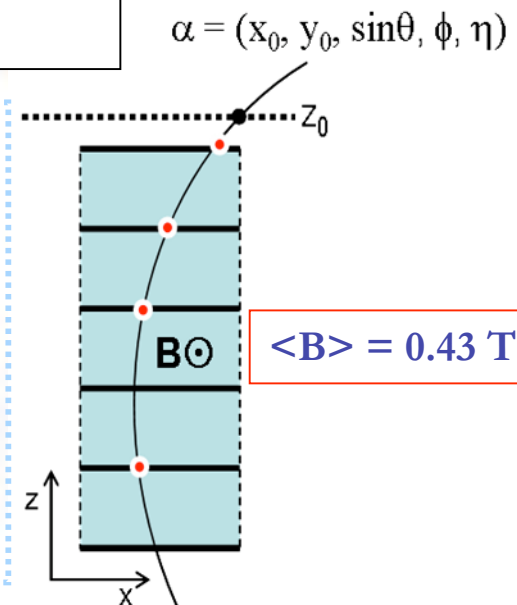


# Track reconstruction



## Magnetic spectrometer

- permanent hollow magnet.
- 6 planes of double-sided (X-Y) microstrip Si sensors.
- **Spatial resolution: 3÷4 mm.**
- Iterative  $\chi^2$  minimization as a function of track state-vector components  $\alpha$ .



## Magnetic rigidity:

$$R = pc/Ze \text{ (GV)}$$

(includes sign of the charge Z)

## Magnetic deflection:

$$\eta = 1/R \text{ (GV}^{-1}\text{)}$$

## MDR (Maximum Detectable Rigidity)

value of  $|R|$  for which  $\sigma_R = |R|$

**MDR ~ 1 TV**

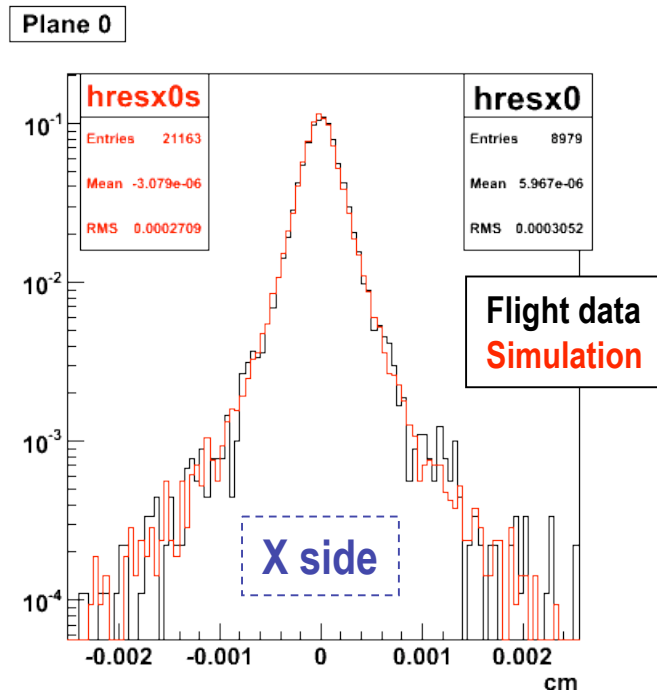
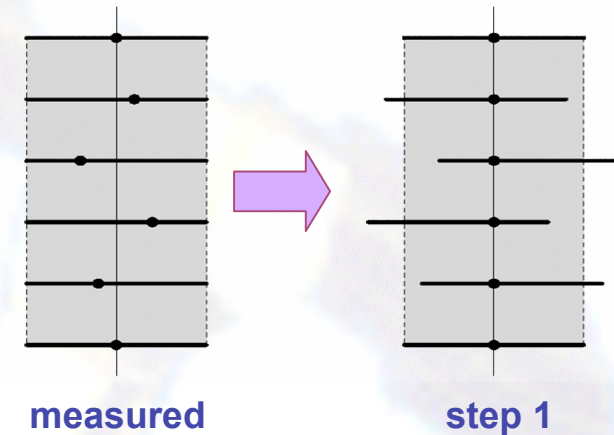
( $\sigma_R$  directly measured at beam test)



# In-flight spectrometer alignment (1/2)

- Step 1: incoherent alignment.**

- correction for random displacements of the sensors ( $\sim 10 \mu\text{m}$ );
- done with **relativistic protons**;
- **minimization of spatial residuals** as a function of the roto-traslational parameters of each sensor.

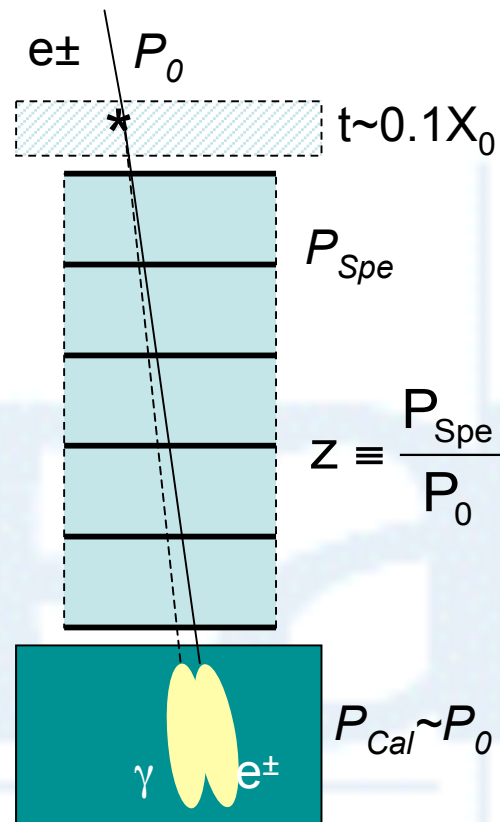
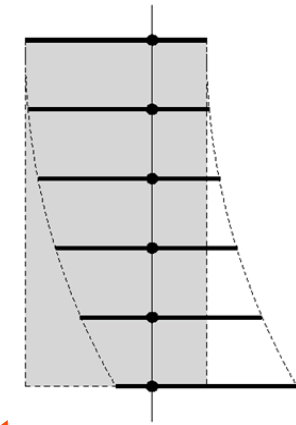


- After step 1:**

- spatial residuals are centered;
- measured width is consistent with simulated combination of nominal resolution + alignment uncertainty ( $\sim 1 \mu\text{m}$ ).

# In-flight spectrometer alignment (2/2)

- After step 1, (possible) global distortions might mimic a residual deflection:  $\eta_{\text{meas}} = \eta_{\text{real}} + \Delta\eta$ .



## Step 2: coherent alignment.

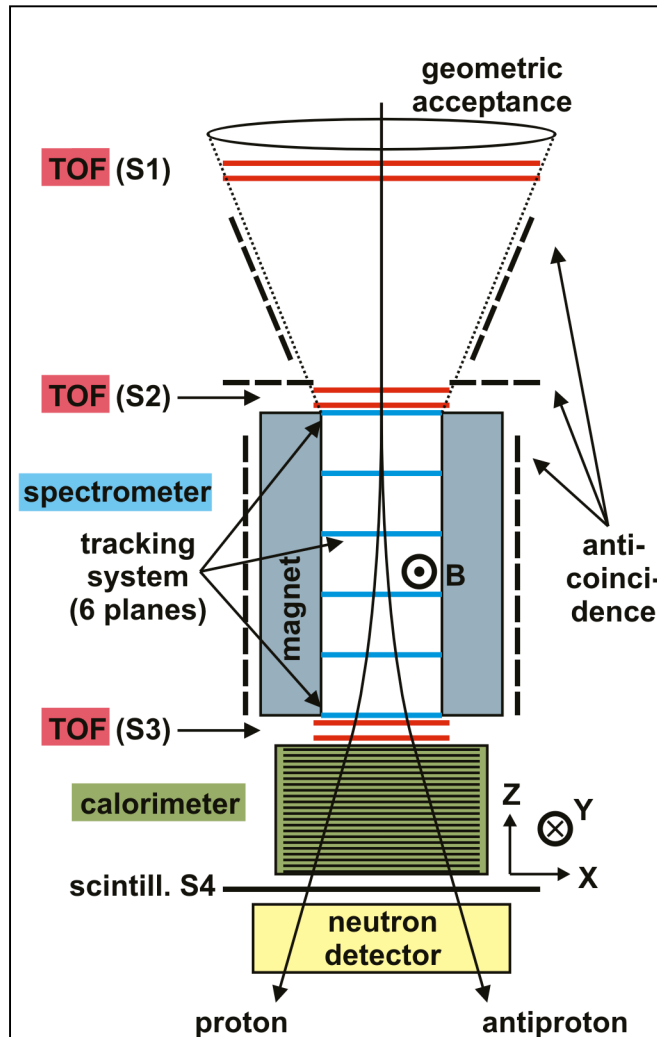
- done with **electrons and positrons**;
- cross-calibration CALO-TRK** exploiting **brehmsstrahlung** before spectrometer:

$$Z \sim \frac{1}{P_{\text{Cal}} \cdot |\zeta_{\text{Spe}}|} \longrightarrow \frac{1}{P_{\text{Cal}} (1 \pm \text{a}) \cdot |\zeta_{\text{Spe}} + \ddot{A}\zeta|}$$

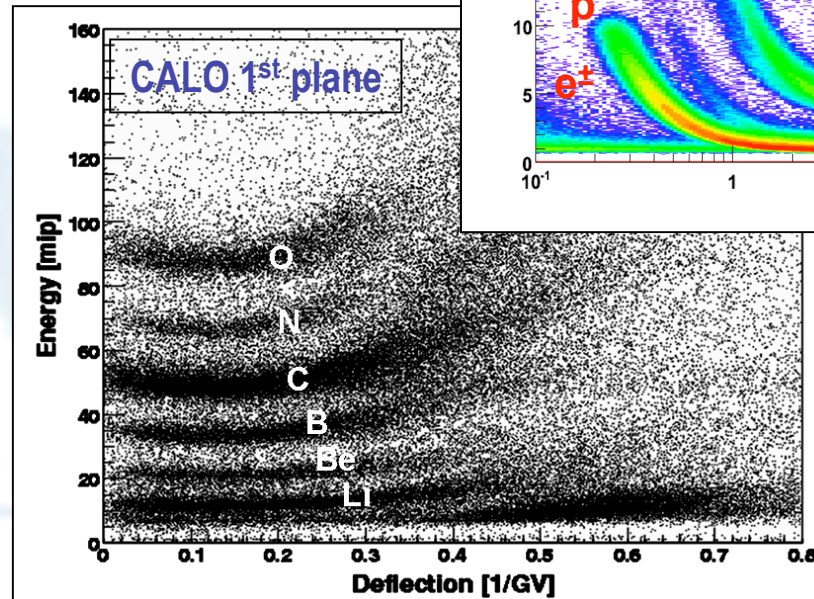
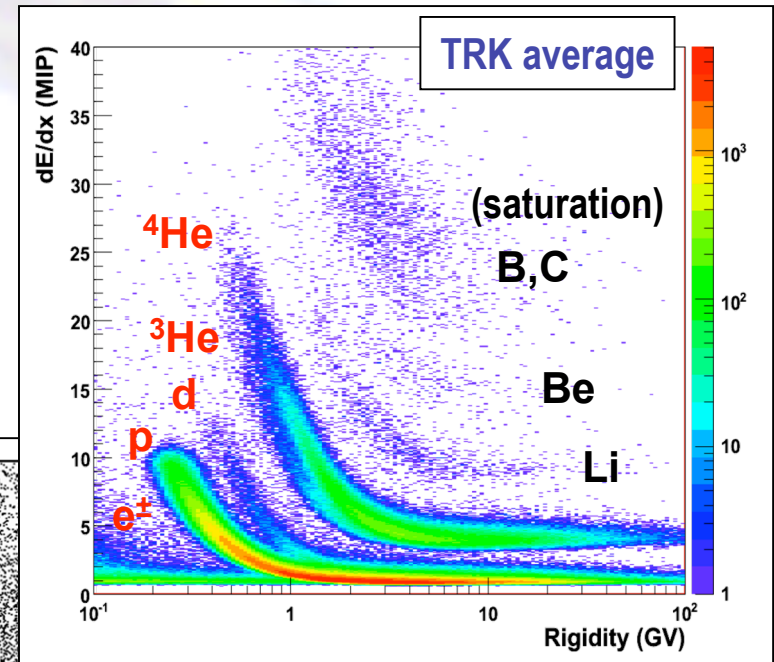
- CALO energy uncertainty  $\pm\epsilon$  is symmetric for  $e^-$  and  $e^+$ ;**
- spectrometer global distortion  $\Delta\eta$  gives a charge-sign dependent effect.**
- evaluated  $\Delta\eta \sim -10^{-3} \text{ GV}^{-1}$**

# Measurement of $|Z|$

$$-\frac{dE}{dx} = K z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{\max}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} \right]$$

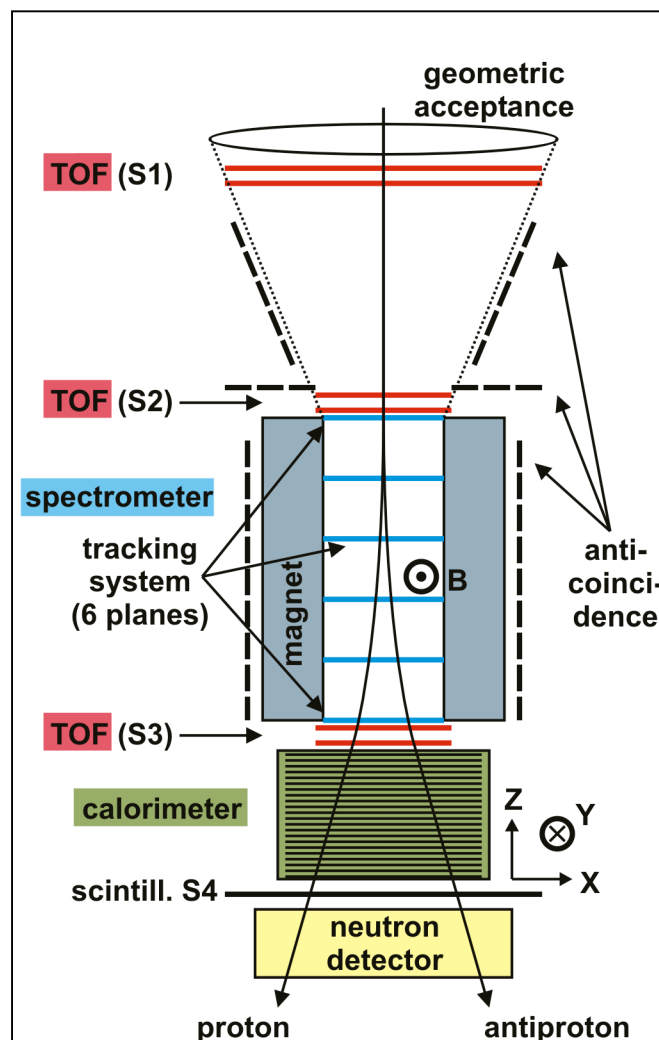


Bethe-Bloch  
ionization energy-loss  
of heavy ( $M \gg m_e$ )  
charged particles  
(TOF, TRK, CALO)



# Measurement of velocity $\beta$

## TOF (Time-of-Flight)

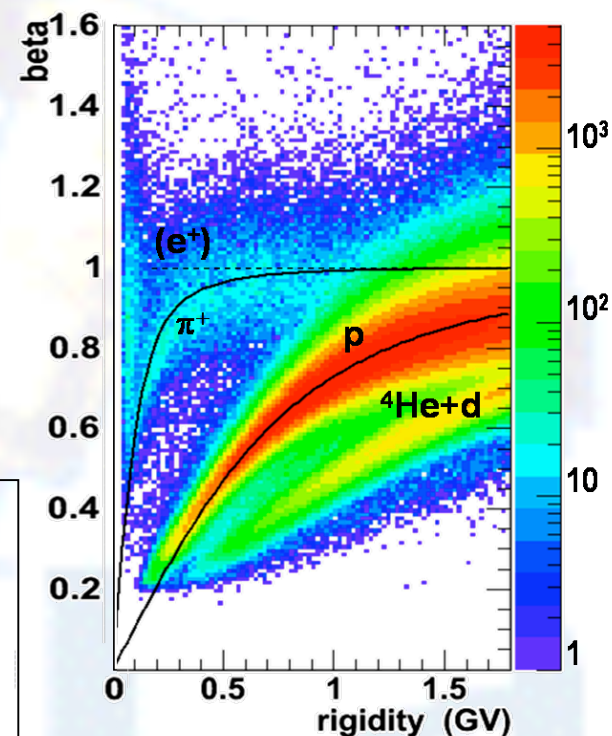


- 3 double plastic scintillator planes.

- Particle identification at low energy.
- Several independent  $\beta$  measurements.

- Reject upward going particles, which mimic downward-going antiparticles.
- 300 ps TOF resolution vs. 3 ns flight time.

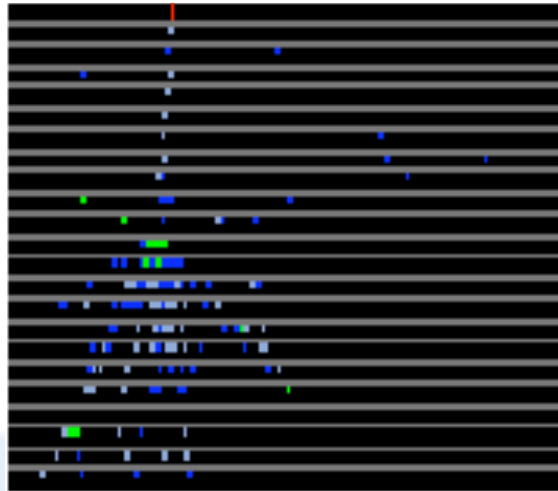
- Give trigger to the apparatus.
- With double layers, trigger efficiency ( $\sim 100\%$ ) is measured with in-flight acquired data.



# DISCRIMINATION BETWEEN $\gamma/\gamma$ and *hadron*

## Electromagnetic sampling CALO

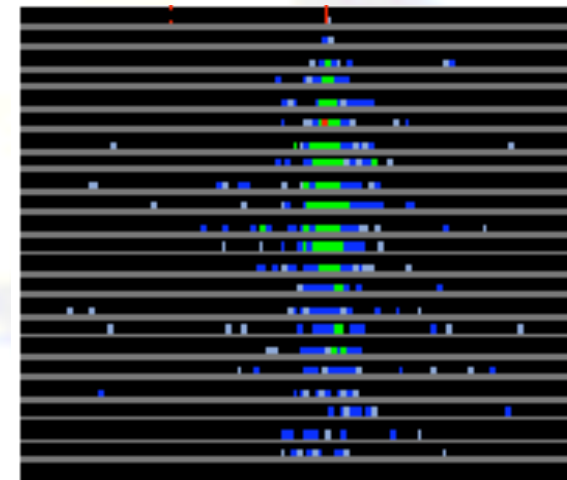
**hadron** (R=19GV)



Amount of neutrons  
in ND helps  
discrimination

- 22 modules: Y Si-strip + W layer + X Si-strip.
- Total depth:  
 $16.3 X_0$  or  $0.6 \lambda_I$ .
- Longitudinal and lateral segmentation.
- $dE/dL$  from single strips.
- Clear imaging of interaction topology.

**electron** (R=17GV)



Energy measurement  
of electrons and  
positrons (~full  
shower containment)

$$\frac{\sigma_E}{E} = a \oplus \frac{b}{\sqrt{E}} \quad a < 5\%$$



# ***PAMELA nominal capabilities***

## **Particle**

## **Energy range (with 3 years statistics)**

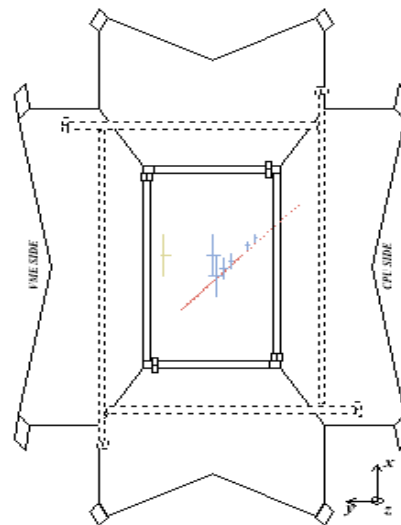
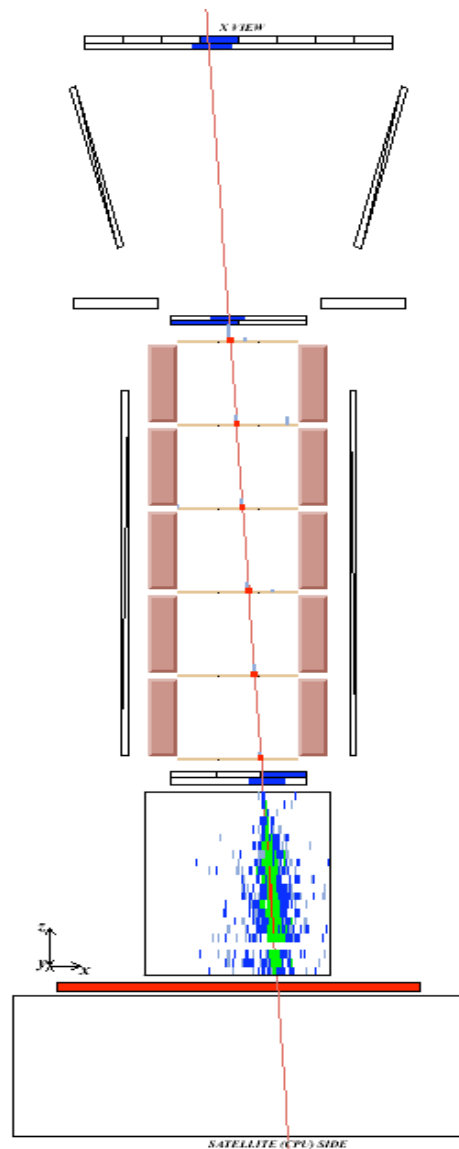
- **Antiprotons**
  - **Protons**
  - **Positrons**
  - **Electrons**
  - **Electrons+positrons**
  - **Light Nuclei**
  - **Antinuclei search**
- 80 MeV - 190 GeV ← Upper limits from TRK rigidity measurement
- up to 700 GeV ←
- 50 MeV - 270 GeV ← Upper limits from CALO lepton/proton discrimination
- up to 400 GeV ←
- up to 2 TeV (without charge sign)
- up to 200 GeV/n (He/Be/C)

*Simultaneous measurement of many cosmic-ray species.*

*New energy range.*

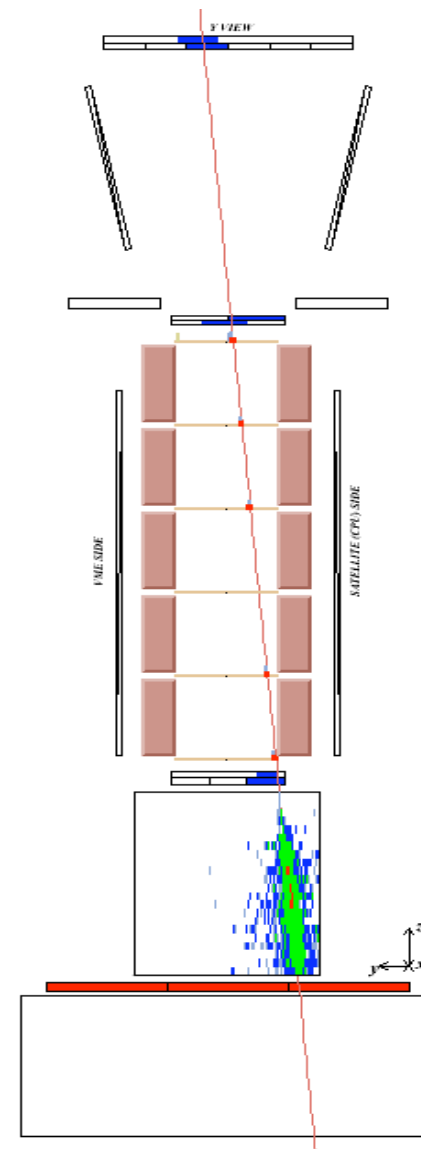
*Unprecedented statistics.*

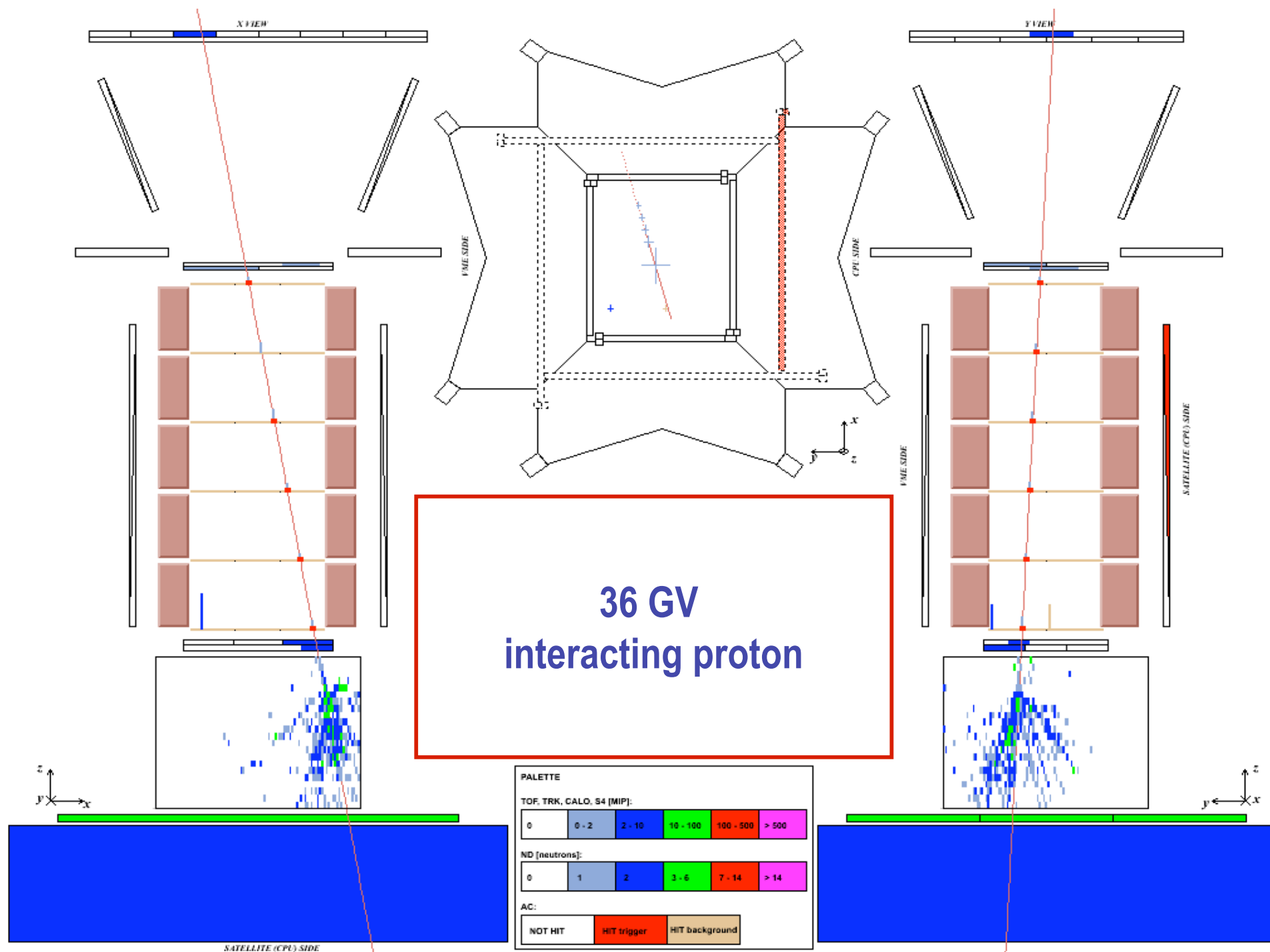
# Typical PAMELA events

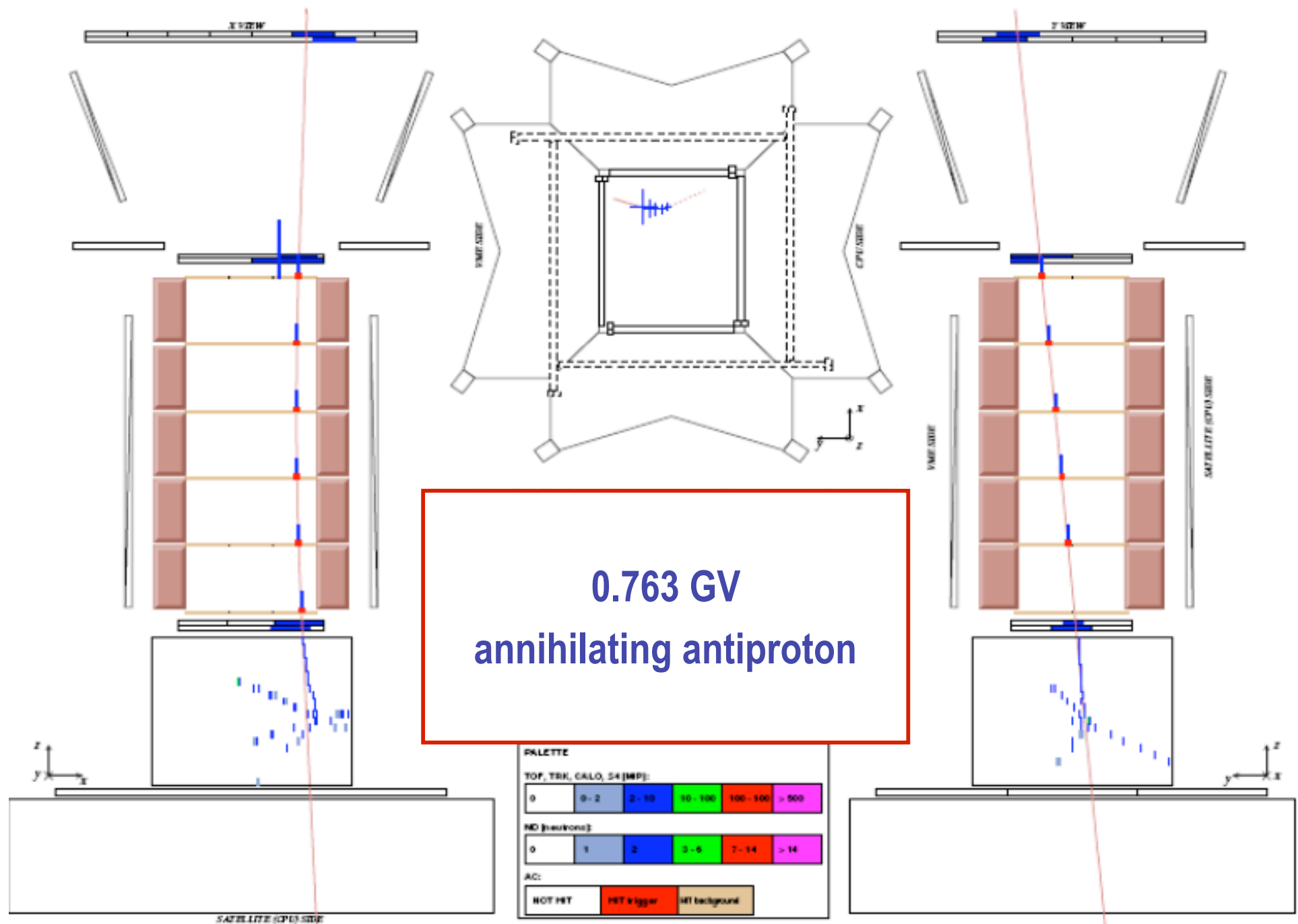


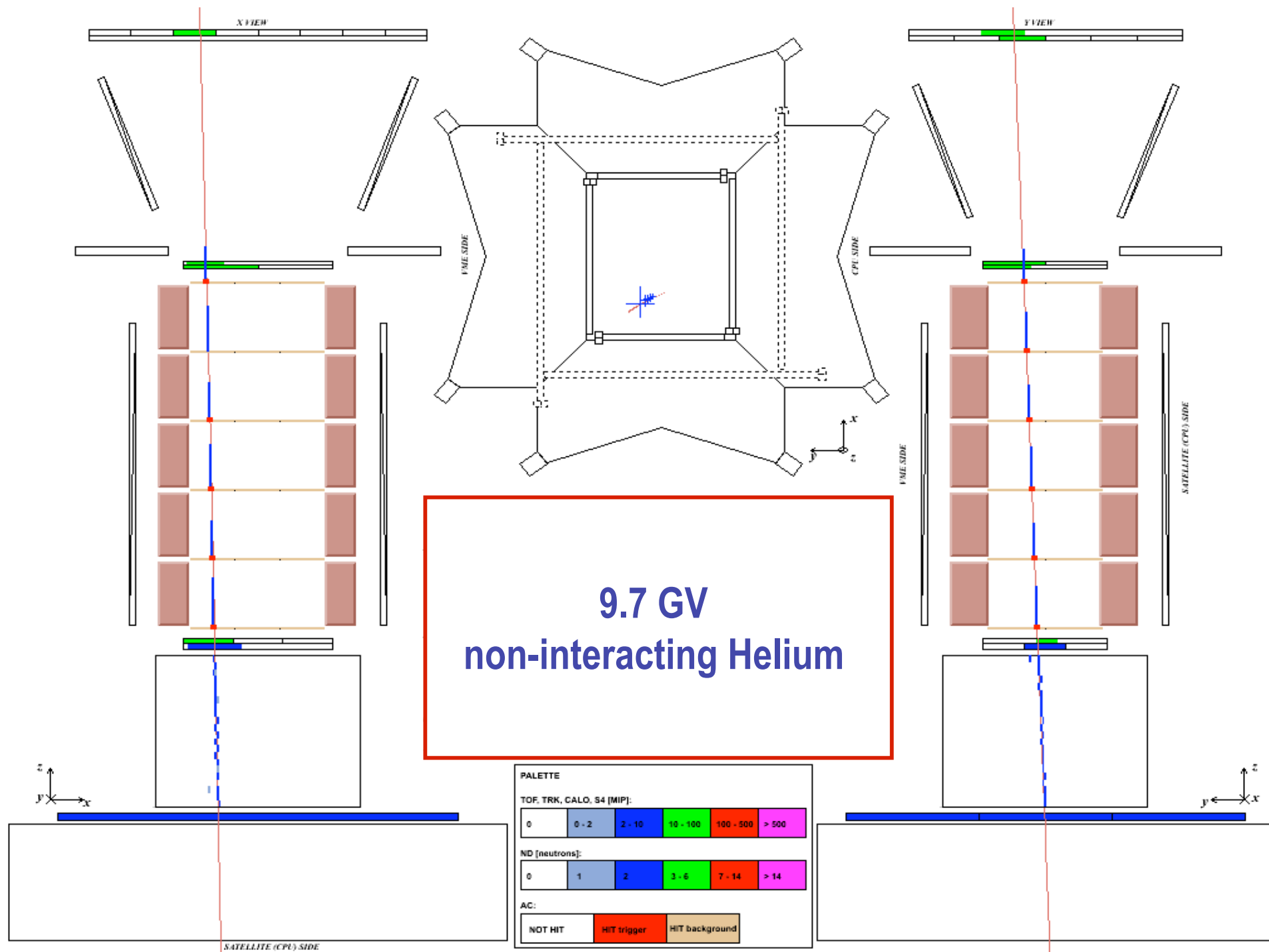
58.1 GV  
positron

PALETTE					
TOF, TRK, CALO, S4 [MIP]:					
0	0 - 2	2 - 10	10 - 100	100 - 500	> 500
ND (neutrons):					
0	1	2	3 - 6	7 - 14	> 14
AC:					
NOT HIT	HIT trigger	HIT background			

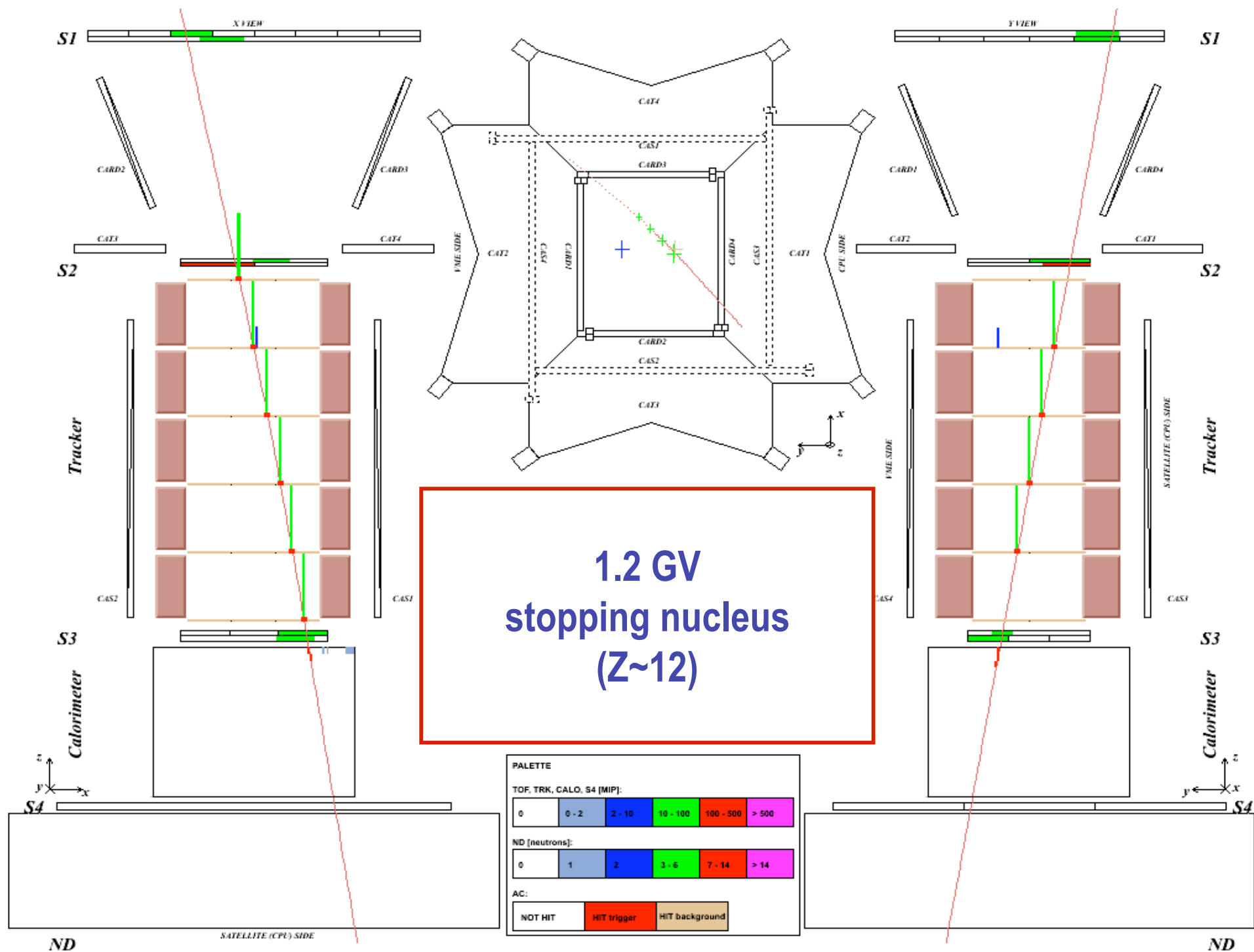












## ***(2) Measurement of relative fluxes of antiparticles***



# Relative vs. absolute antiparticle fluxes

- **The absolute differential flux of a particle species in a given energy bin is defined**

$$J(bin) = \frac{N_{SEL}}{T_{LIVE}} \cdot \frac{1}{\epsilon_{TRIG}} \cdot \frac{1}{\epsilon_{SEL}} \cdot \frac{1}{E_{max} - E_{min}} \cdot \frac{1}{G}$$

and measured in particles/(s  $\approx$  GeV  $\approx$  m<sup>2</sup> sr), with:

- **N<sub>SEL</sub>** : number of selected events, during the live time T<sub>LIVE</sub> (s), giving trigger and satisfying a set of selection cuts which ideally reject all unwanted background.
- **$\epsilon_{TRIG}$**  : trigger efficiency.
- **$\epsilon_{SEL}$**  : combined efficiency of all the selection cuts.
- **G**: geometric factor (m<sup>2</sup> sr) for the instrument acceptance.
- **Dependence of all quantities from the bin (energy) is implicit.**
- **All the involved factors and their energy dependence must be measured.**

# Relative vs. absolute antiparticle fluxes

- **Relative differential fluxes of antiparticles:**

- (2.a) proton/antiproton flux ratio;
- (2.b) positron fraction over the positron+electron flux.

**exploit the fact that particle and antiparticle behave almost identically in the detectors.**

- **If the same selection cuts are used for antiparticle and particle, then the relative fluxes are given by ratios of selected events:**

$$R(bin) = \frac{N_{SEL}^{(p-bar)}}{N_{SEL}^{(p)}}$$

$$F(bin) = \frac{N_{SEL}^{(e^+)}}{N_{SEL}^{(e^+ + e^-)}}$$

- **systematic errors related to all other terms are thus avoided;**
- **residual differences in interactions are taken into account as (small) corrections.**

## ***(2.a) Antiproton/proton ratio***



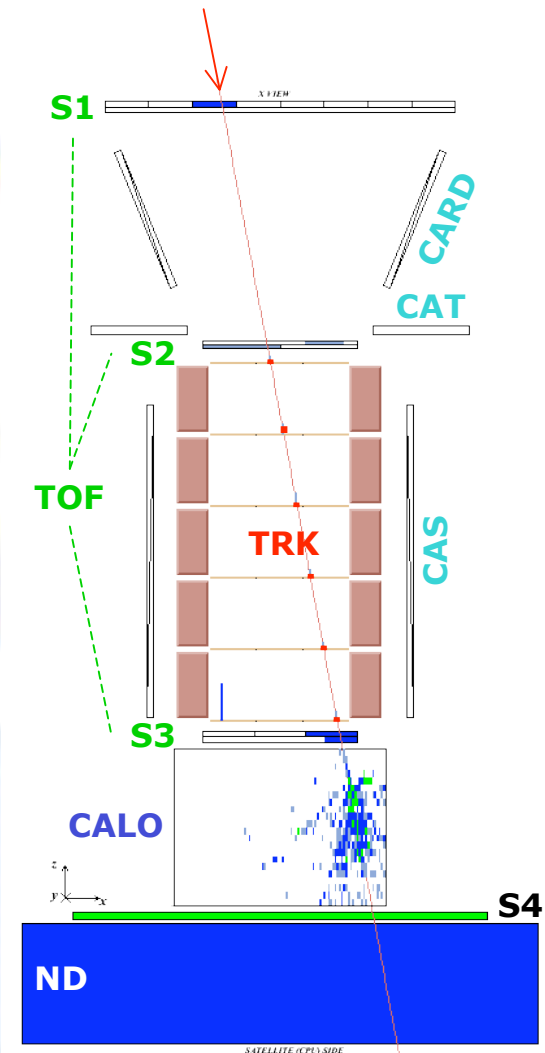


# ***Antiproton/proton analysis***

- **This analysis is used here as a first illustrative template of the general approach to measure particle fluxes with PAMELA.**
- **Three main steps:**
  - (Step I) basic event selection;
  - (Step II)  $\bar{p}/e^-$  discrimination with CALO;
  - (Step III)  $\bar{p}/p$  separation with TRK (the main issue).

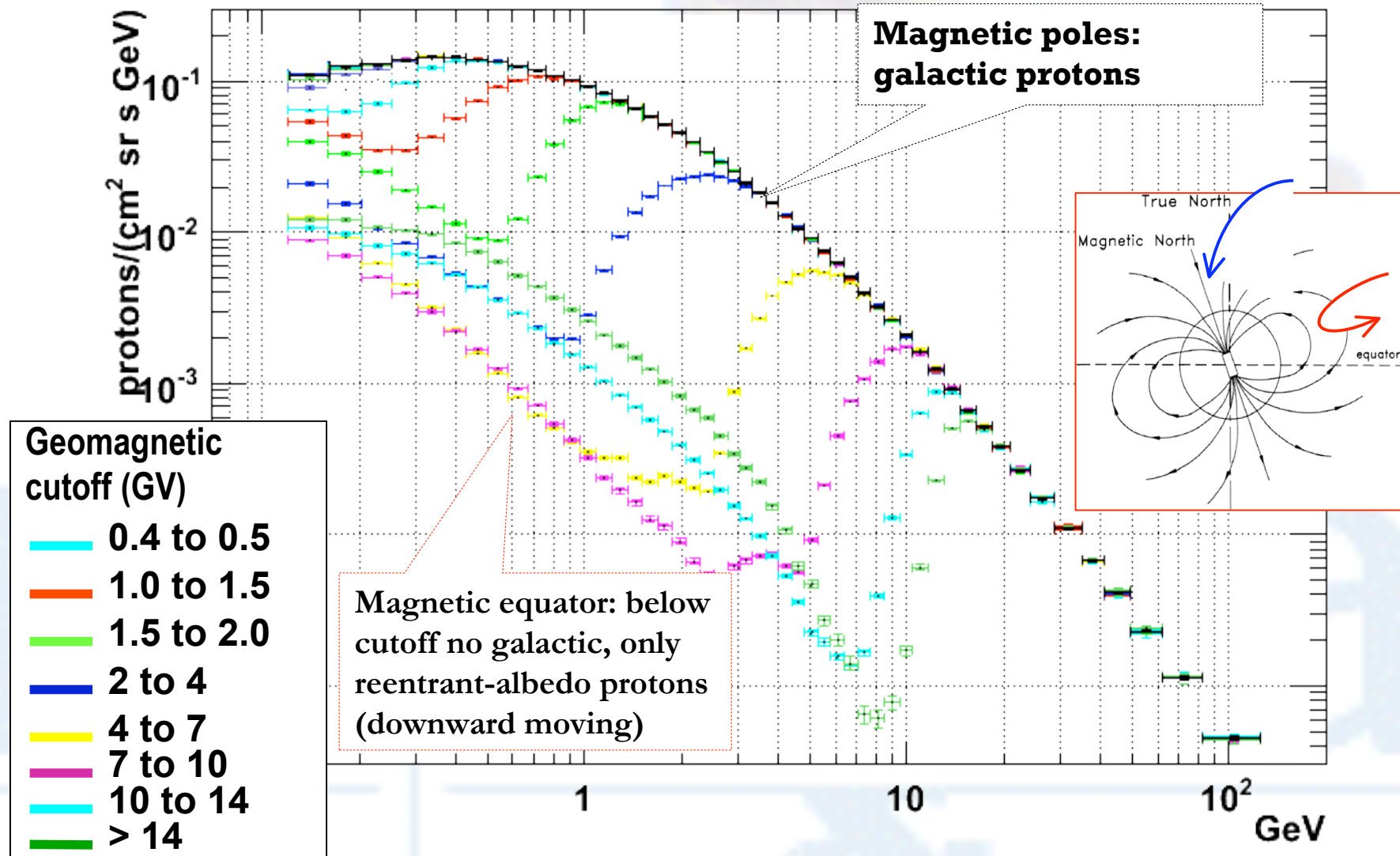
# *(Step I) Basic event selection*

- **Aim of the selection cuts:**
  - reject background;
  - guarantee a precise measurement of rigidity  $R$ .
- **All cuts are applied for both charge signs.**
- **Clean event pattern:**
  - single track satisfying **minimal TRK requirements** (discussed later);
  - no activity in **CARD+CAT**.
- **$|Z|=1$  from  $dE/dL$  vs.  $R$ .**
- **$\beta$  vs.  $R$  consistent with  $m_p$  (at energies  $< m_p$ ):**
  - reject pions from interaction.
- **Downward-going particle (TOF  $\beta$ ).**
- **$R > 1.3 R_{\text{cutoff}}$  (Stoermer vertical geomagnetic cutoff):**
  - conservatively reject reentrant (non-galactic) particles.



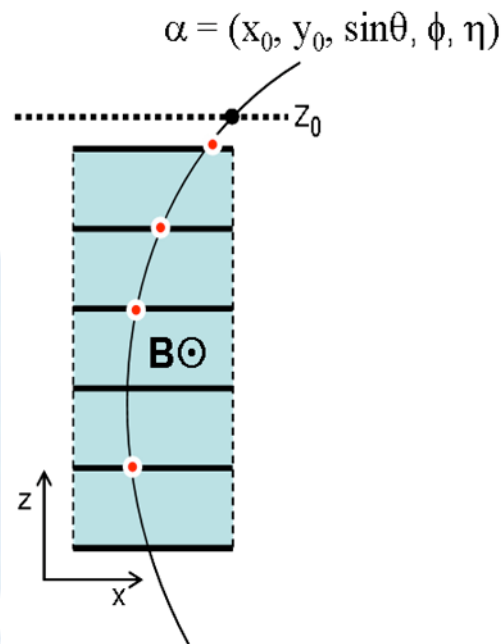
# Geomagnetic cutoff

(PAMELA data: statistical errors only)



# Momentum and charge sign with TRK

- **Minimal track requirements for good rigidity measurement:**
  - at least 4 X (bending view) + 3 Y hits;
  - energy-dependent cut on track  $\chi^2$  (~95% total efficiency);
  - consistent TRK+TOF+CALO spatial information.



Magnetic rigidity  $R = pc/Ze$  (GV)

Magnetic deflection  $\eta = 1/R$  (GV<sup>-1</sup>)

MDR (Maximum Detectable Rigidity)

MDR =  $1/\sigma_\eta$  ( $\sigma_\eta$  spectrometer deflection resolution)

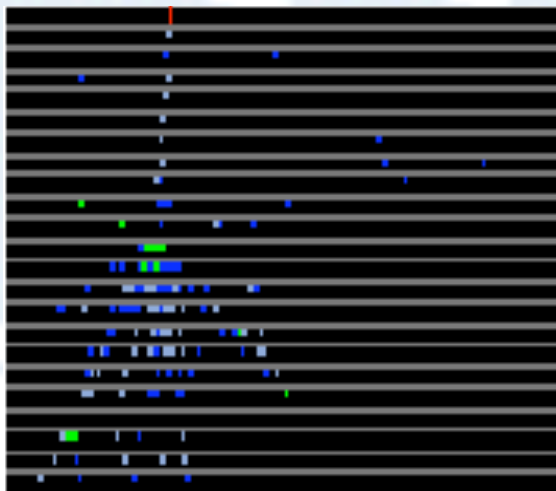
MDR depends on event characteristics and is evaluated event-by-event with the fitting routine:

- number and distribution of fitted points along the track;
- spatial resolution of the single position measurements (varies with track inclination and strip noise);
- magnetic field intensity along the track.

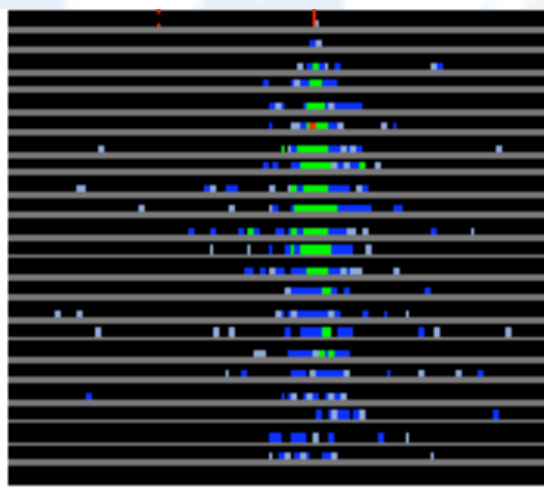
## (Step II) $p\text{-bar}/e^-$ discrimination

- **Contamination from  $e^-$  on  $p\text{-bar}$  sample is reduced to a negligible amount.**
  - $e^-$  are easily identified in CALO from interaction topology:
    - interact in the first CALO layers;
    - give well contained, compact EM showers;
  - on the other hand, most hadrons interact well deep in the CALO or do not interact at all.

hadron (R=19GV)



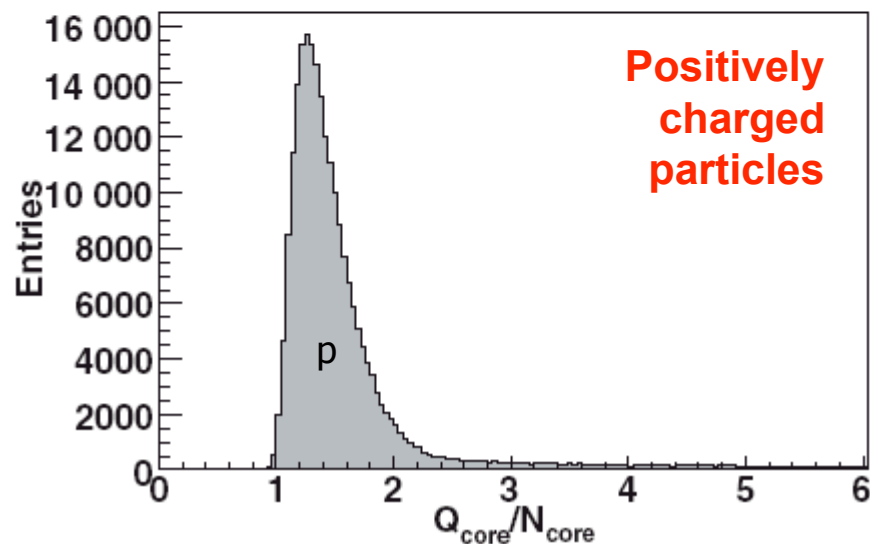
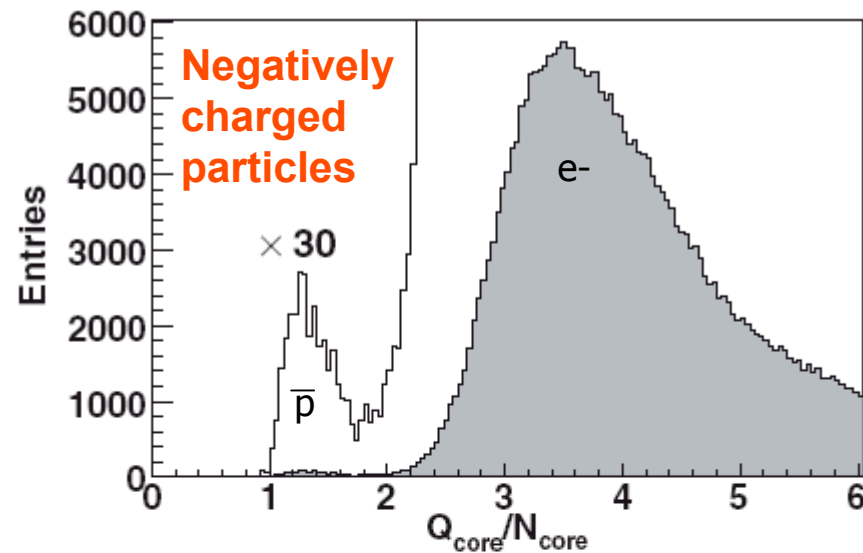
electron (R=17GV)



22 modules (Y Si-strip +  
W layer + X Si-strip)

Total depth:  
 $16.3 X_0$  or  $0.6 \lambda_I$

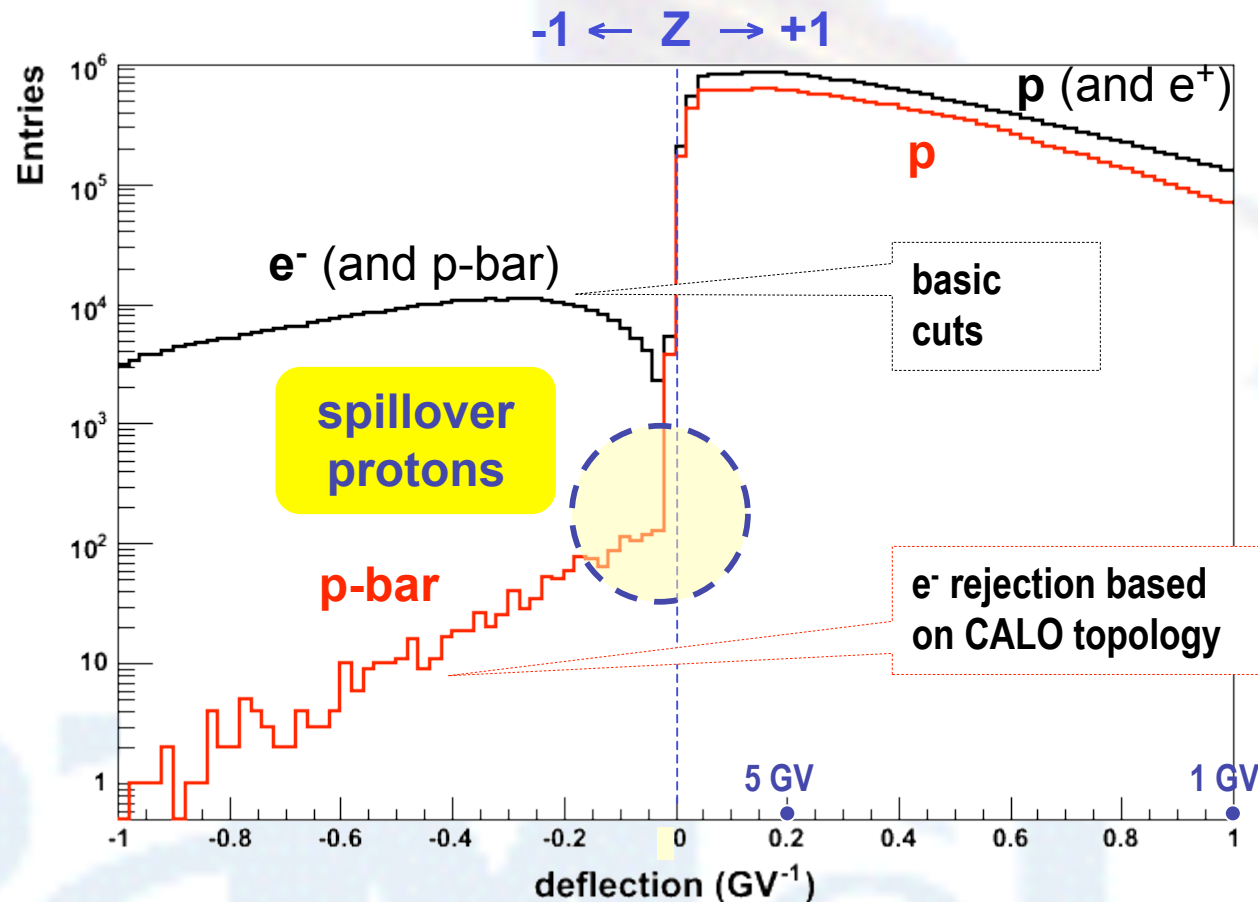
# ***$p\text{-}\bar{p}/e^-$ discrimination***



- The CALO offers an excellent discrimination between electromagnetic and hadronic interactions.
- Several **topological variables** can be defined.
- As example, the energy density in the shower core weighted by the depth in the calorimeter.
- Total rejection factor  $>10^4$  for  $e^-$  from beam tests and simulation.
- Residual  $e^-$  contamination after all topological cuts are applied is negligible.



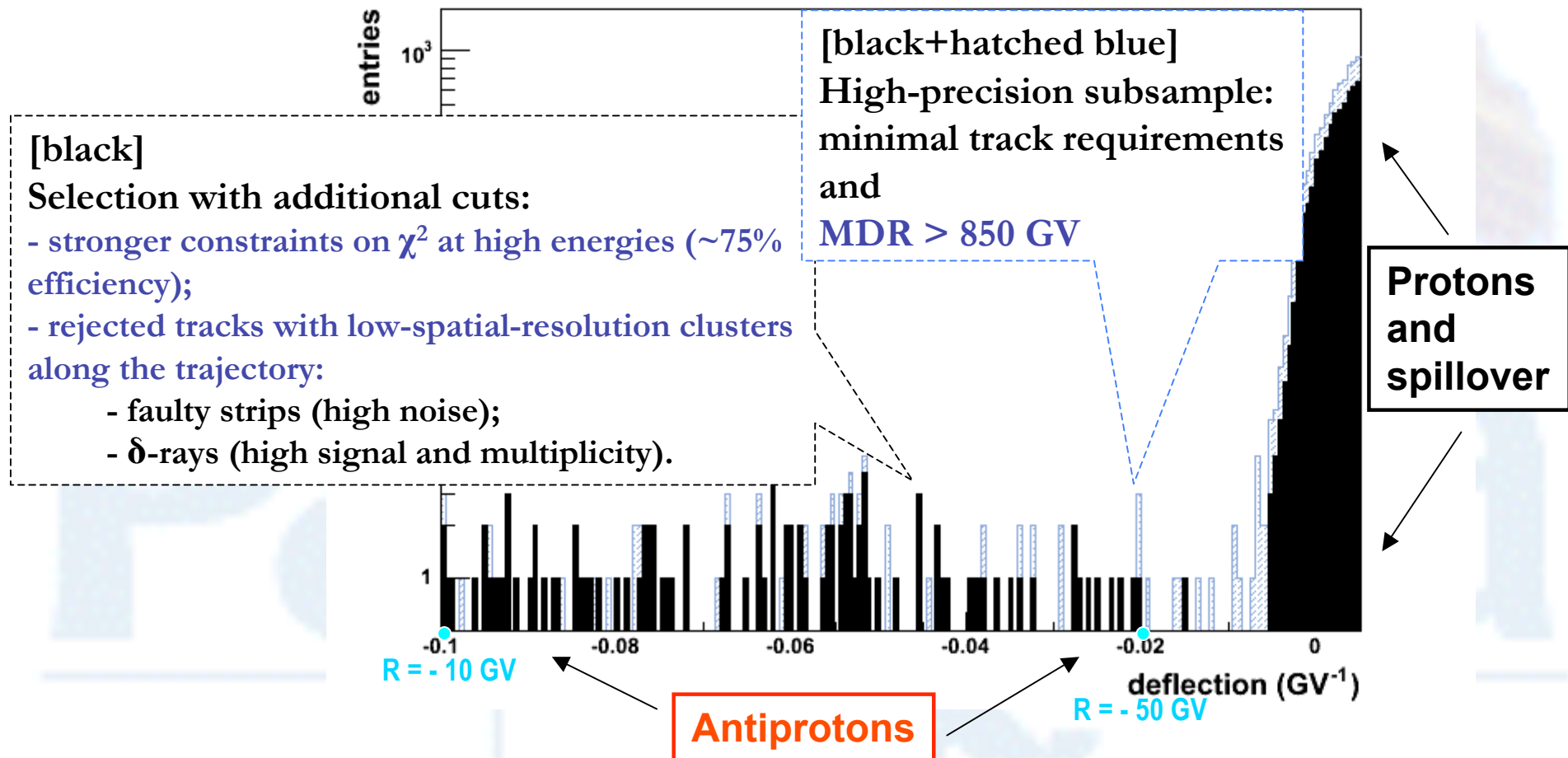
# Selected sample after all previous cuts



- At high energies the  $p$ -bar sample can contain “spillover” protons (i.e. with **wrong measured charge sign**), as consequence of the deflection uncertainty  $\sigma_\eta = 1/\text{MDR}$ .

## (step III) p-bar/p charge-sign separation

- **Spillover proton background** is the main issue for this analysis, because of the high ( $\sim 10^4$ ) p/p-bar ratio in cosmic rays.
  - Defined a set of additional optimized TRK requirements to improve MDR of selected events.

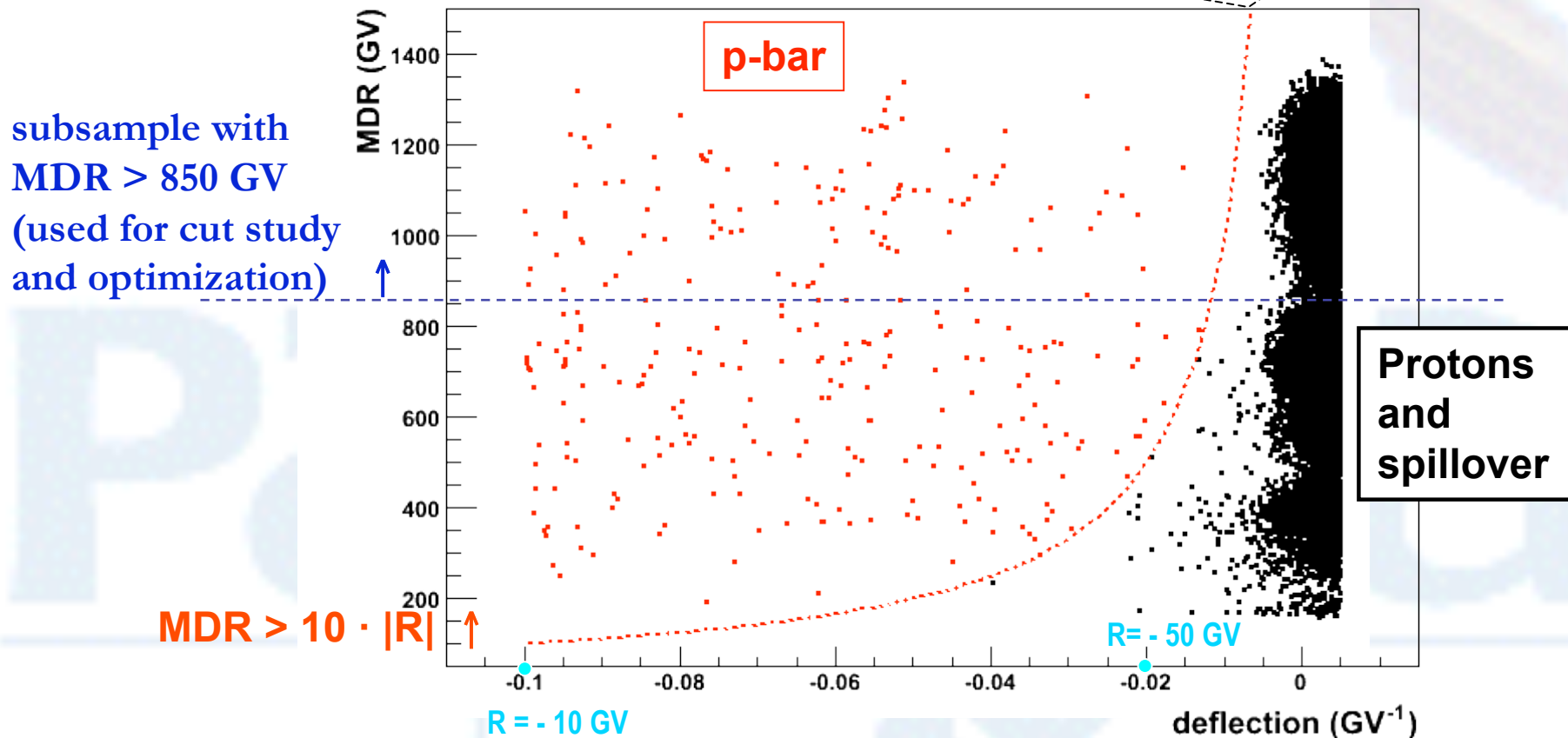


# ***p-bar/p charge-sign separation***

Further additional rigidity-dependent cut to reject residual spillover:

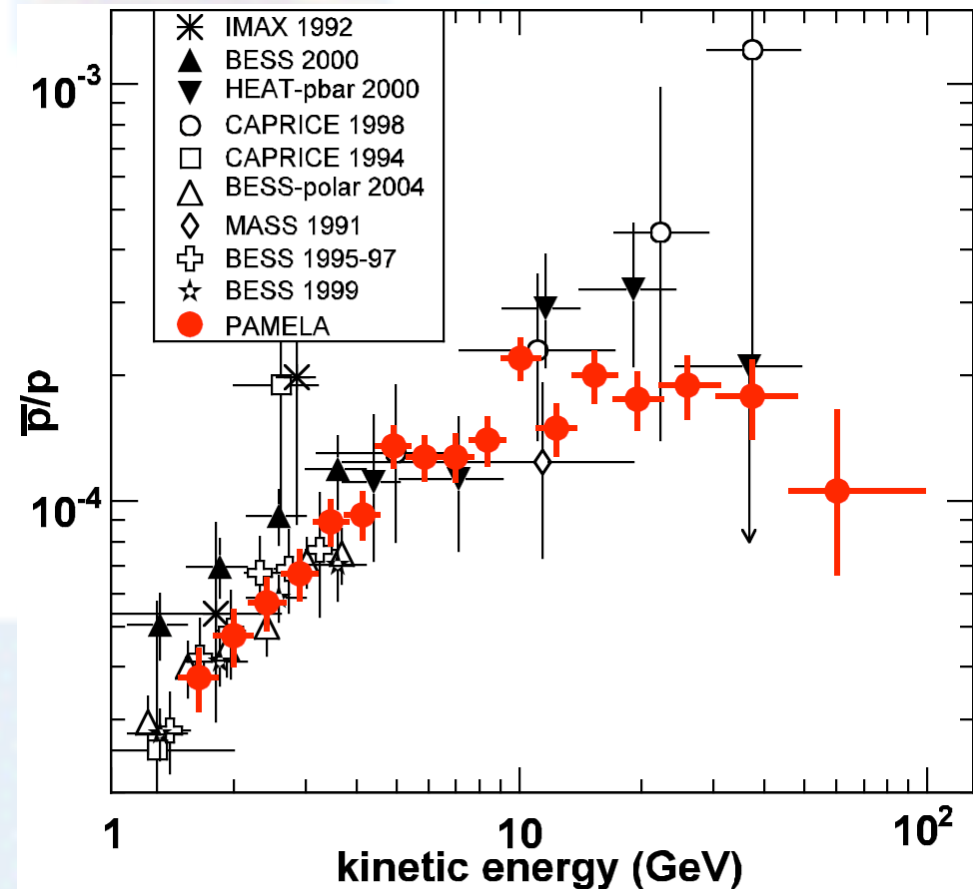
$$\text{MDR} > 10 \cdot |R| \text{ or equivalently } |\eta| > 10 \cdot \sigma_\eta$$

Residual spillover contamination is finally reduced to a negligible amount.



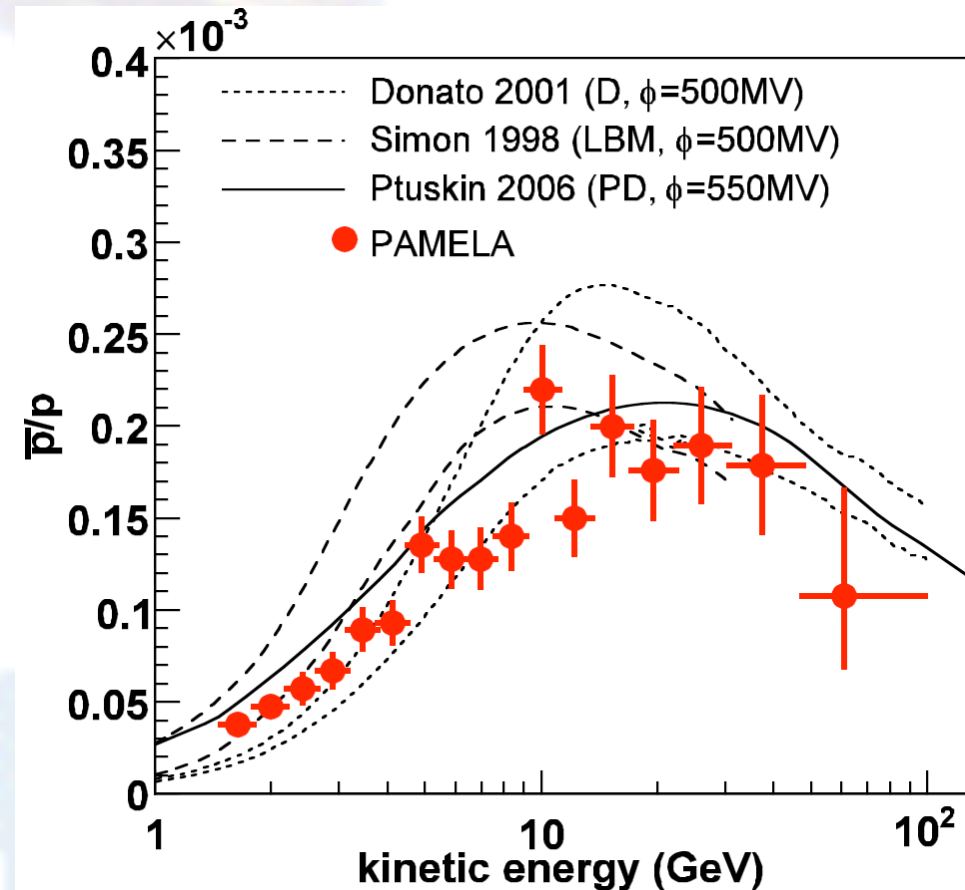
# Antiproton/proton ratio (*PRL 102, 2009*)

- **Excellent agreement with recent data from other experiments.**
  - One order of magnitude improvement in statistics.
  - Most extended energy range ever achieved.
- **Correction factors are included and  $\sim$  one order of magnitude less than statistical error.**
  - CALO efficiency (different for  $\bar{p}$ -bar and  $p$ );
  - loss of particles for interactions.
- **Not included but negligible: residual  $\pi$  contamination**

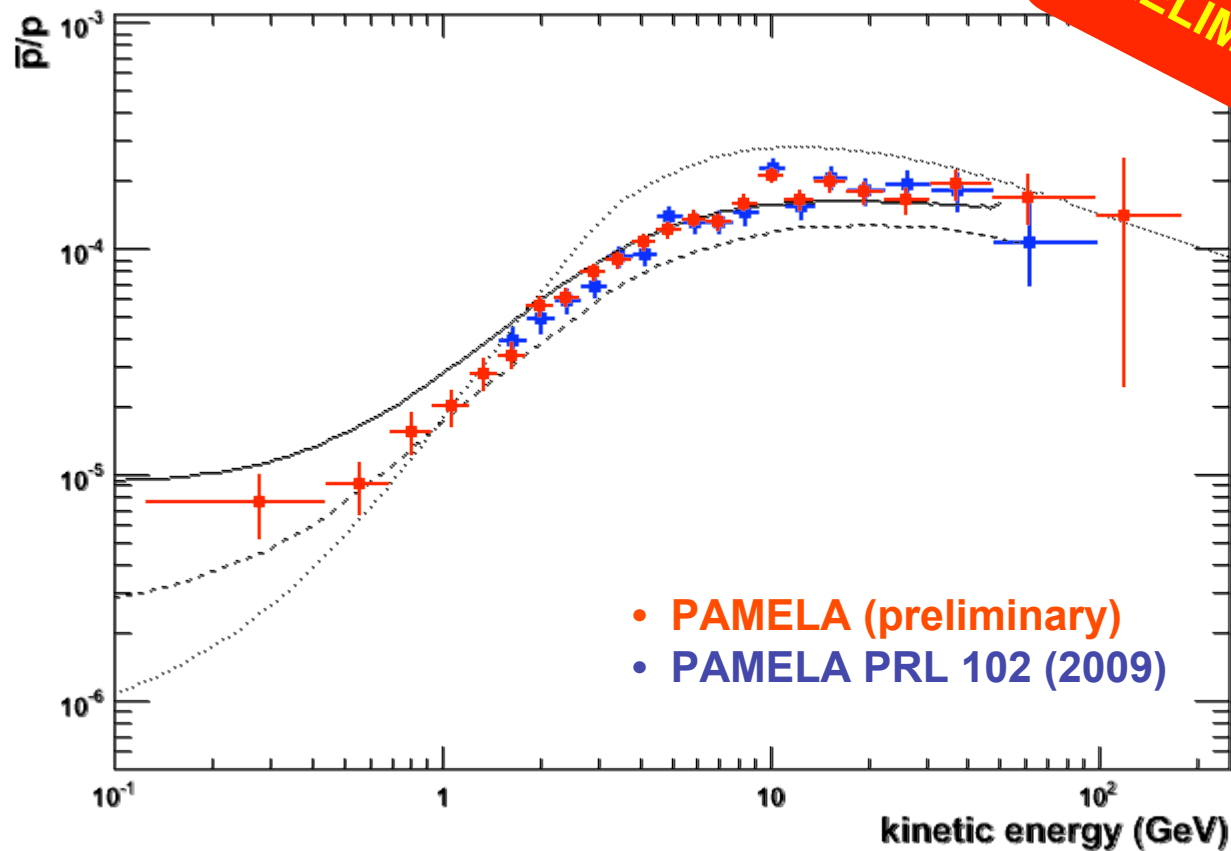


# Antiproton/proton ratio (*PRL 102, 2009*)

- Ratio increases smoothly with energy from  $4 \times 10^{-5}$  and levels off at  $\sim 1 \times 10^{-4}$ .
- Our results are **enough precise to place tight constraints** on parameters relevant for secondary production calculations.
- Our data above 10 GeV place limits on contributions from exotic sources, e.g. dark matter particle annihilations.



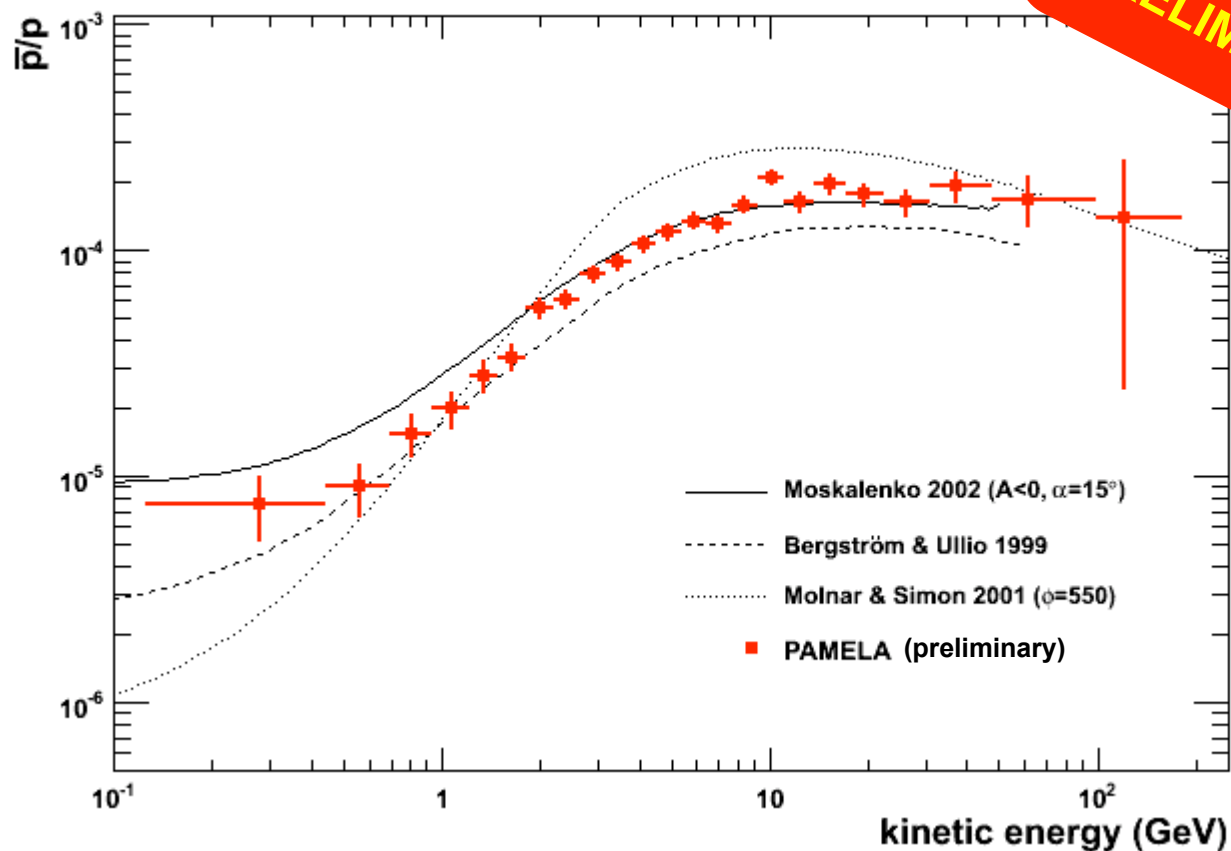
# *new preliminary antiproton/proton ratio*



- Included further collected statistics.
- Energy range extended in both directions.
- New analysis points are fully consistent with old ones.



# *new preliminary antiproton/proton ratio*



- For the highest bin, the cut  $\text{MDR} > 6 \cdot |R|$  is used to increase statistics.
  - Estimation of spillover background is under way.

## ***(2.b) Positron fraction***



# ***High-energy positron fraction analysis***

- **Results discussed here have been published in *Nature* 458 (2009), 607-609.**
- **Analyzed data: July 2006 - February 2008.**
- **Total acquisition time ~ 500 days.**
- **$\sim 1 \cdot 10^9$  triggers ( $\sim 8.8$  TB of data).**
- **Identified  $\sim 150 \times 10^3$  electrons and  $\sim 9 \times 10^3$  positrons with energy between 1.5 and 100 GeV.**
  - **Collected 180 positrons above 20 GeV.**
- **Analysis of new data with extension of energy range in both directions is under way.**

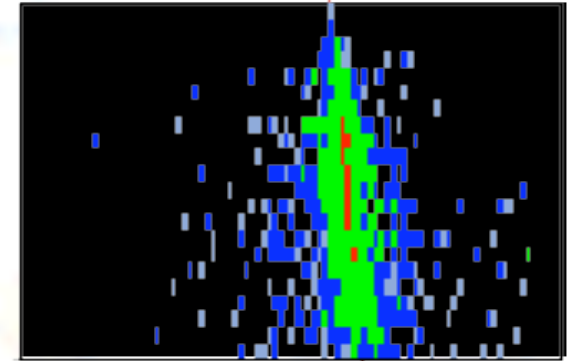
# ***High-energy positron fraction analysis***

- **Basic cuts: similar to antiproton/proton ratio.**
- **Rigidity measured by TRK.**
  - **Bremsstrahlung inside spectrometer taken into account (cross-check with CALO energy measurement).**
- **$e^-/e^+$  charge-sign separation (spillover rejection) is much easier than for  $p\text{-bar}/p$ :**
  - **$e^-/e^+$  ratio is relatively small at high energies ( $\sim 10$ ) with respect to  $p/p\text{-bar}$  ( $\sim 10^4$ ).**
- **Main issue for this analysis:  $e^+/p$  separation with CALO.**
  - **$\pi^0 \rightarrow \gamma\gamma$  from hadronic showers might mimic pure EM showers;**
  - **$p/e^+$  ratio increases for increasing energy ( $10^3$  at 1 GV;  $10^4$  at 100 GV).**

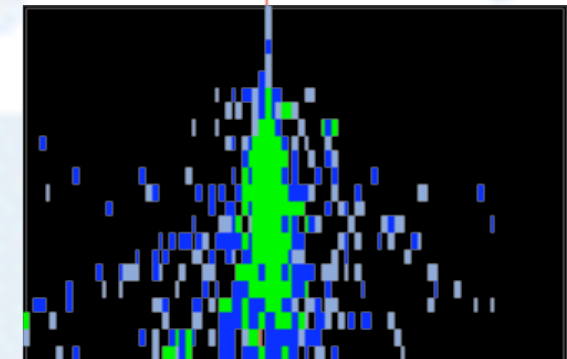
# $e^+/p$ separation with CALO

- **Positron identification based on:**
  - **total detected energy** (“energy-rigidity match”);
  - **shower topology** (lateral and longitudinal profile, shower starting point).
- **Procedure can be divided into 2 steps.**
- *(Step I) p background suppression with CALO.*
  - The required p rejection factor is larger than  $10^5$ .
- *(Step II) evaluation of residual p background.*
  - **Given the importance of the measurement, this evaluation is based only on flight data.**
  - Beam-test and/or simulation calibrations are not introduced in the measurement, but used for cross-check purposes.

51 GV positron

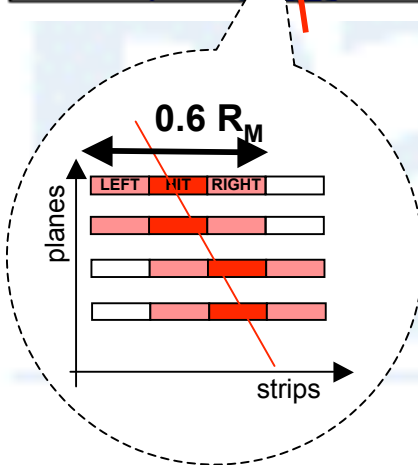
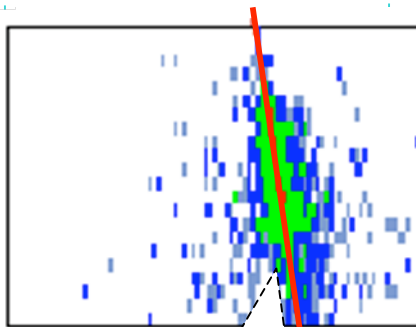


80 GV proton



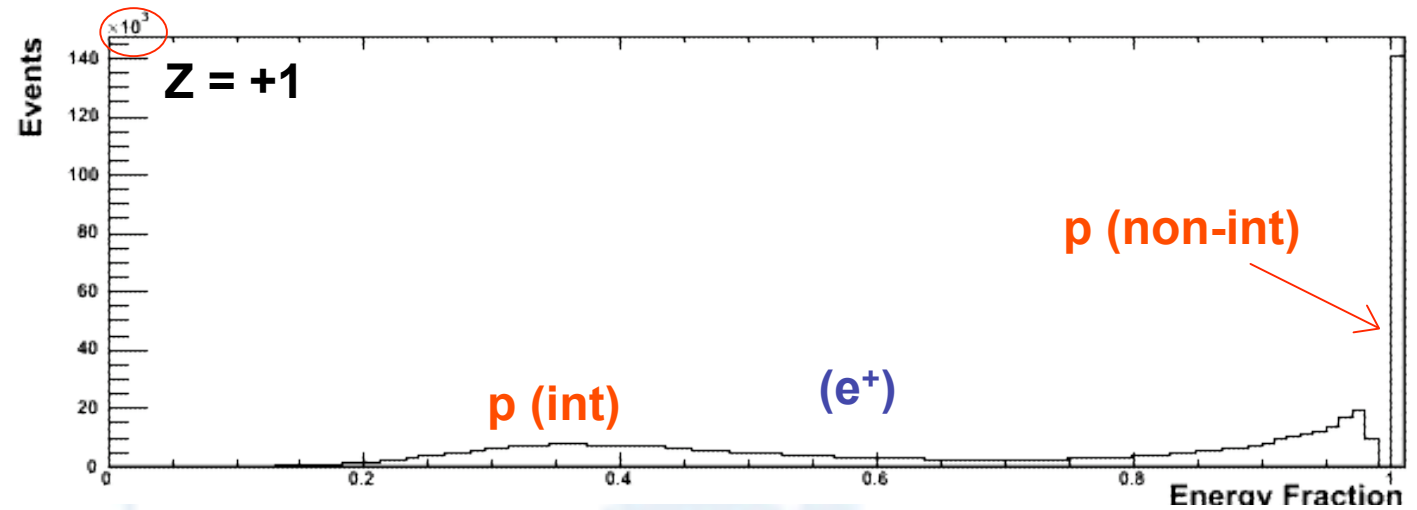
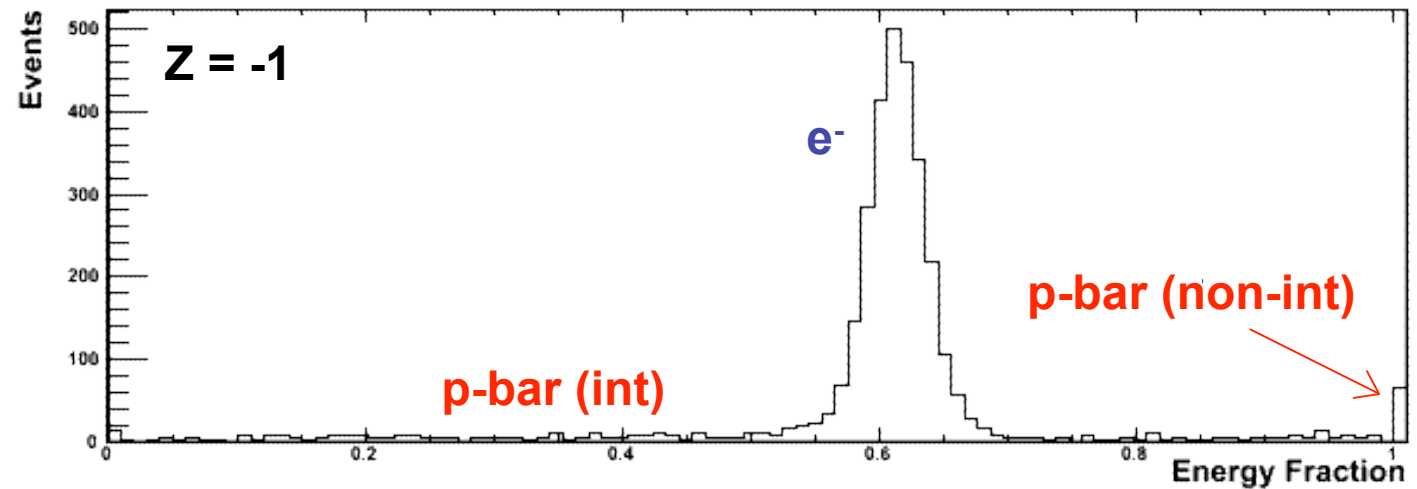
# (step 1) Background suppression with CALO

Fraction  $F$  of energy released in CALO along the track in a cylinder of radius  $0.3 r_{\text{Molière}}$  (central + 2 lateral Si strips)



**Rigidity: 20-30 GV**

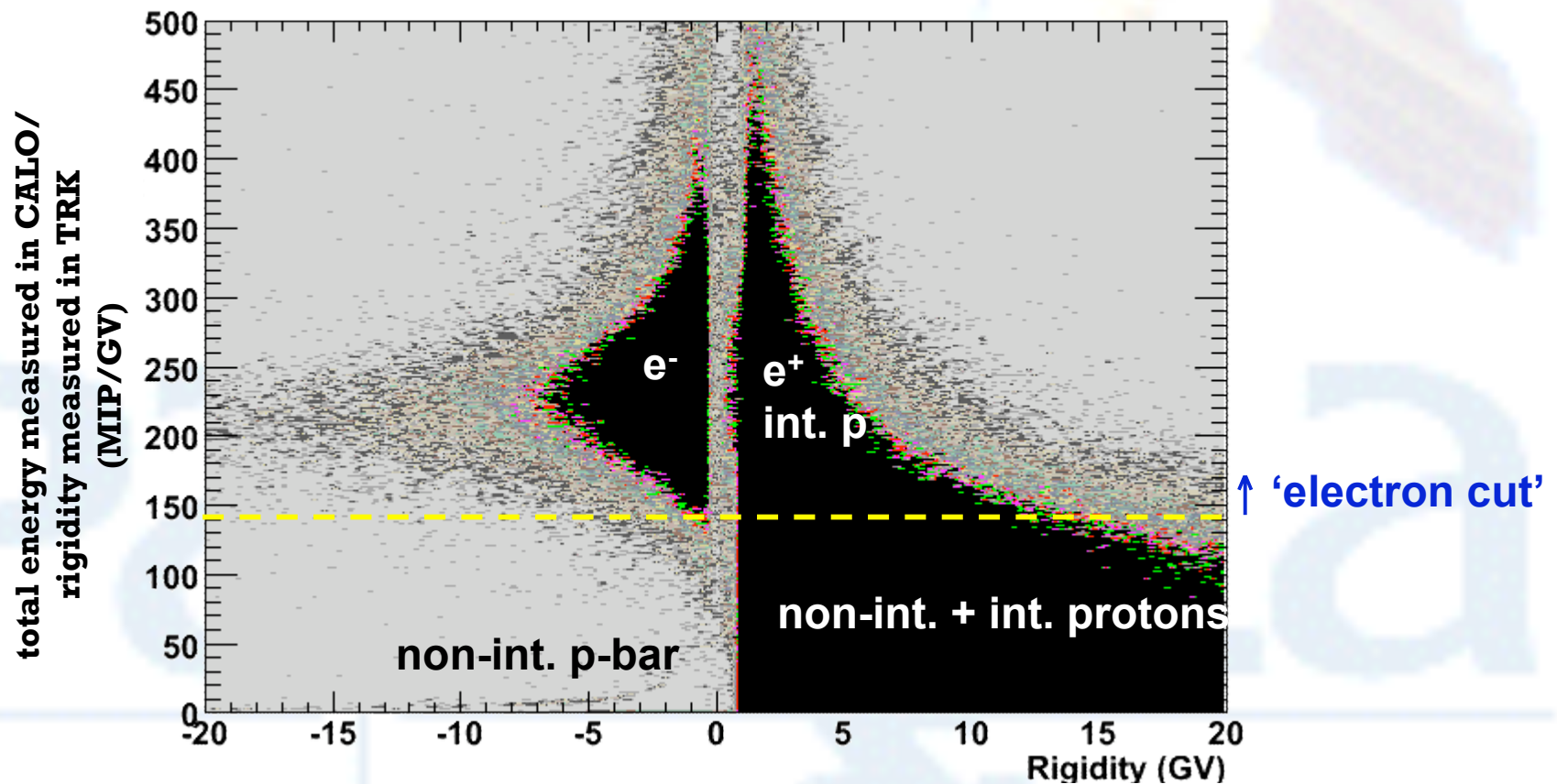
after basic event cuts,  
before CALO cuts





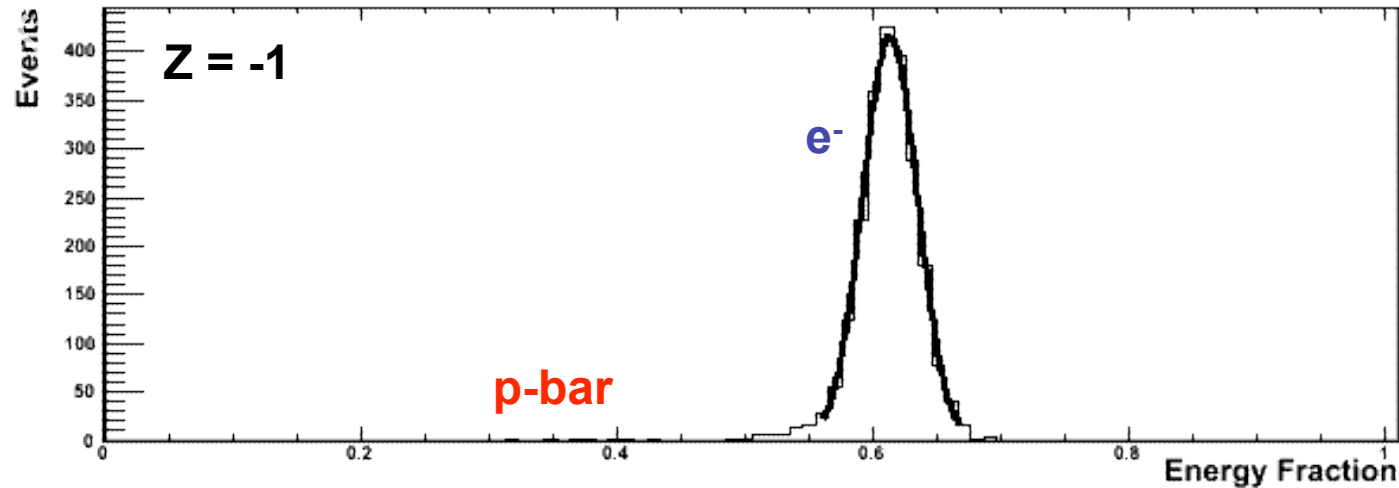
# Cut on “energy-rigidity match”

- Consider the **ratio** between *total* energy measured by CALO and rigidity measured by TRK.
  - For electrons (positrons) ratio is constant over rigidity.



# Cut on “energy-rigidity match”

Rigidity: 20-30 GV

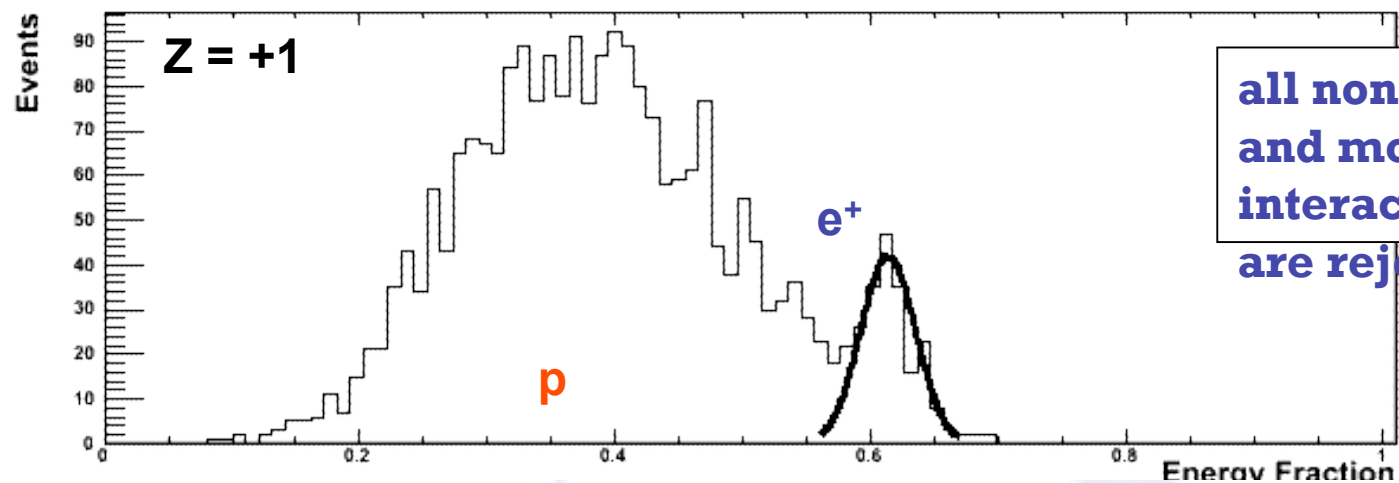


Fraction  $F$  of energy  
released in CALO  
along the track

+

Constraints on:

Energy-rigidity match



all non-interacting  
and most  
interacting protons  
are rejected

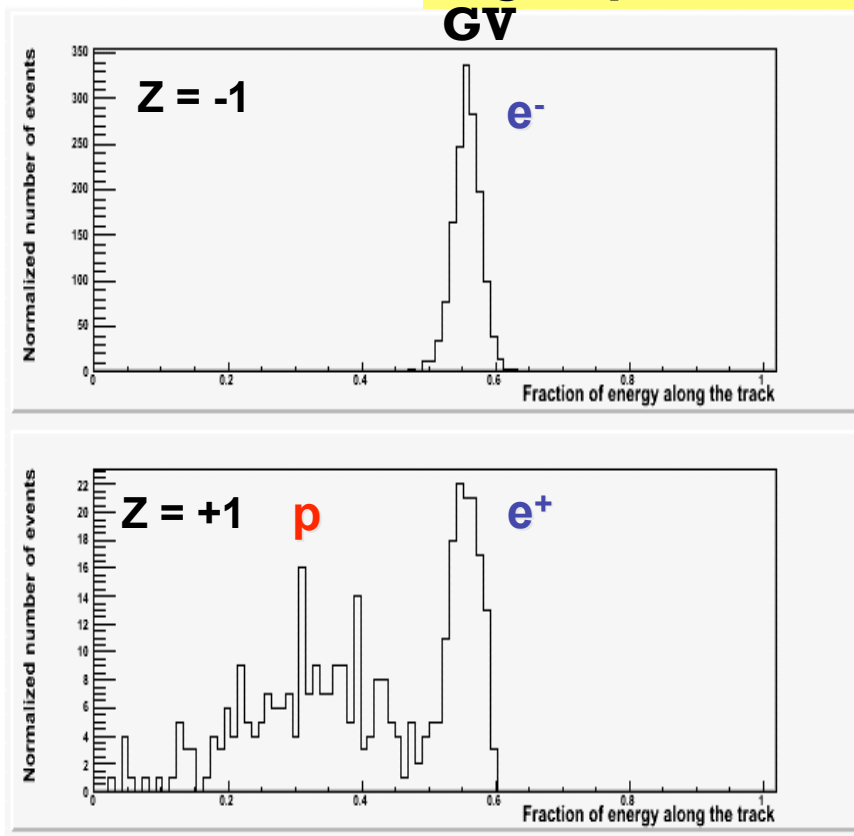
# Cut on shower starting point

Constraints on:

Energy-rigidity match

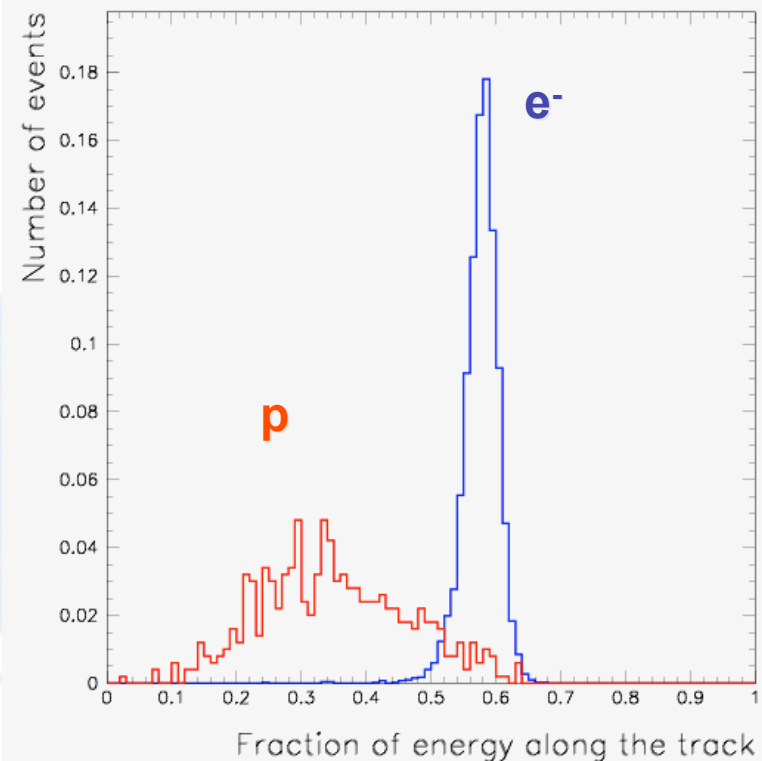
Shower starting point

**Flight data.  
Rigidity: 20-30  
GV**

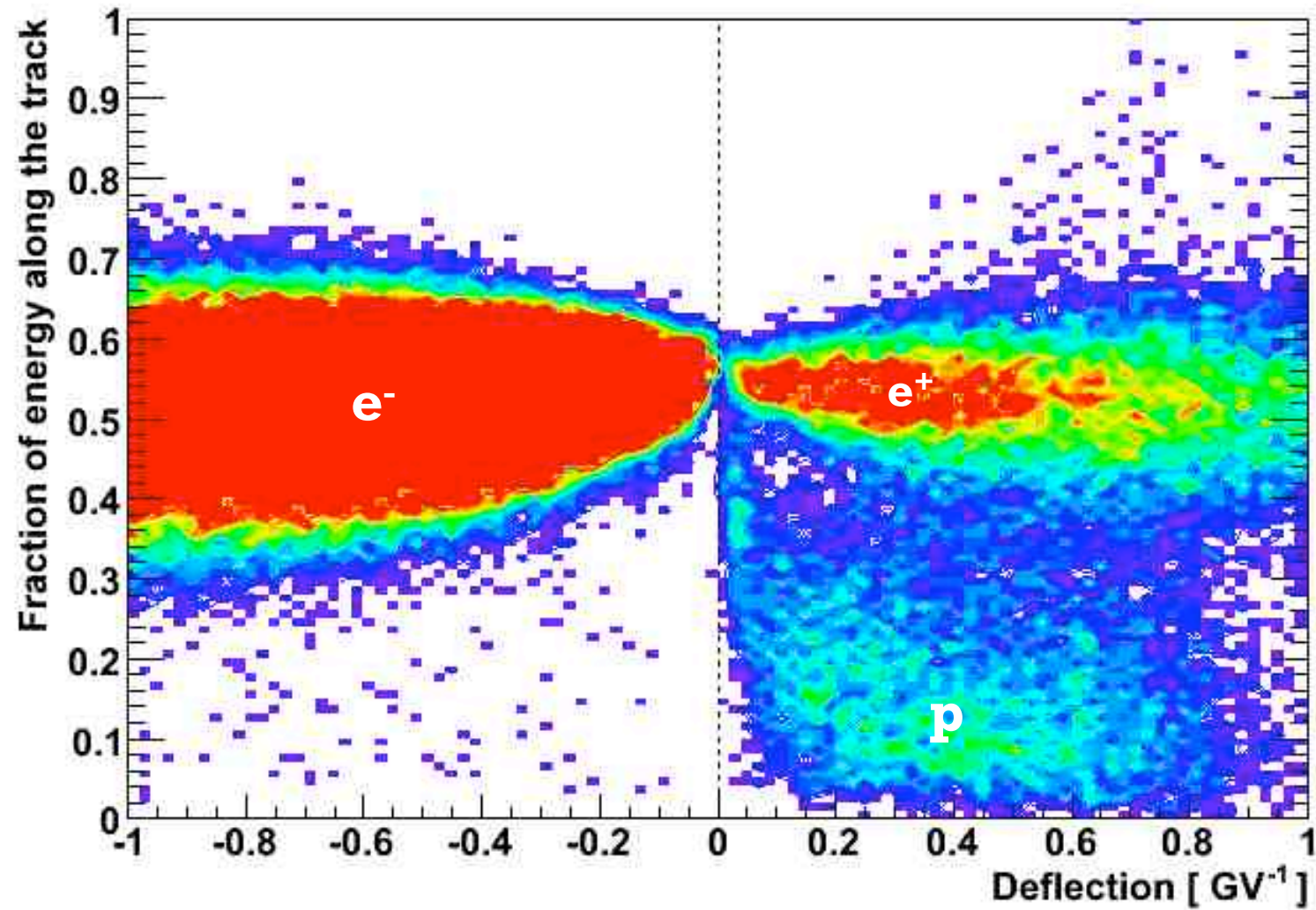


- **Proton background was also characterized at beam tests.**

**Beam-test data after same cuts are applied  
Rigidity: 50 GV**



## *Selected sample after previous cuts*



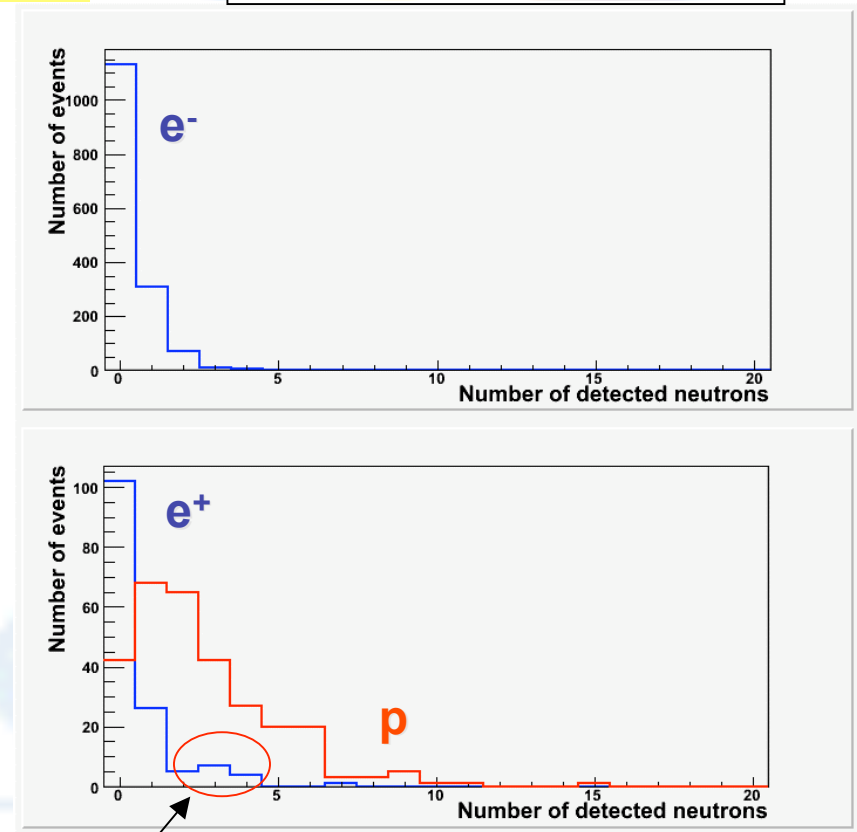
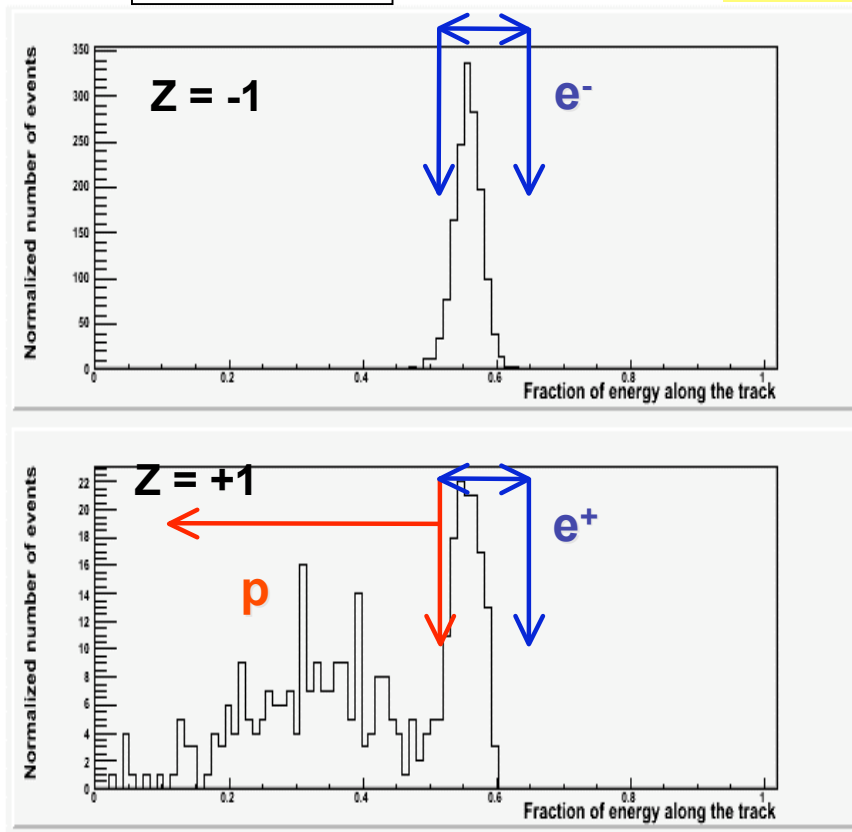
# Cross-check: ND flight data

- Cross-check with **flight data from neutron detector** to validate the selection procedure.

Fraction  $F$

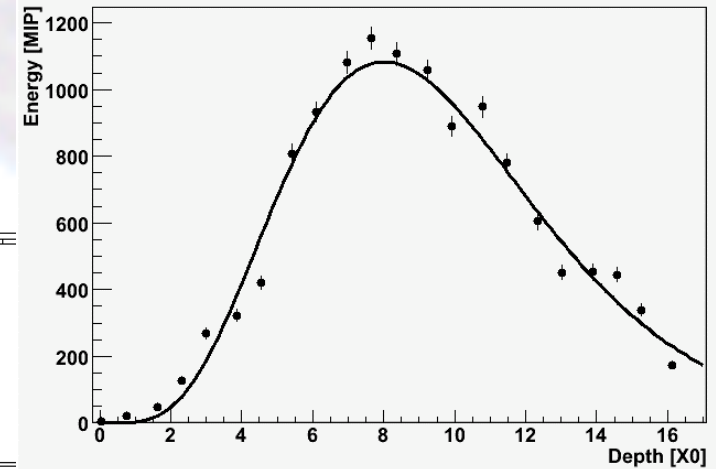
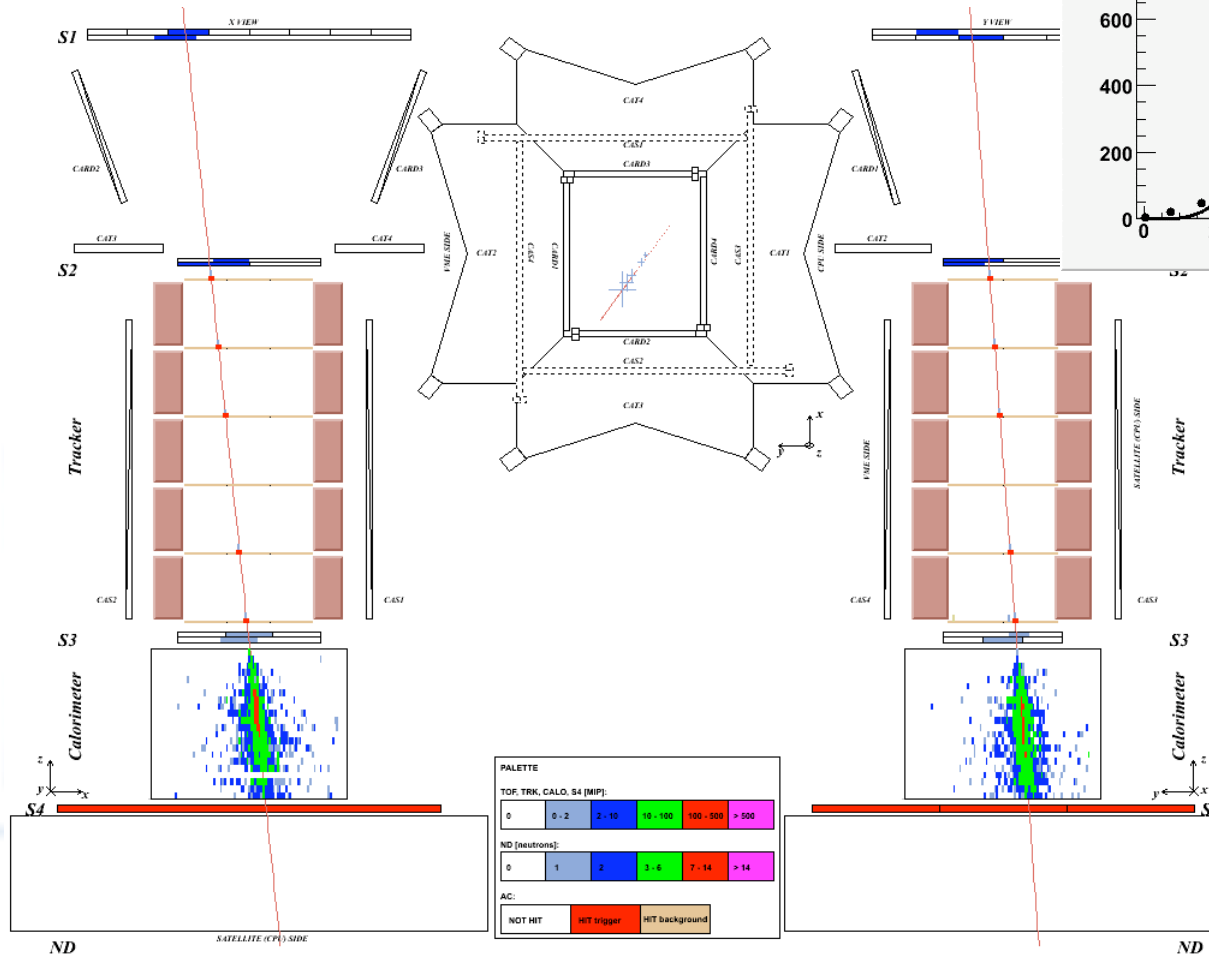
Rigidity: 20-30 GV

Neutrons detected by ND



Residual p background

# Cut on longitudinal shower profile

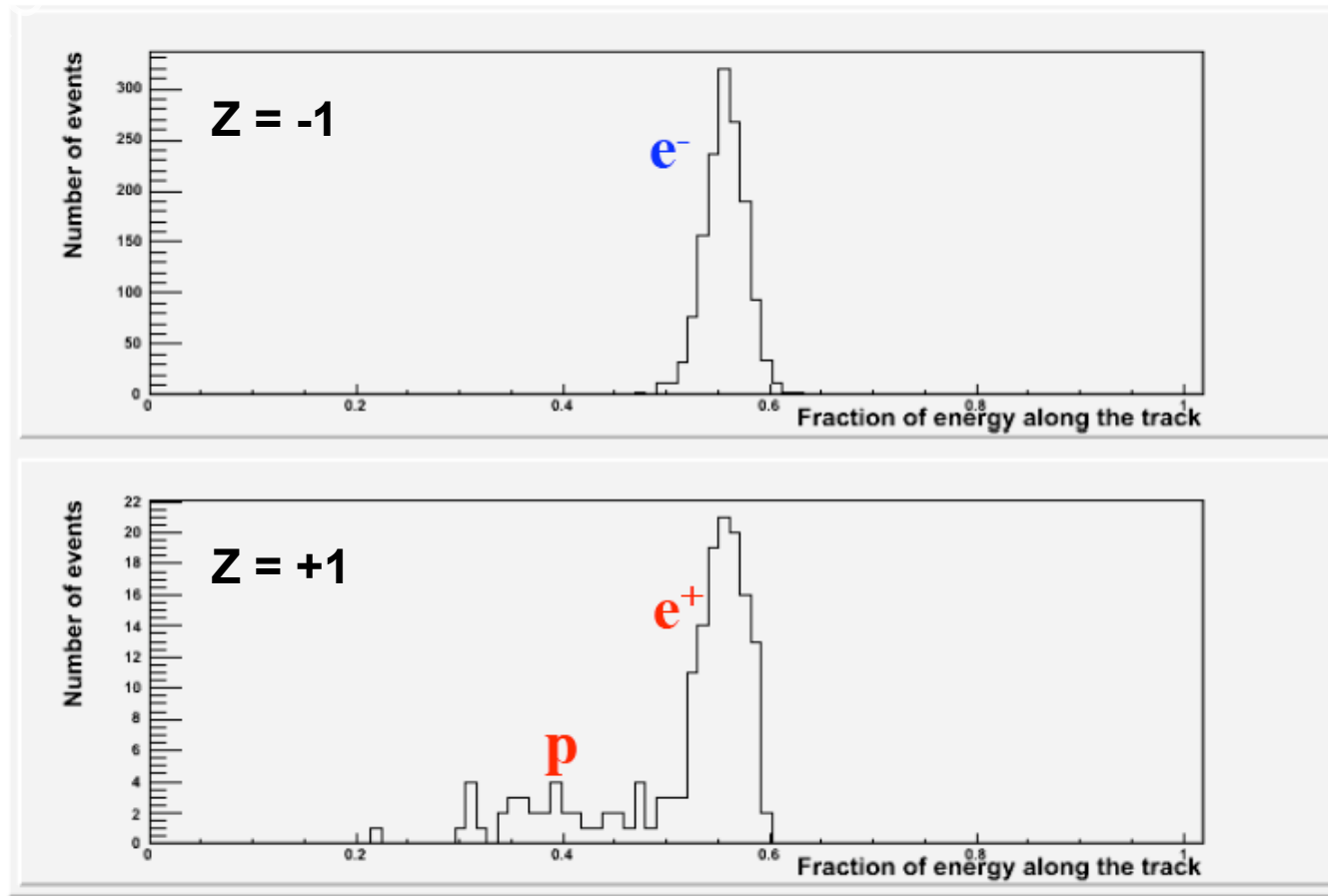


**Flight data:  
51 GV positron**



# Cut on longitudinal shower profile

**Rigidity: 20-30 GV**



Fraction  $F$  of energy  
released in CALO  
along the track

+

Constraints on:

Energy-rigidity match

Shower starting point

Longitudinal profile

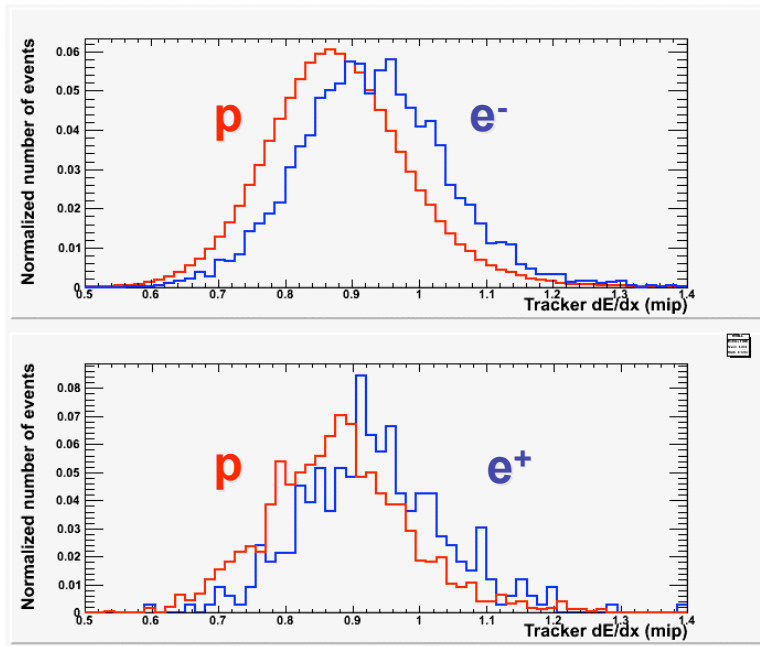
- **Less than 1 proton out of  $10^5$  survives the complete set of CALO cuts, with  $e^+$  selection efficiency 80%.**

# Cross-check: energy loss in TRK

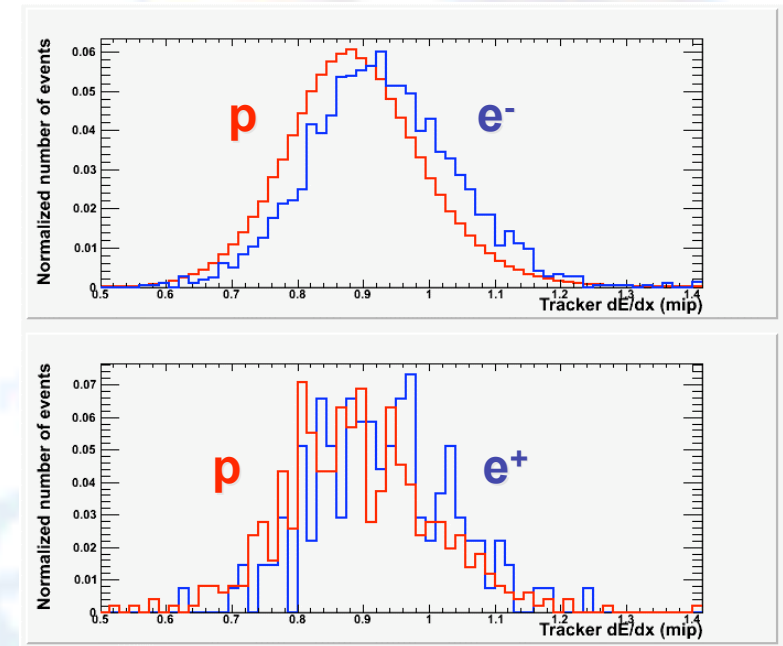
$$-\frac{dE}{dx} = K z^2 \frac{Z}{A} \frac{1}{\beta^2} \left[ \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 T_{\max}}{I^2} - \beta^2 \frac{\delta(\beta\gamma)}{2} \right]$$

- **Top: proton and electron samples, identified with TRK only (charge sign).**

**Rigidity: 10-15 GV**



**Rigidity: 15-20 GV**

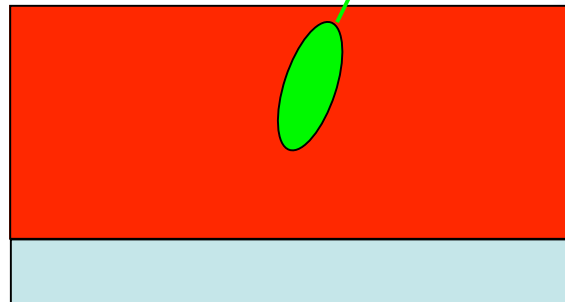


- **Bottom: proton and positron (+ residual p background) samples, identified with present CALO requirements.**

## (STEP 11) EVALUATION OF RESIDUAL $p$ background

- **Proton contamination obtained directly from flight data and subtracted with statistical “bootstrap” analysis (no simulation involved).**
  - **Two reduced, completely equivalent, CALO geometries are used to characterize the F distribution for positron and proton after all CALO cuts are applied.**

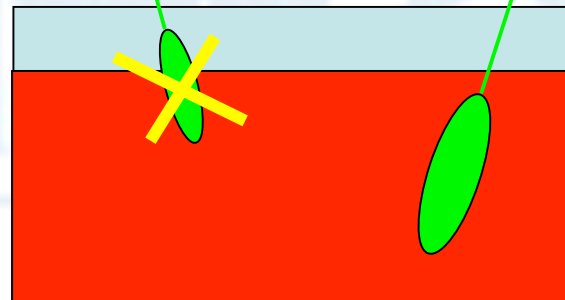
**POSITRON SELECTION**



**20 W planes:  $\approx 15 X_0$**

**2 W planes:  $\approx 1.5 X_0$**

**PROTON SELECTION**



**2 W planes:  $\approx 1.5 X_0$**

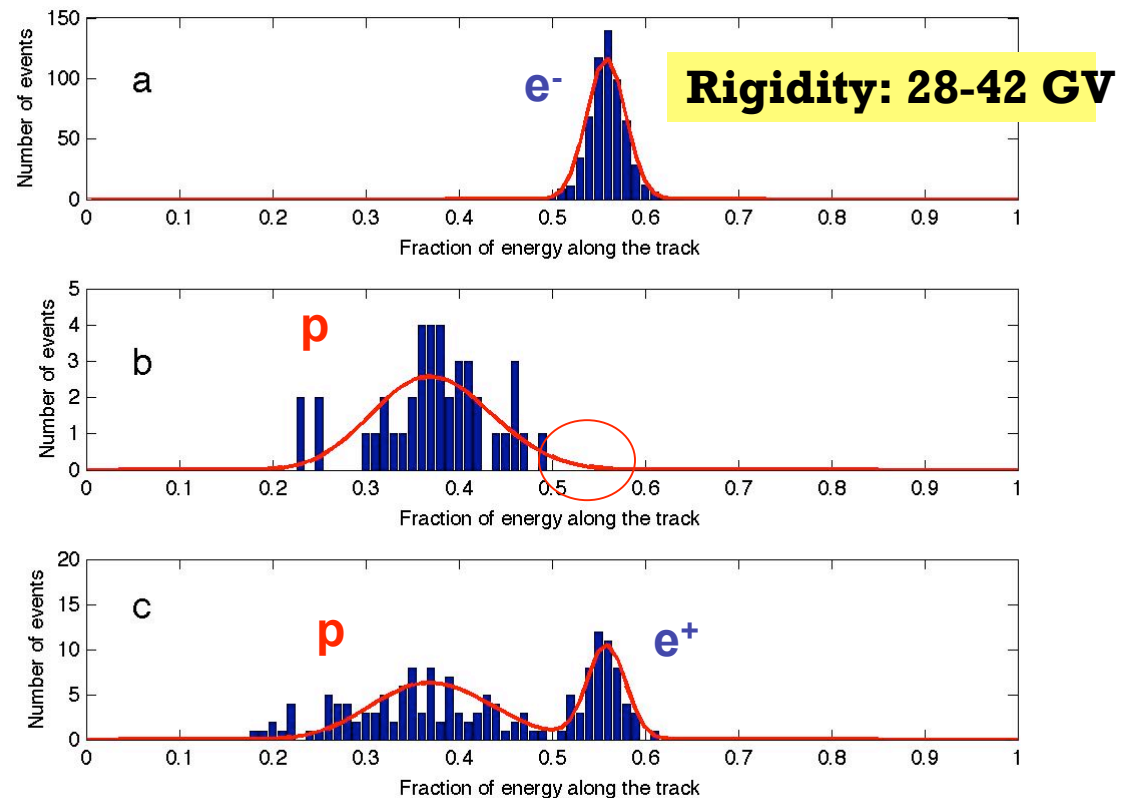
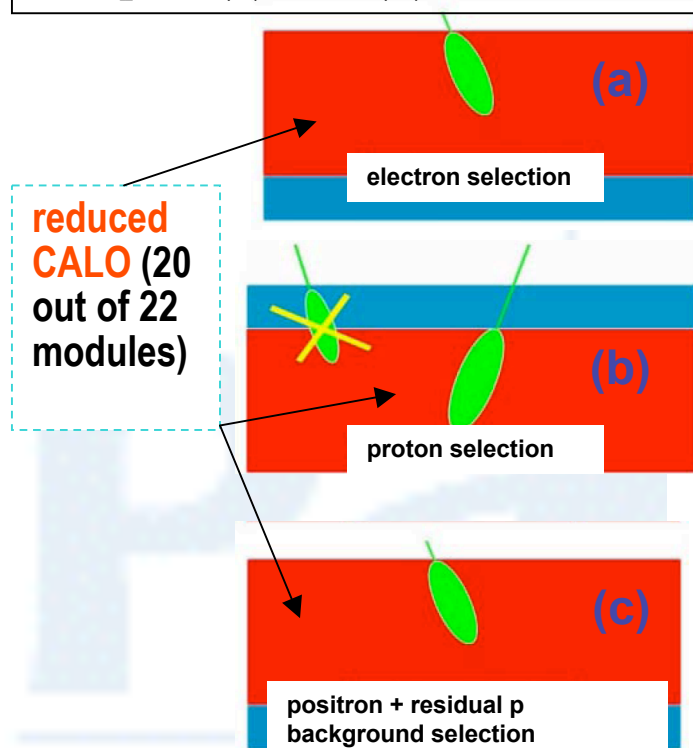
**20 W planes:  $\approx 15 X_0$**

# Evaluation of residual $p$ background

Considered three  $F$  distributions in *reduced calorimeter* after applying all CALO cuts:

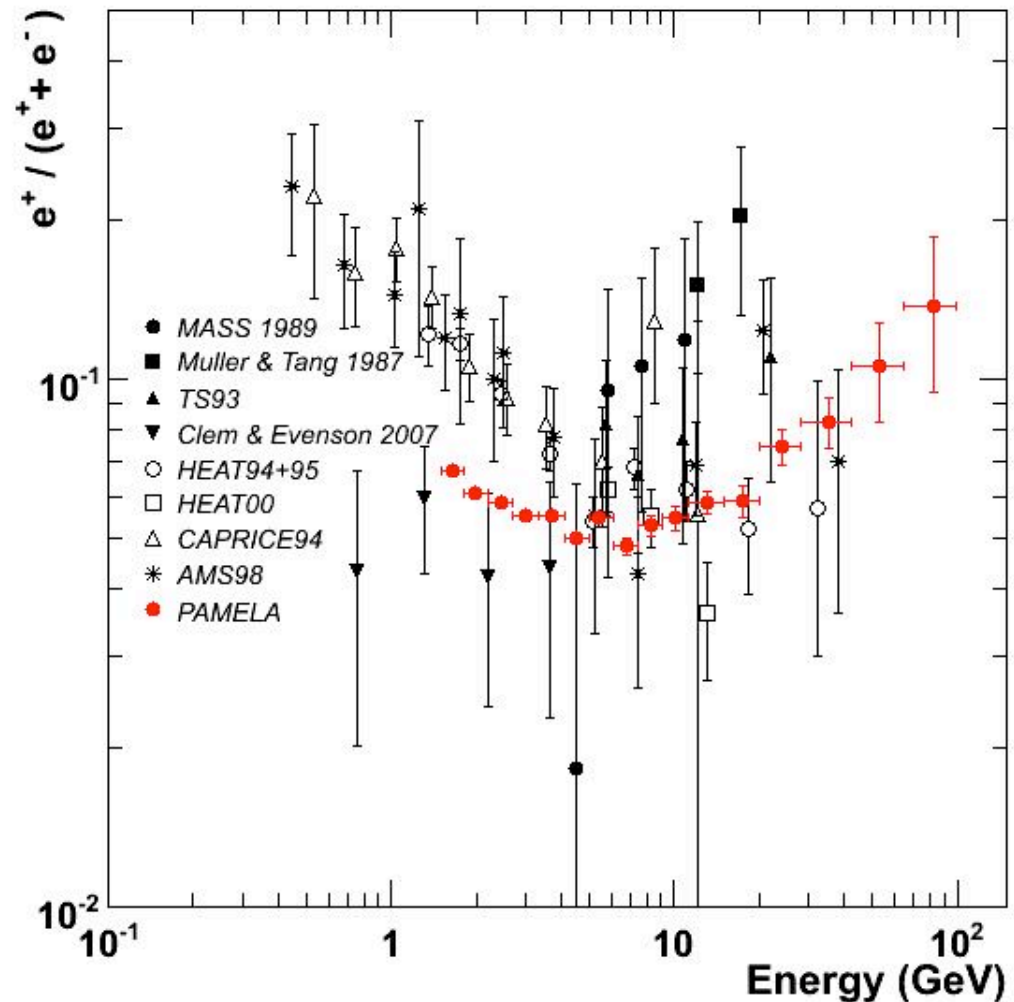
- (a)  $e^-$  selected in upper CALO.
- (b) protons “pre-sampled” in first 2 modules, then selected in lower CALO.
- (c)  $e^+$  with residual  $p$  background, selected in upper CALO.

Samples (a) and (b) are used to estimate and subtract the proton contamination.



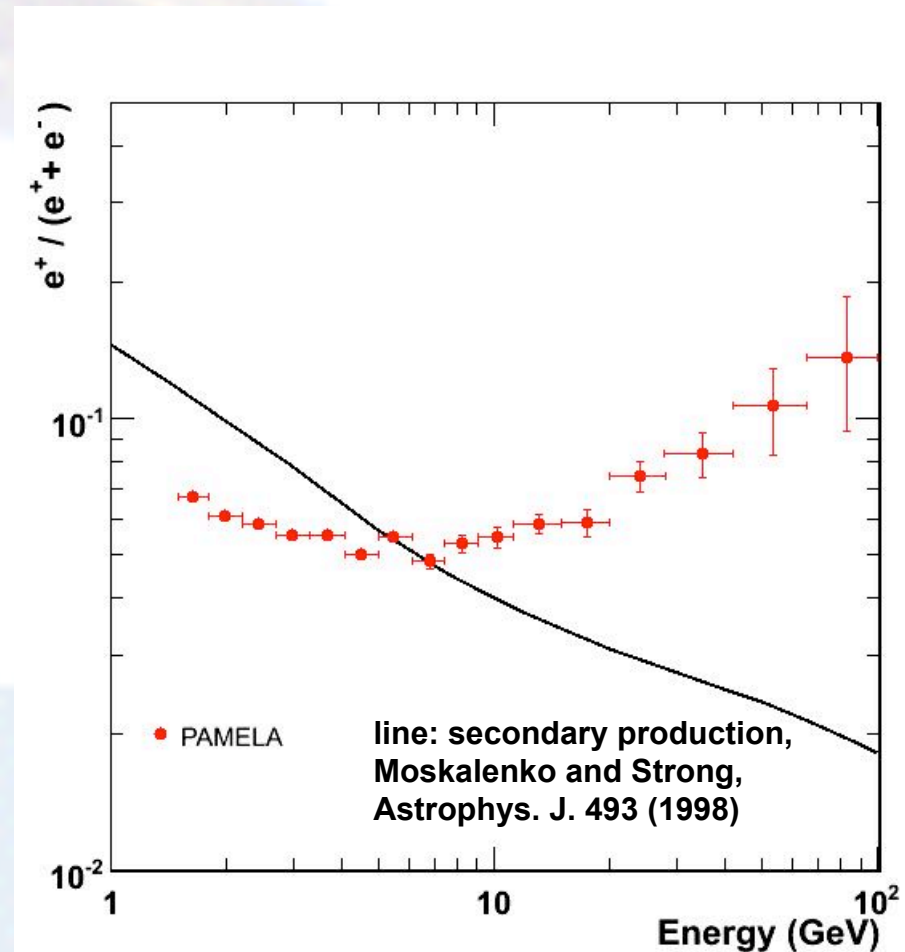
# Positron fraction (Nature 458, 2009)

- **One order of magnitude improvement in statistics over previous measurements.**
- **Most extended energy range ever achieved.**
- **Expected further improvements with new data.**



# Positron fraction at high energies

- At high energies our data show a significant increase with energy.
- This cannot be explained by standard models of secondary production of cosmic rays.
  - Either a significant change in the acceleration or propagation models is needed;
  - or a primary component is present.
- Among primary-component candidates:
  - annihilation of dark matter in the vicinity of our galaxy;
  - near-by astrophysical sources,





# Positron fraction at low energies

- At low energies our results are systematically lower than data collected in 1990's.

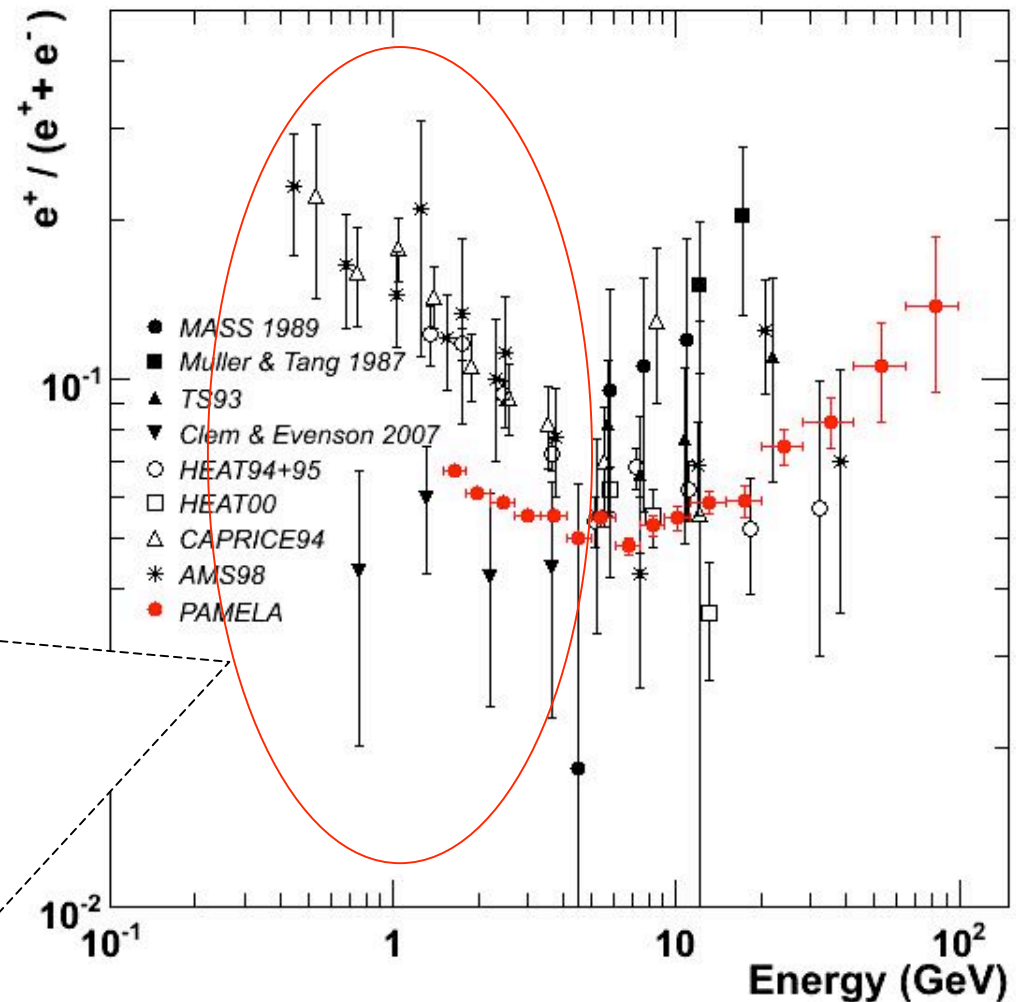
- Clem 2007 (with much lower statistics) is consistent with PAMELA.

- This is interpreted as effect of charge-sign dependent solar modulation.

- our data are enough precise to allow tuning of models of the heliosphere.

- Ruled out as negligible a possible combined effect of:

- asymmetry of spectrometer magnetic field;
  - East-West effect or reentrant albedo particles.



### ***(3) Measurement of absolute differential fluxes***



# ***Absolute flux and selection efficiencies***

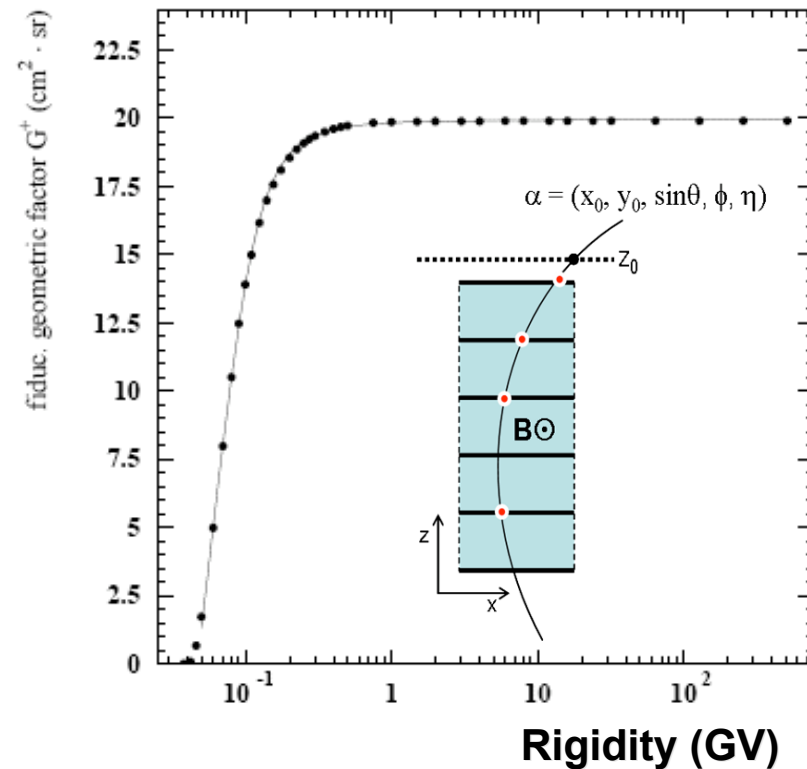
- **Measurement of absolute differential flux requires the evaluation of several additional factors:**

$$J(bin) = \frac{N_{SEL}}{T_{LIVE}} \cdot \frac{1}{\epsilon_{TRIG}} \cdot \frac{1}{\epsilon_{SEL}} \cdot \frac{1}{E_{max} - E_{min}} \cdot \frac{1}{G}$$

- **Specifically, the evaluation of  $\epsilon_{SEL}$ , if not properly addressed, can introduce systematic errors, whose weight can be greater than residual contamination background:**
  - **possible energy-dependent bias in  $\epsilon_{SEL}$  can alter the flux spectral index.**
- **Protons, given the high statistics and low background contamination, can be used to define optimized methodologies:**
  - **for the direct measurement of  $\epsilon_{SEL}$  with experimental data;**
  - **aiming at reducing the overall systematic error  $\epsilon_{SEL}$  to the order of few %.**

# PAMELA geometric factor $G$

- **$G$  defined to include only effect of instrument geometry and magnetic field.**
  - interactions are taken into account as a further (generally small) simulated correction.
- **With such method:**
  - **$G(R)$  depends only on rigidity;**
  - **a very precise (deterministic) numerical approximation technique has been developed in addition to usual Monte Carlo technique;**
  - **overall calculation error  $< 0.5\%$  at high energies.**
- **Defined a fiducial 92% volume to avoid systematics at acceptance borders from mechanical**

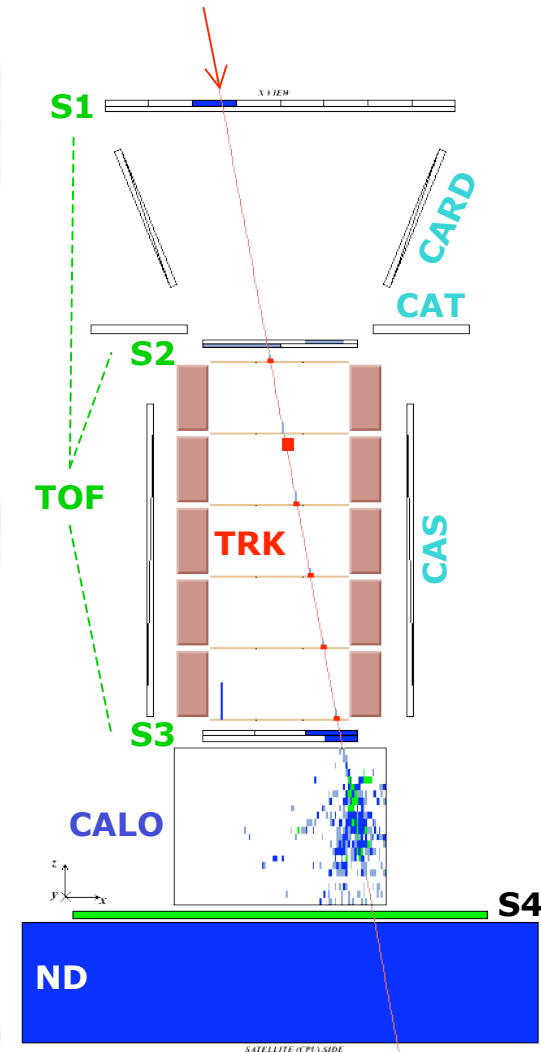


## ***(3.a) Proton absolute flux***



# General approach for $p$ flux

- Given the absence of high-statistic sources of contamination in the proton sample, a set of standard cuts is sufficient to reject all unwanted events:
  - clean event pattern (ANTI, TOF);
  - minimal track requirement for good rigidity measurement;
  - galactic particle (downward, above cutoff);
  - $|Z|=1$  from  $dE/dL$  vs.  $R$ .
  - loose MDR cut:  $MDR > R_{\max}(\text{bin})$ .
- Selection with CALO is not necessary:
  - negligible positron contamination.





# ***Measurement of selection efficiency***

- **Selection efficiency  $\varepsilon_{\text{SEL}}(\mathbf{R})$  experimentally measured with flight data.**
- **Main effort to select as much as possible clean and unbiased samples.**
- **In particular, reduce and correct possible “sample distortion” effects:**
  - **the distribution of energy and of incidence point and direction of the protons in the efficiency sample can be different with respect to the ideal required one;**
  - **if coupled to a corresponding non-homogeneity of the measured efficiency, this can introduce a significant bias in the measured efficiency.**
- **Simulation used to cross-check experimental efficiencies and to apply second-order corrections for residual biases.**
- **Results derived with proton analysis are applied to the other, less**

# Measurement of selection efficiency

- $\epsilon_{\text{SEL}}$  **operatively defined as the product of relative efficiencies (i.e. conditioned probabilities) corresponding to several sets of cuts:**
  - (1) **TRK basic: single track, no other requirements**
  - (2) **TOF:  $\beta > 0$  and pattern consistent with single TRK track**
  - (3) **TRK minimal requirements (hits +  $\chi^2$ )**
  - (4) **no activity in CAT+CARD (anticoincidence)**
  - (5)  **$|Z| = 1$  from  $dE/dL$  vs.  $R$**
  - (6) **TRK MDR  $> R_{\text{max}}(\text{bin})$**

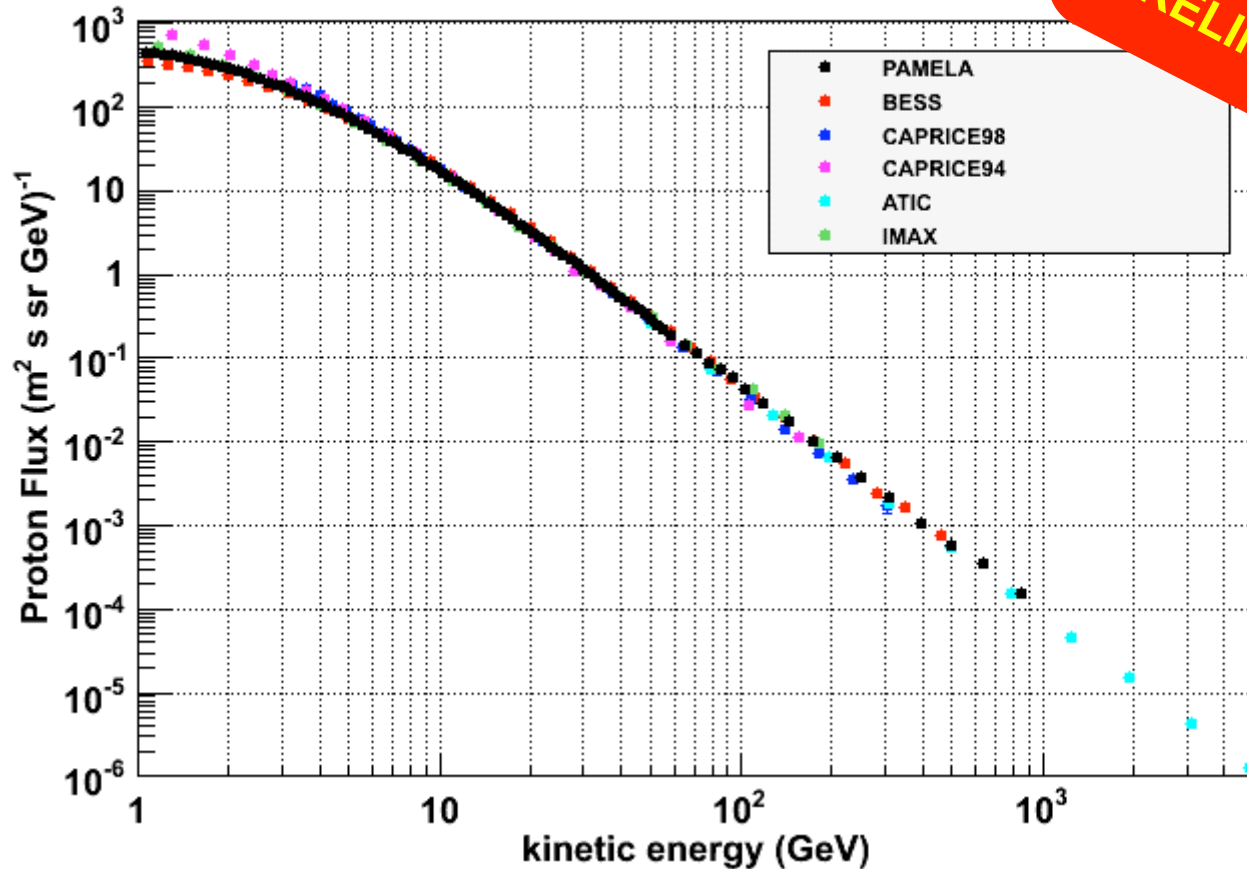
$$\epsilon_{\text{SEL}} = \epsilon_{\text{TRK\_basic}} \cdot \epsilon_{\text{TOF}} \cdot \epsilon_{\text{TRK\_hit}+\chi^2} \cdot \epsilon_{\text{ANTI}} \cdot \epsilon_{\text{TRK\_dE/dL}} \cdot \epsilon_{\text{TRK\_MDR}}$$

- **Each efficiency is independently, experimentally measured;**
  - **by defining relative efficiencies, *correlations* between different sets are automatically taken into account.**

# ***Efficiency of TRK basic cut***

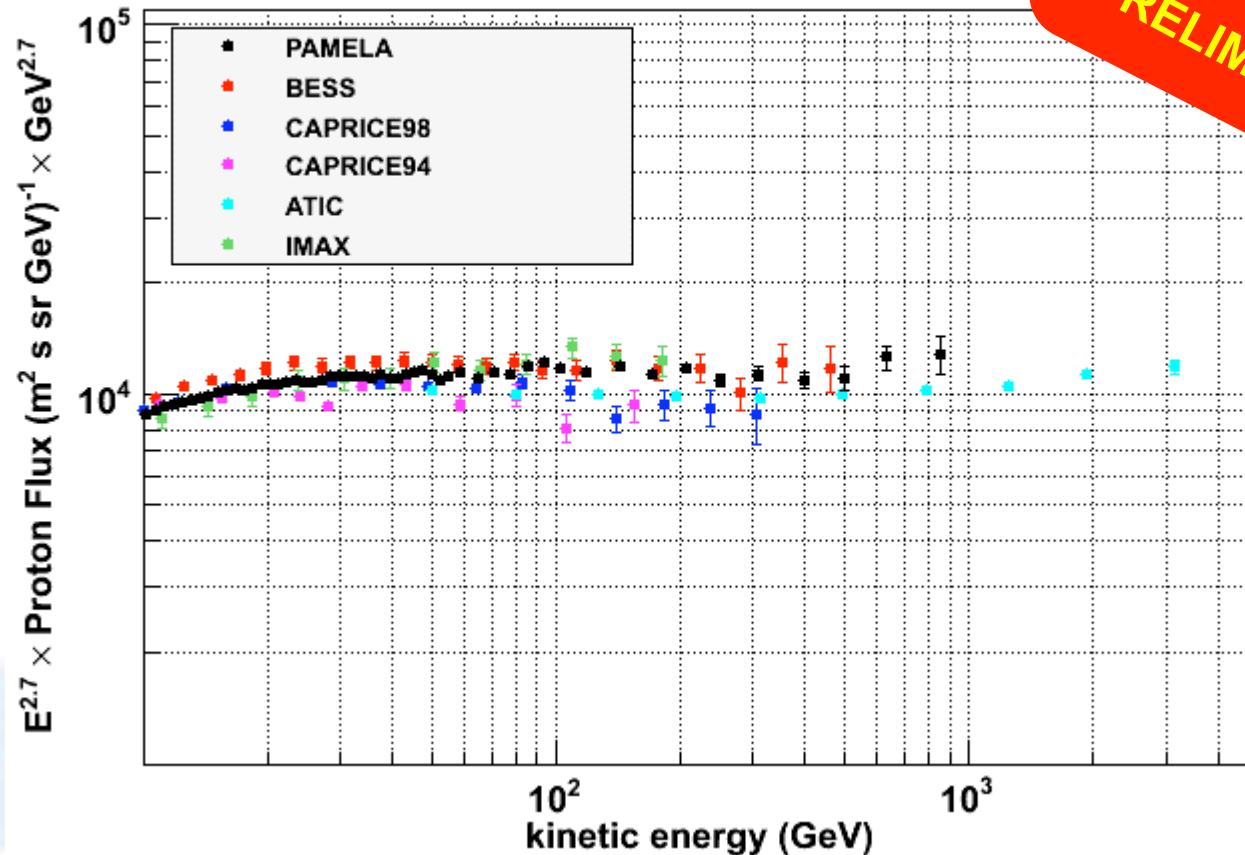
- **It is derived by selecting a proton sample with TOF, ANTI and CALO.**
  - Particle tracks are reconstructed in the calorimeter, back-propagated and required to be inside the fiducial acceptance volume.
  - Trajectories are approximated as straight lines (uncertainty estimated with simulation to be negligible in the rigidity region of interest).
- **Below about 1 GeV the particle rigidity is reconstructed by measuring the velocity with the TOF and assuming a proton mass.**
  - Above such threshold no independent rigidity information is available in experimental data.
  - Anyway, from simulation the TRK efficiency does not show any significant variation with rigidity.
- **The geometric distribution of this efficiency sample is not perfectly isotropic while the TRK basic efficiency is not uniform over the acceptance range:**
  - a corresponding ( $\sim 1\%$ ) correction is derived by simulation.

# Preliminary proton spectrum



- **Combined corrections from simulations (efficiency biases, particle loss or contamination from interactions) are  $\sim 1\%$  above few GeV.**

# Preliminary proton spectrum



- **Upper energy range limited by the uncertainty in TRK rigidity measurement.**
  - **evaluation of systematic from rigidity uncertainty is under way;**
  - **it mostly concerns higher rigidity bins (“unfolding” methods under study);**

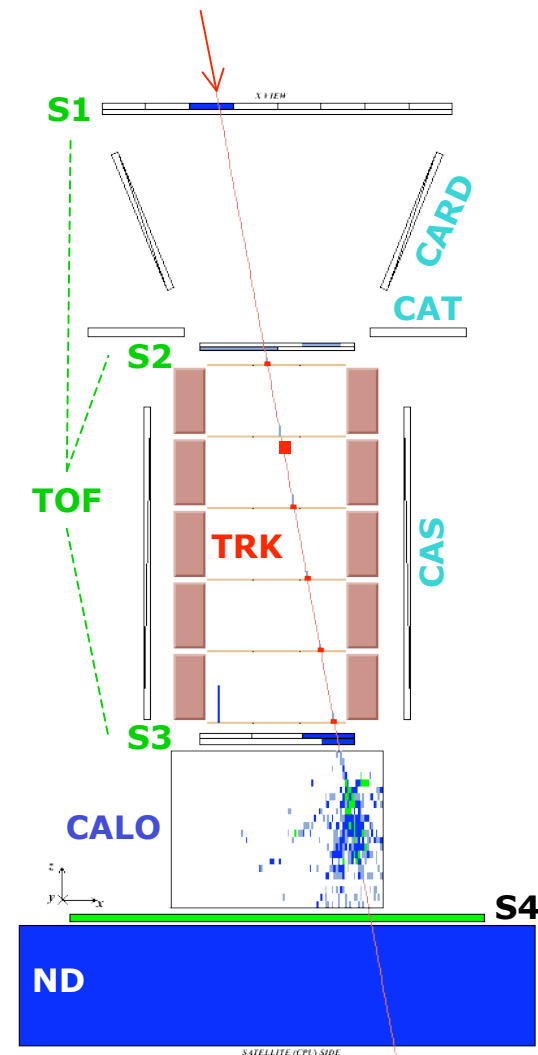
## ***(3.b) Antiproton absolute flux***



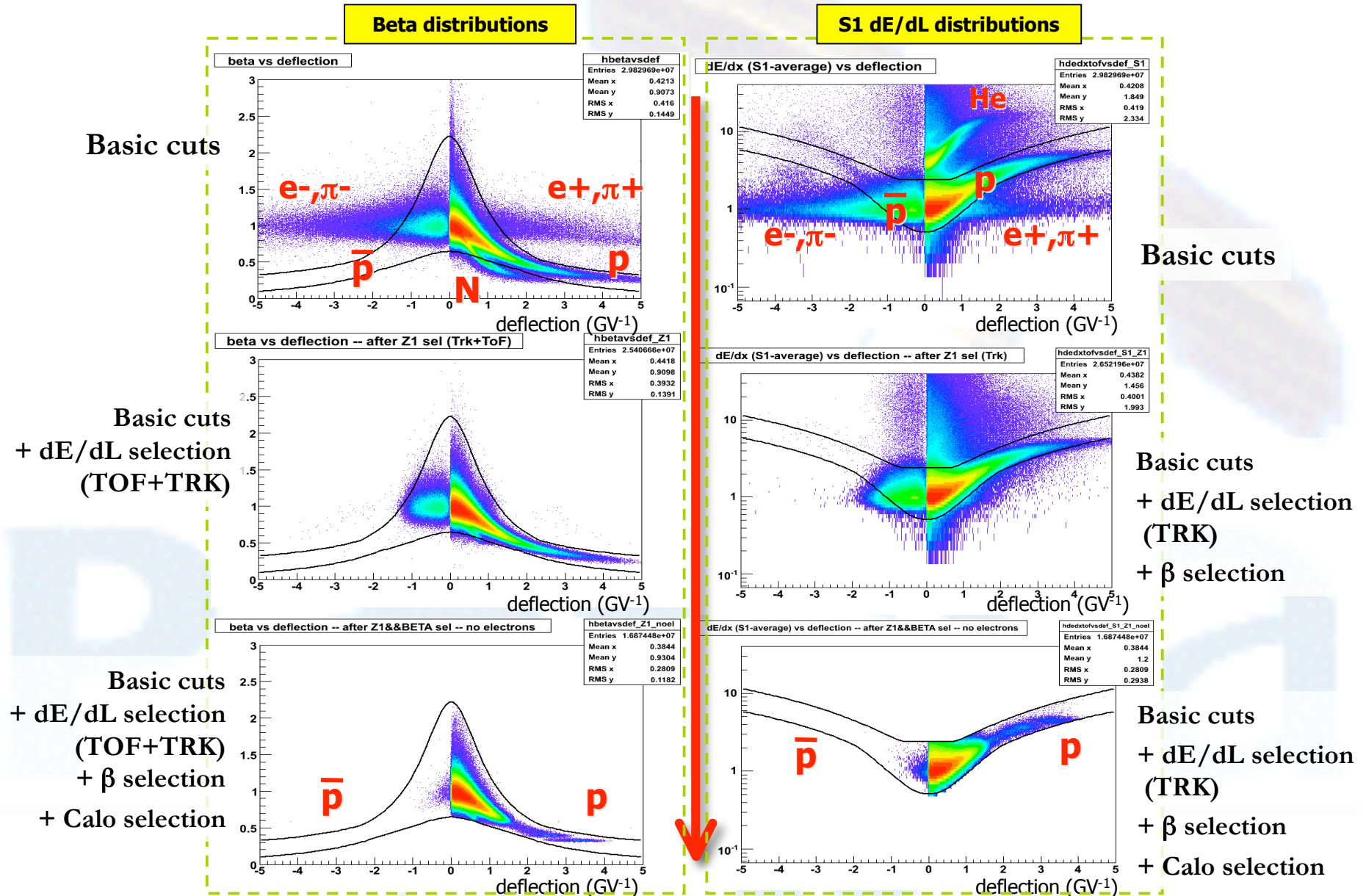


# Antiproton selection criteria

- **All proton cuts.**
- **Additional cuts (as for p-bar/p ratio):**
  - electron rejection with CALO;
  - pion rejection at low energies with  $\beta$  vs.  $R$ ;
  - further track quality requirements to reject “spillover” protons.
- **All selection efficiencies evaluated with proton samples:**
  - assumed identical response of the apparatus for p and p-bar;
  - correction for hadronic interaction in CALO from simulation.

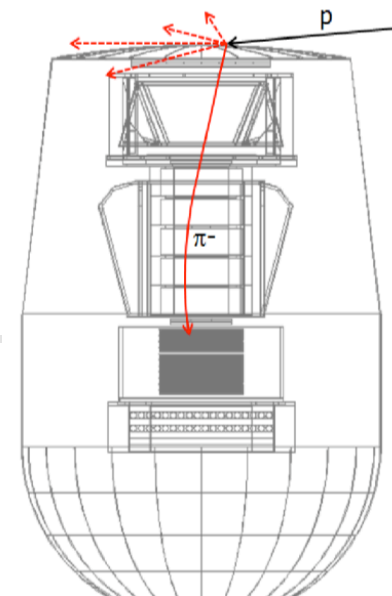


# Antiproton selection criteria

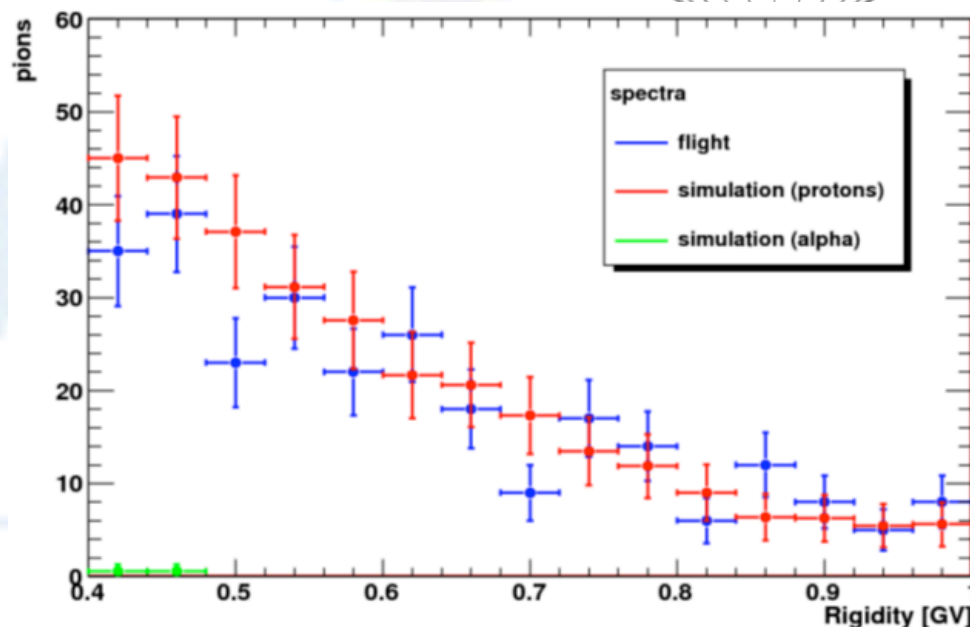


# Residual pion contamination

- Protons interacting in the material surrounding PAMELA can generate  $\pi^-$  which mimic p-bar.
- Residual contamination of  $\pi^-$  passing selection cuts estimated with extensive and accurate FLUKA2006-based simulation.
  - Contamination is  $\sim 10\%$  at 1 GeV,  $< 1\%$  above 3 GeV.



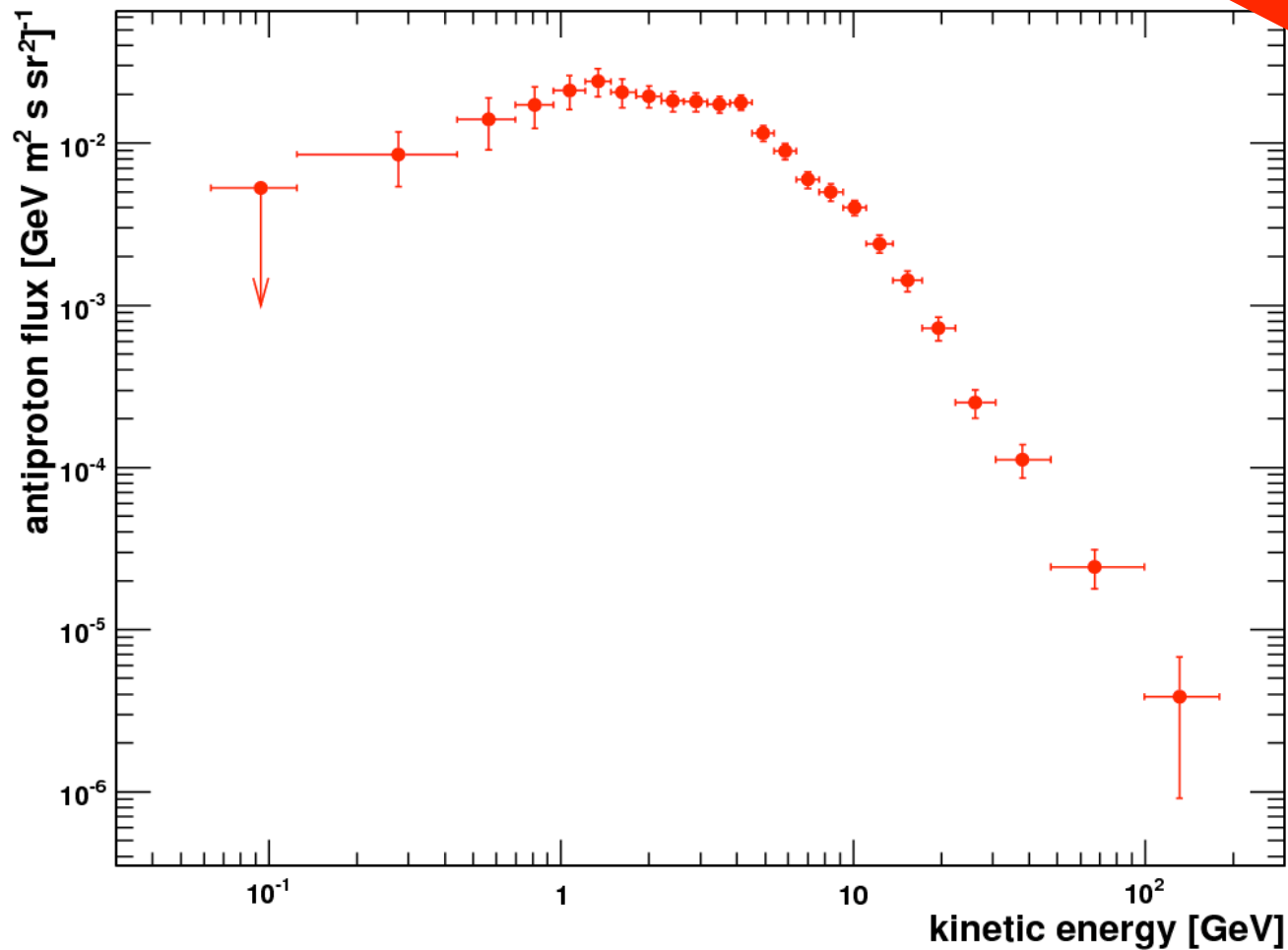
- Simulation results are validated by comparison with flight data ( $< 1$  GV pion sample).



# ***Preliminary p-bar spectrum***

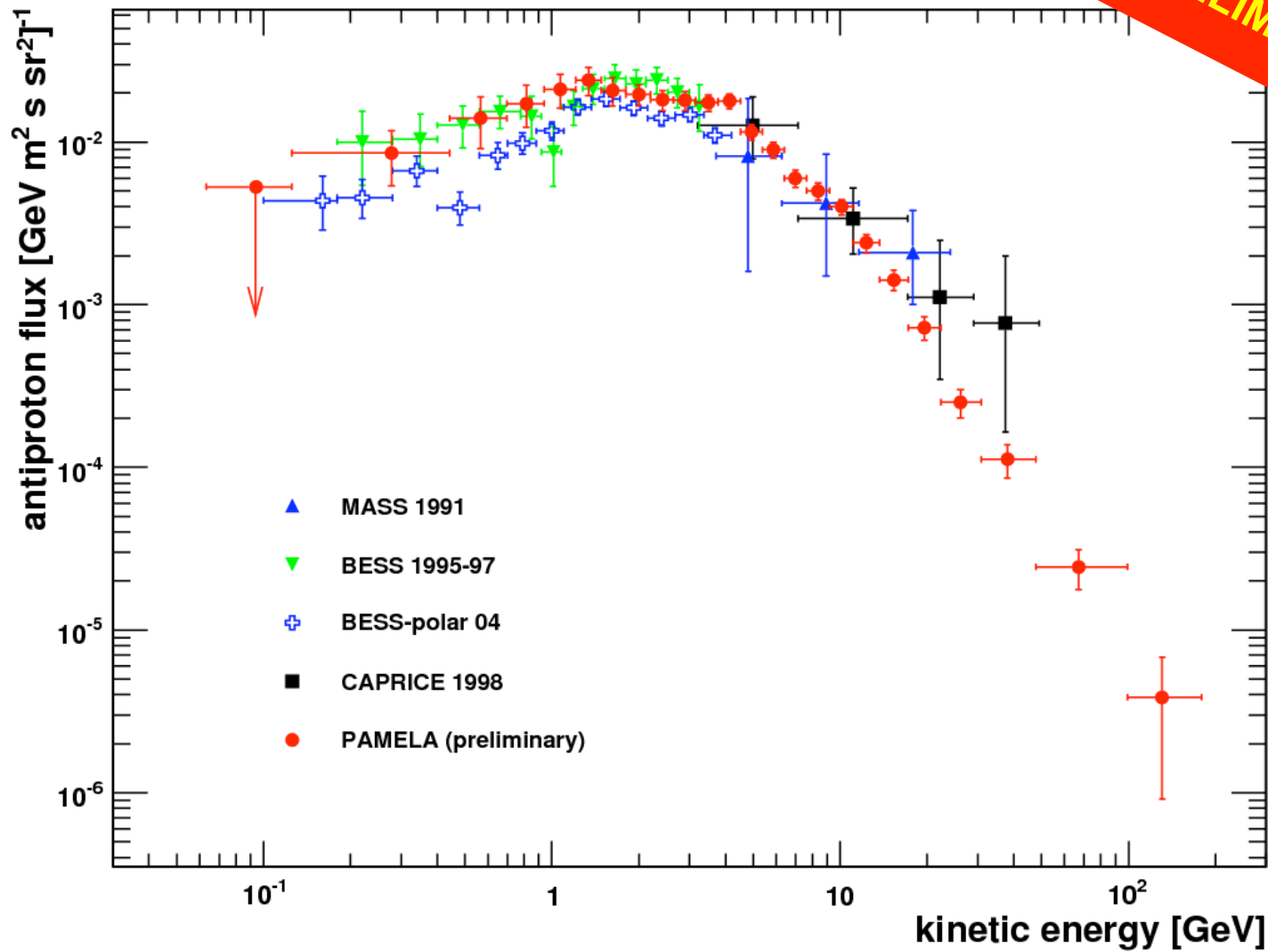
- **Evaluation of systematics is under way.**

**PRELIMINARY**

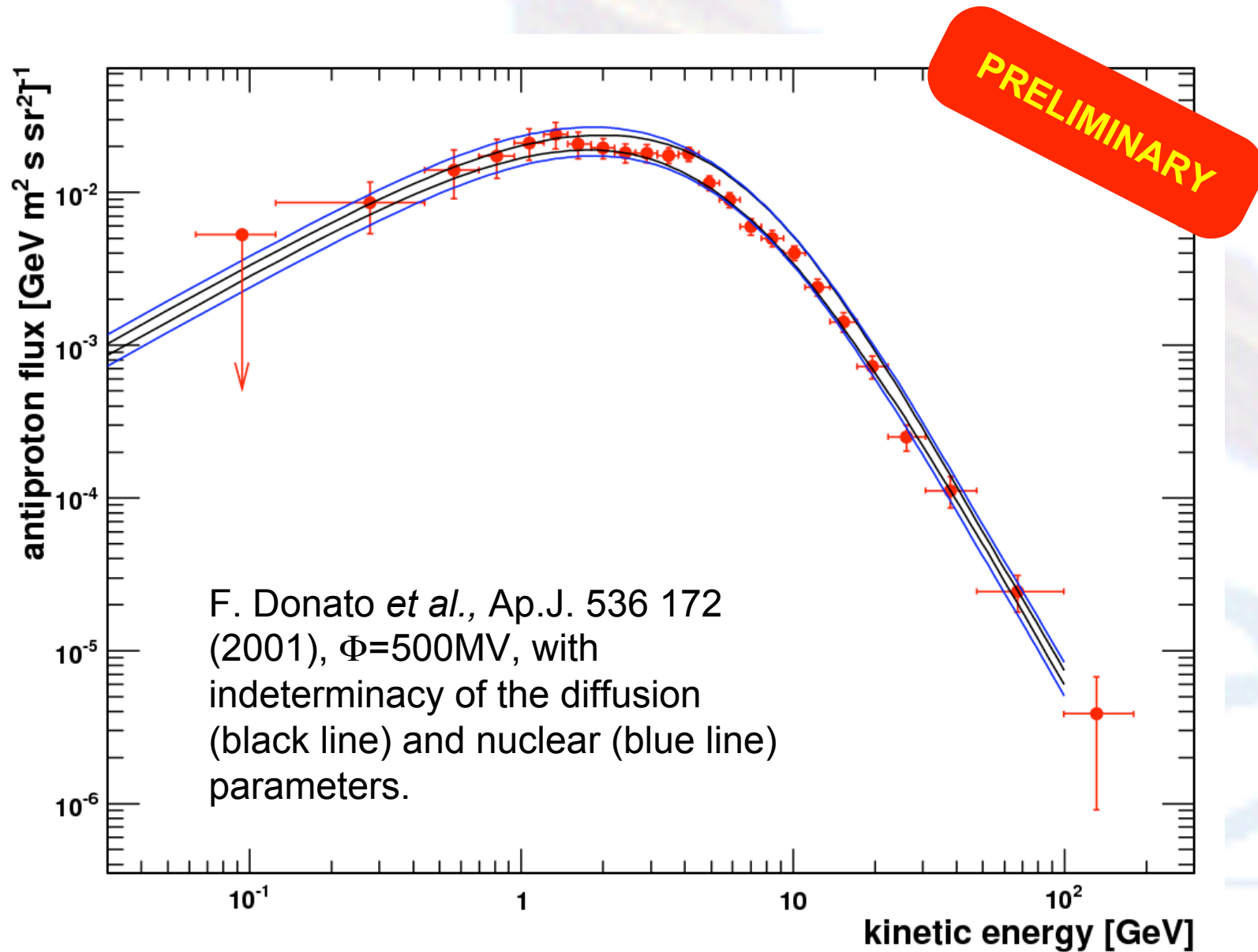


# *Preliminary p-bar spectrum*

**PRELIMINARY**



# Preliminary $p$ -bar spectrum



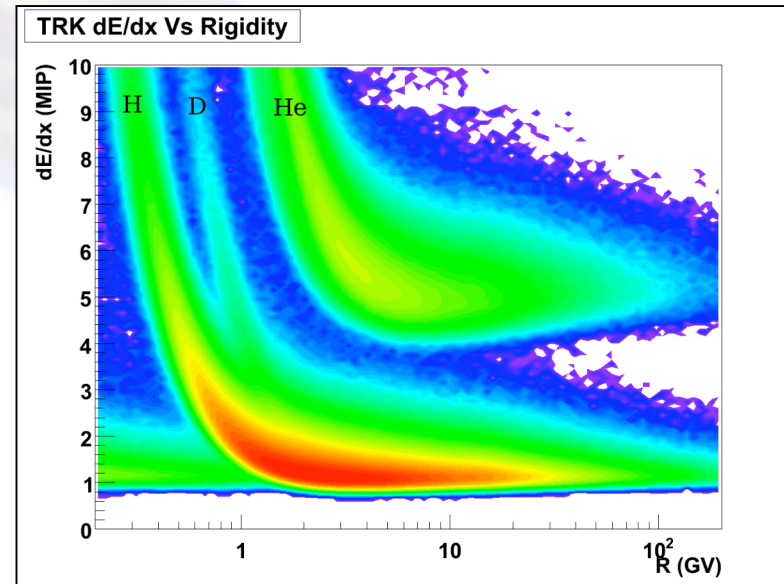
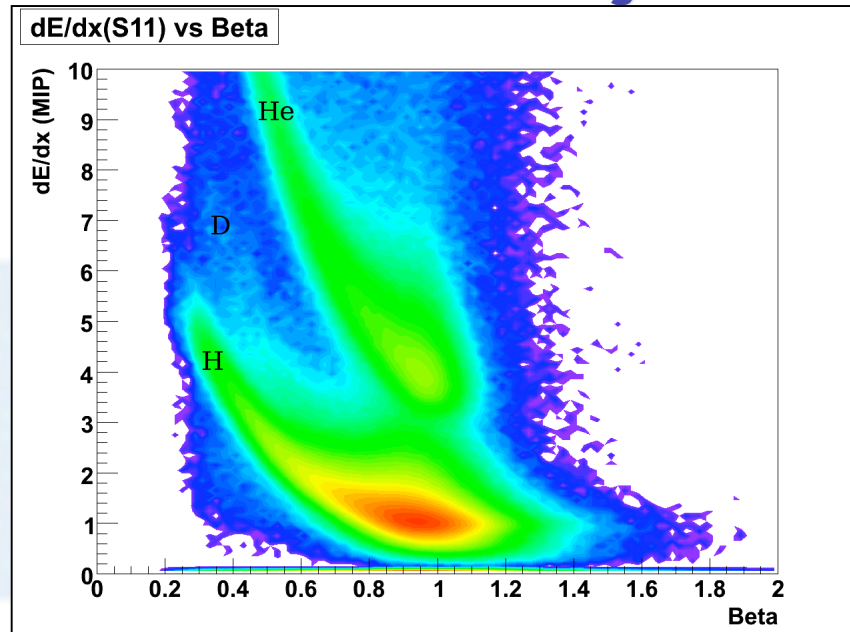


## ***(3.c) Helium absolute flux***

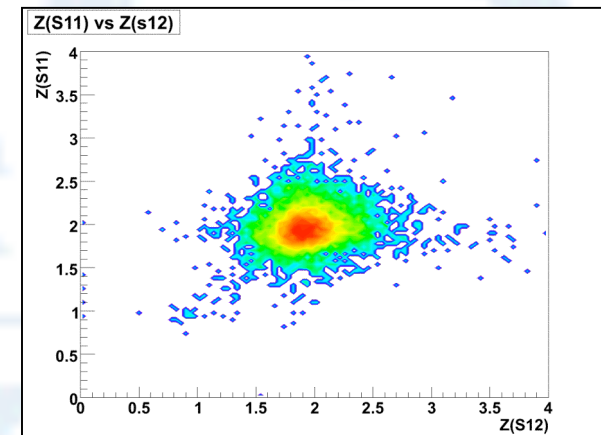


# Selection of Helium

- **Redundant  $|Z|=2$  identification with independent detectors (TRK, TOF, CALO first plane).**
  - selection efficiency can then be measured with flight data.



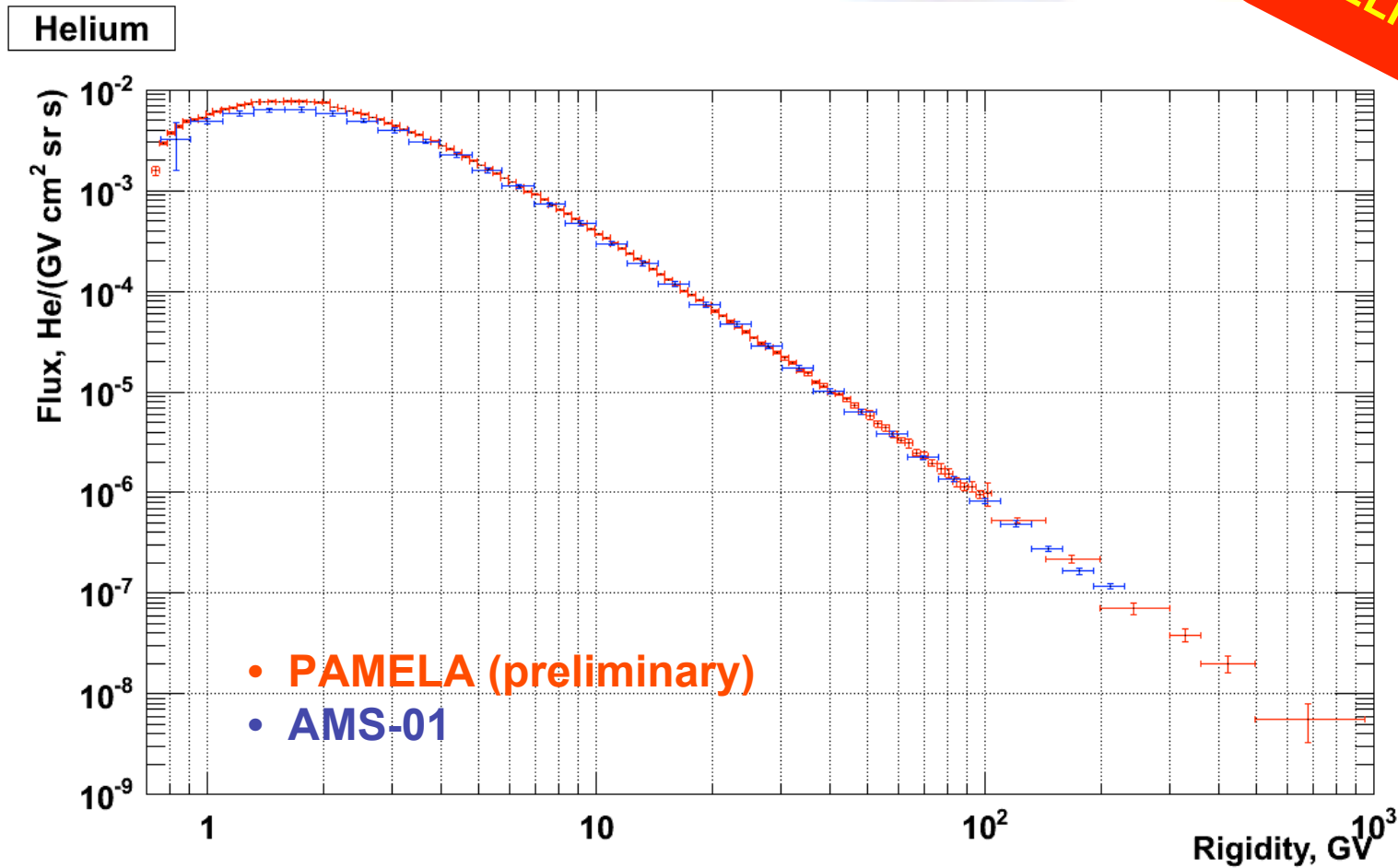
*Also: residual contamination from proton after TRK selection can be measured with TOF.*



# Preliminary He spectrum

- Evaluation of systematics is under way.

**PRELIMINARY**



## ***(3.d) Electron absolute flux***



# ***Electron selection***

- **Two different approaches under development.**
- **(Approach 1) Strong TRK cuts to select negative charges, loose CALO cuts.**
  - **Advantage: CALO selection efficiency is high and  $\sim$  constant with energy.**
  - **Disadvantage: main background from spillover protons, limits energy range to  $\sim 200$  GeV (same mechanism seen for the positron fraction).**
- **(Approach 2) Strong CALO cuts to reject hadrons, loose TRK cuts.**
  - **Advantage: energy range can be extended up to  $\sim 400$  GeV.**
  - **Disadvantage: efficiency depends on energy and must be measured with simulation.**
- **Both approaches are currently under finalization.**

# Conclusions

- **PAMELA has precisely measured and published both  $\bar{p}/p$  ratio and of positron fraction over a wide energy range.**
  - Further analysis with new collected statistics is under way, extending energy ranges to the design limits.
- **Measurements of absolute differential fluxes of several particle species are currently being finalized.**
- **PAMELA is expected to collect data until at least June 2011.**
- **Several other items are currently under study:**
  - light nuclei (up to  $Z = 8$ );
  - spectra of high-energy Solar Energetic Particles (SEP);
  - radiation belts: morphology and energy spectrum;
  - search for anti-He;
  - study of isotope composition (d,  $^3\text{He}$ ).