

Non-linear collisional Penrose process

-How large energy can a black hole release?-

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To appear in arXiv in next week(?)

§ 1 Kerr spacetime

Kerr spacetime: vacuum, stationary, axi-symmetric, asymptotically flat

In Boyer-Lindquist coordinates

$$ds^2 = -\frac{\Sigma\Delta}{A}dt^2 + \frac{A}{\Sigma}\sin^2\theta\left(d\varphi - \frac{2aMr}{A}dt\right)^2 + \frac{\Sigma}{\Delta}dr^2 + \Sigma d\theta^2$$

where

$$\Delta(r) = r^2 - 2Mr + a^2$$

$$\Sigma(r, \theta) = r^2 + a^2 \cos^2 \theta \quad A(r, \theta) = (r^2 + a^2)^2 - \Delta(r)a^2 \sin^2 \theta$$

$$-\infty < t < +\infty, \quad -\infty < r < +\infty, \quad 0 \leq \theta \leq \pi, \quad 0 \leq \varphi < 2\pi$$

M : ADM mass

a : Kerr parameter (angular momentum $L=aM$)

$a^2 \leq M^2$: larger root of $\Delta(r) = 0$ ($r = M + \sqrt{M^2 - a^2} \geq M$) is the event horizon

$a^2 > M^2$: always $\Delta(r) > 0$ holds. No event horizon.

Ring singularity at $r = 0$, $\theta = \pi/2$ is naked.

In Boyer-Lindquist coordinates

$$ds^2 = -\frac{\Sigma\Delta}{A}dt^2 + \frac{A}{\Sigma}\sin^2\theta\left(d\varphi - \frac{2aMr}{A}dt\right)^2 + \frac{\Sigma}{\Delta}dr^2 + \Sigma d\theta^2$$

where

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$\frac{\partial}{\partial t}$: time coordinate basis=Killing vector field

Ergo-region (ergo-sphere): $\frac{\partial}{\partial t}$ is spacelike

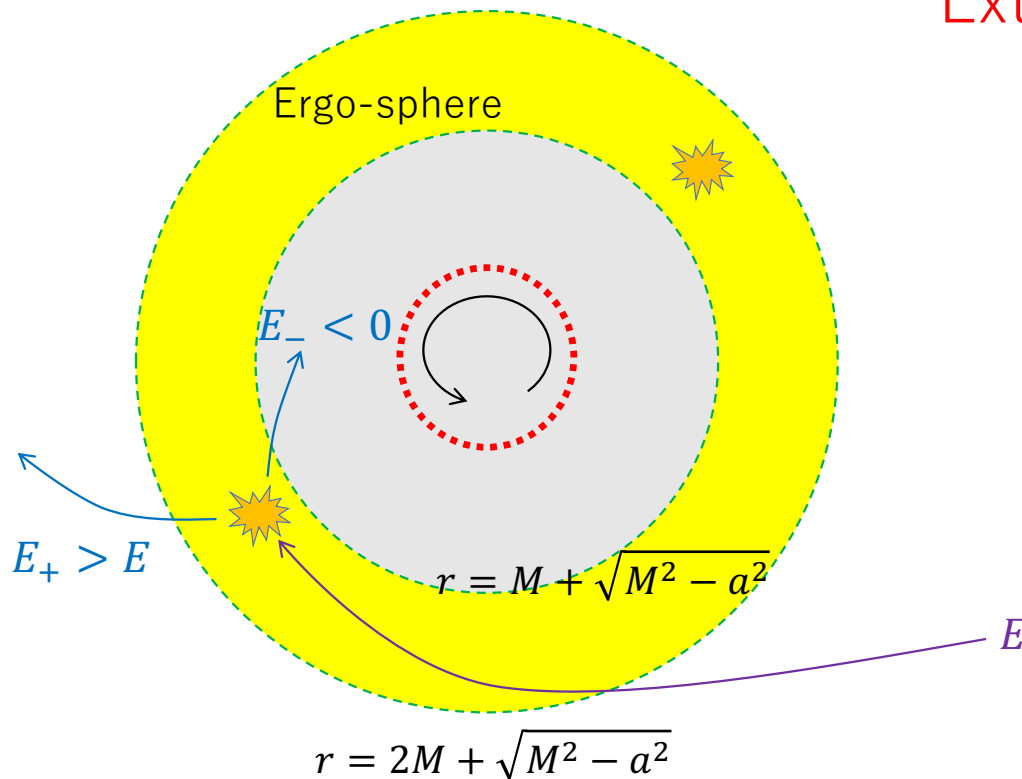
$$g\left(\frac{\partial}{\partial t}, \frac{\partial}{\partial t}\right) = -\frac{r^2 + a^2 \cos^2 \theta - 2Mr}{\Sigma} > 0 \quad \text{for} \quad M - \sqrt{M^2 - a^2 \cos^2 \theta} < r < M + \sqrt{M^2 - a^2 \cos^2 \theta}$$

Killing “energy” of a particle: $E = \mathbf{u} \cdot \frac{\partial}{\partial t}$ can be negative in the ergo-region.

Penrose process: Kerr BH case

R. Penrose (1969)

Extraction of rotational energy
is possible.

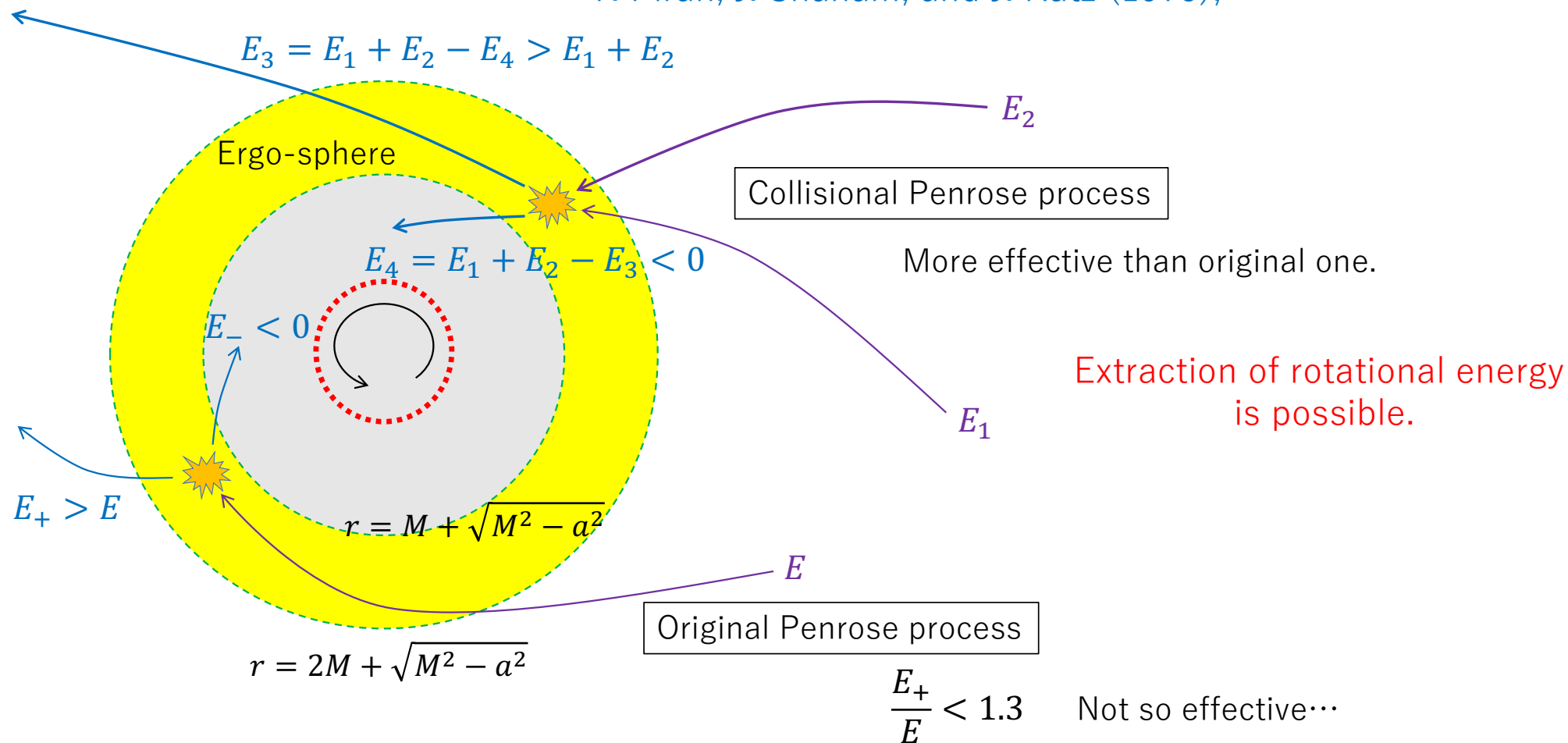


$$\frac{E_+}{E} < 1.3$$

Not so effective...

Collisional Penrose process: Kerr BH case

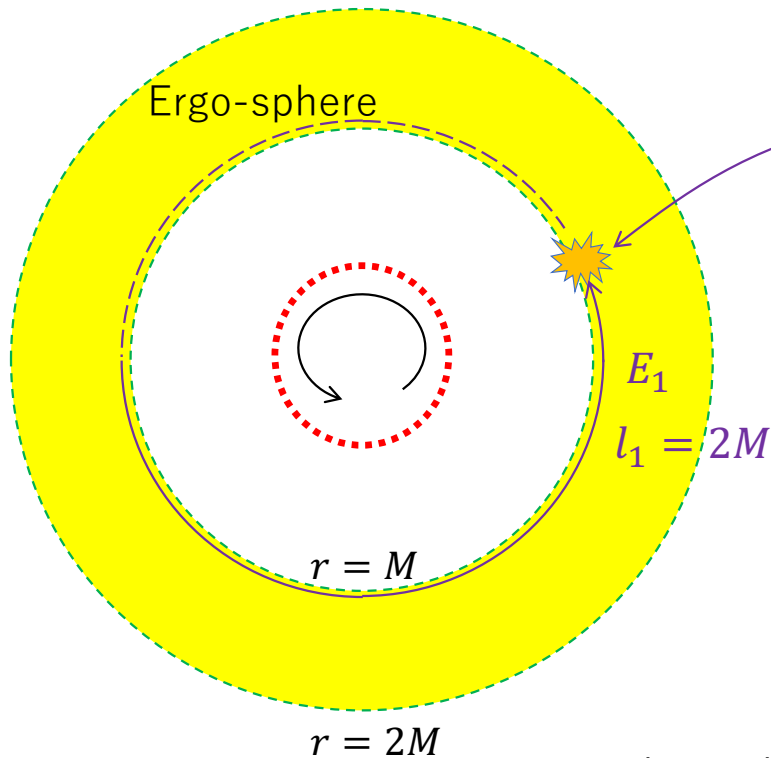
T. Piran, J. Shaham, and J. Katz (1975), ...



Banados-Silk-West (BSW) collision

T. Piran, J. Shaham, and J. Katz (1975), Banados, Silk, West (2009)

Extreme Kerr black hole $M = a$



Energy at the center of mass frame

$$E_{cm} = |\mathbf{p}_1 + \mathbf{p}_2| \rightarrow \sqrt{2}m \sqrt{\frac{l_1 - 2M}{l_2 - 2M} + \frac{l_2 - 2M}{l_1 - 2M}}$$

for $r \rightarrow M$

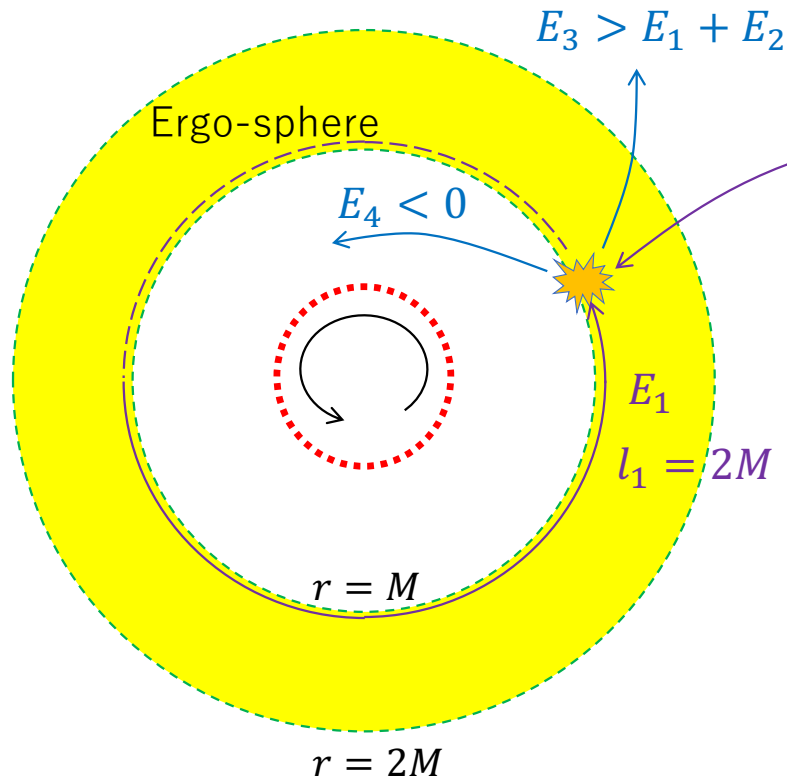
$\rightarrow \infty$ for $l_1 \rightarrow 2M$

Even if dark matter particles can interact through only gravity, they can interact with any other particles!

What will we observe?

Collisional Penrose process through Banados-Silk-West (BSW) collision

Extreme Kerr black hole $M = a$



How large efficiency do we have?

By a collision between two particles from infinity,

$$\eta = \frac{E_3}{E_1 + E_2} < (2 + \sqrt{3})^2 \approx 14$$

Harada, Ogasawara and Miyamoto (2016)

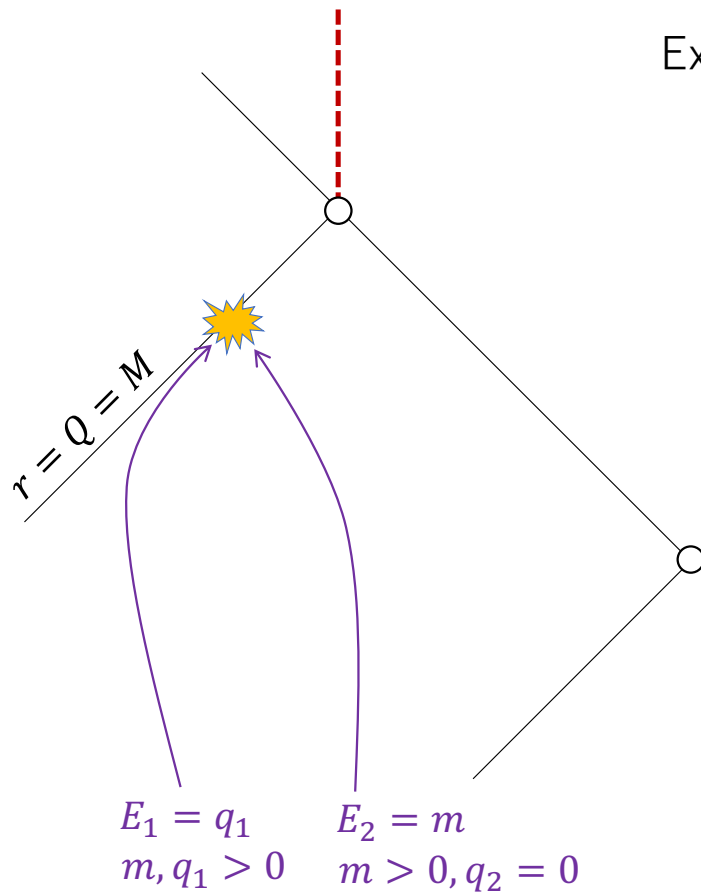
Through multiple collisions, there is no upper bound.

Berti, Brito, Cardoso (2014)

BSW collision: Charged BH case

BSW collision may occur.

Extreme Reissner-Nordstrom black hole $M = Q$



Particle 1: $E_1 = q_1 > 0 \longrightarrow V_1 = -\left(\frac{E_1^2}{m^2} - 1\right)\left(1 - \frac{M}{r}\right)^2$

Particle 1 asymptotically approach $r = M$.

Particle 2: neutral and marginally bound $E_2 = m > 0, q_2 = 0$

$$E_{cm} = \frac{2m^2r}{r-M} \left[1 - \frac{M}{r} + \frac{E_1^2}{m^2} - \sqrt{\frac{E_1^2}{m^2} - 1} \sqrt{\frac{E_1^2}{m^2} - \left(1 - \frac{M}{r}\right)^2} \right] \rightarrow \infty$$

for $r \rightarrow M$

Center of mass energy can be indefinitely large!

Collisional Penrose process: Charged BH case

Through BSW collision between radially moving two particles

Extreme Reissner-Nordstrom black hole $M = Q$

Critical charged particle: $E_1 = q_1$

Non-critical particle: $E_2 \neq q_2$

$$E_{cm} \rightarrow \infty \text{ for } r \rightarrow M$$

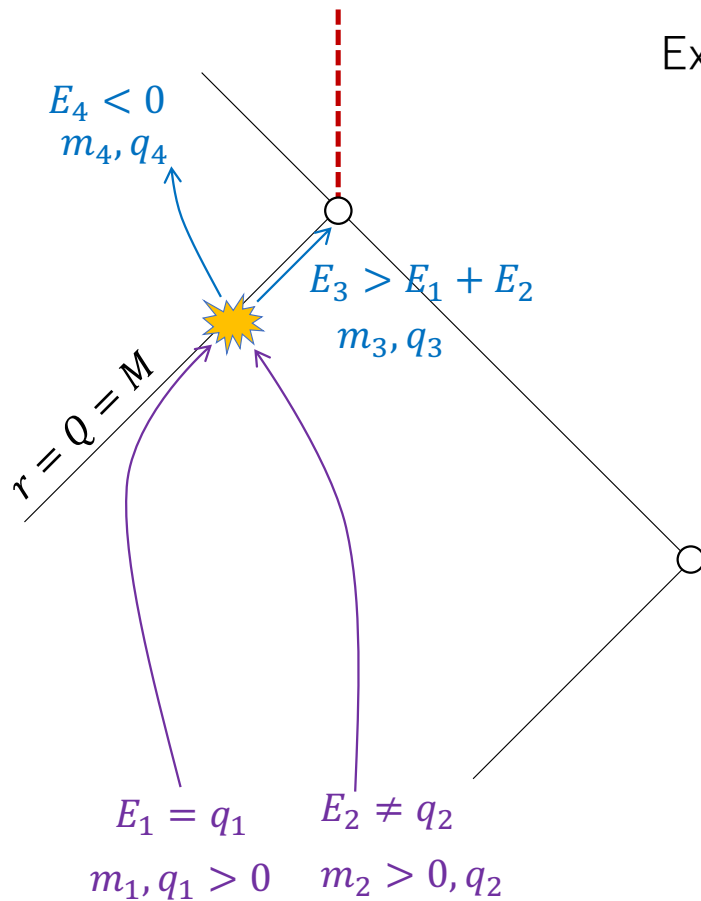
There is no upper bound on the efficiency $\eta = \frac{E_3}{E_1 + E_2}$
with $m_1 = m_2 = m_3 = m_4$.

Zaslavskii (2010)

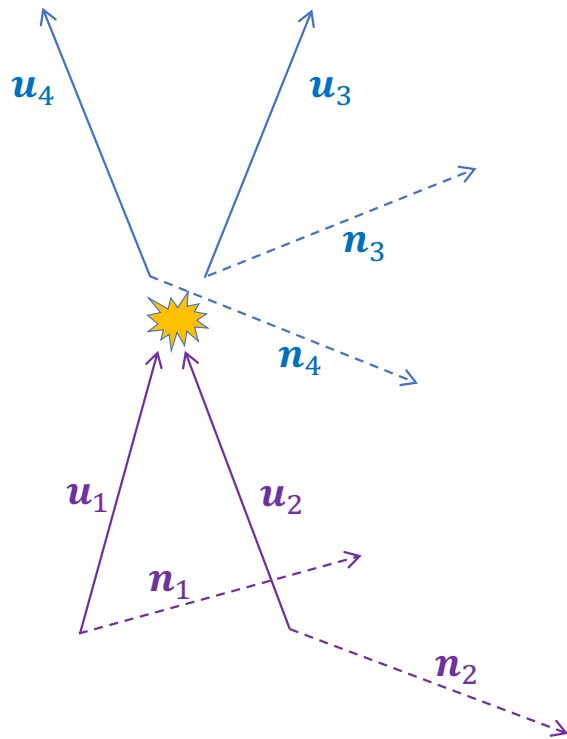
There is no upper bound on the mass m_3 .

$$m_3 \propto E_{cm} \rightarrow \infty \text{ for } r \rightarrow M$$

Nemoto, Miyamoto, Harada, Kokubu (2012)



Reconsideration on collisional Penrose process: Charged BH case



4-momentum conservation: $m_1 \mathbf{u}_1 + m_2 \mathbf{u}_2 = m_3 \mathbf{u}_3 + m_4 \mathbf{u}_4$

Center of mass dyad frame: $\mathbf{u} := \frac{m_1 \mathbf{u}_1 + m_2 \mathbf{u}_2}{E_{cm}}$, $\mathbf{n} := \frac{m_1 \mathbf{n}_1 + m_2 \mathbf{n}_2}{E_{cm}}$

where $E_{cm} := |m_1 \mathbf{u}_1 + m_2 \mathbf{u}_2|$

$$\mathbf{u}_3 = \mathbf{u} \cosh \alpha + \mathbf{n} \sinh \alpha$$

$$\mathbf{u}_4 = \mathbf{u} \cosh \beta + \mathbf{n} \sinh \beta$$

$$\cosh \alpha = \frac{E_{cm}^2 + m_3^2 - m_4^2}{2E_{cm}m_3}$$

$$\cosh \beta = \frac{E_{cm}^2 + m_4^2 - m_3^2}{2E_{cm}m_4}$$

$$\cosh \alpha \geq 1 \quad \text{and} \quad \cosh \beta \geq 1 \quad \longrightarrow \quad E_{cm} \geq m_3 + m_4$$

Large E_{cm} is necessary for large m_3 .

Production of a super-heavy particle needs a BSW collision.

EOM of charged particle: $mu^\nu \nabla_\nu u_\mu = qF_{\mu\nu}u^\nu$

If there is a Killing vector ξ^μ , $u^\nu \nabla_\nu [\xi^\mu (mu_\mu + qA_\mu)] = 0 \longrightarrow \xi^\mu (mu_\mu + qA_\mu) = \text{constant}$

The conserved energy of a particle in Reissner-Nordstrom spacetime: $E = -m\mathbf{u} \cdot \frac{\partial}{\partial t} + \frac{qQ}{r}$

4-momentum conservation: $m_1\mathbf{u}_1 + m_2\mathbf{u}_2 = m_3\mathbf{u}_3 + m_4\mathbf{u}_4$
Charge conservation: $q_1 + q_2 = q_3 + q_4$ } at a collision event

$$\longrightarrow E_1 + E_2 = E_3 + E_4$$

$$E_3 = -m_3 \mathbf{u}_3 \cdot \frac{\partial}{\partial t} + \frac{q_3 Q}{r} \quad \text{for particle-3.}$$

$$E_4 = -m_4 \mathbf{u}_4 \cdot \frac{\partial}{\partial t} + \frac{q_4 Q}{r} \quad \text{for particle-4.}$$

We may consider a situation $\frac{q_3 Q}{r} \gg E_1 + E_2$ with $q_1 + q_2 = q_3 + q_4$.

↓

$E_3 \gg E_1 + E_2$

There is no upper bound on the efficiency of the energy extraction.

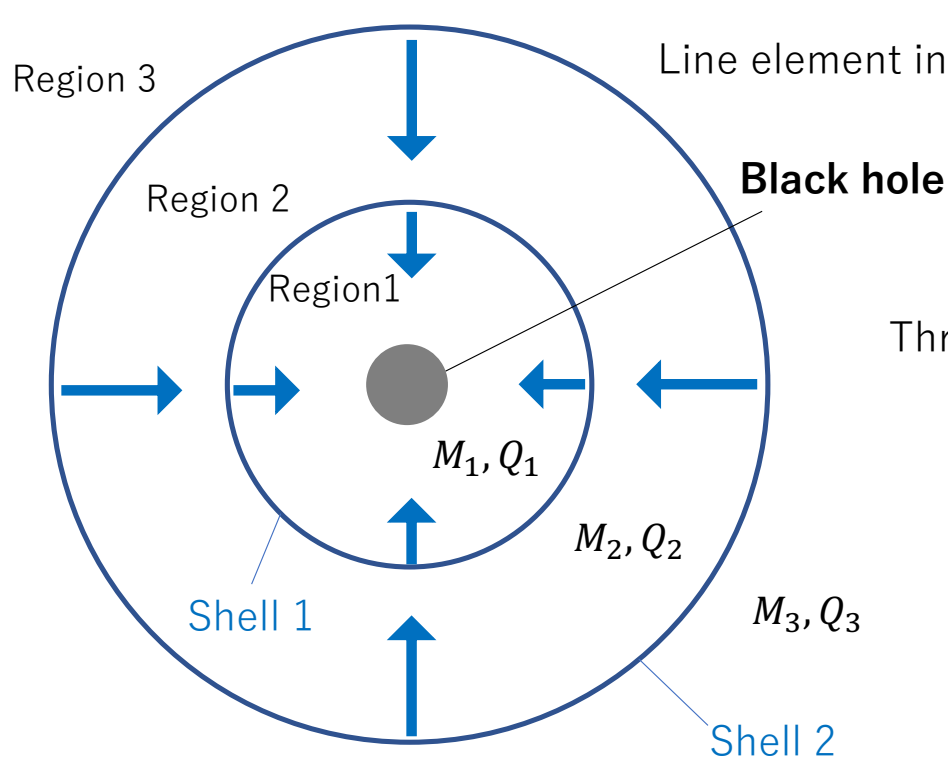
$$\eta = \frac{E_3}{E_1 + E_2} \rightarrow \infty \quad \text{for} \quad q_3 Q \rightarrow \infty$$

But BSW collision is not a necessary condition for large E_3 .

If the self-gravity is taken into account, what happens?

Let's consider two spherical **dust shells** with electric charge.

$$\mathbf{S} = \sigma \mathbf{u} \otimes \mathbf{u}$$



Line element in **Region I**: $ds^2 = -f_I(r)dt_I^2 + \frac{dr^2}{f_I(r)} + r^2 d\Omega^2$

where $f_I(r) = 1 - \frac{2M_I}{r} + \frac{Q_I^2}{r^2}$

Through Israel's formalism, the self-gravity of the shells can be completely taken into account.

$$\mathbf{K}_+ - \mathbf{K}_- = 8\pi(\mathbf{S} - \mathbf{h}tr\mathbf{S})$$



EOM of a dust shell $\dot{r}_I^2 + V_I(r_I) = 0$

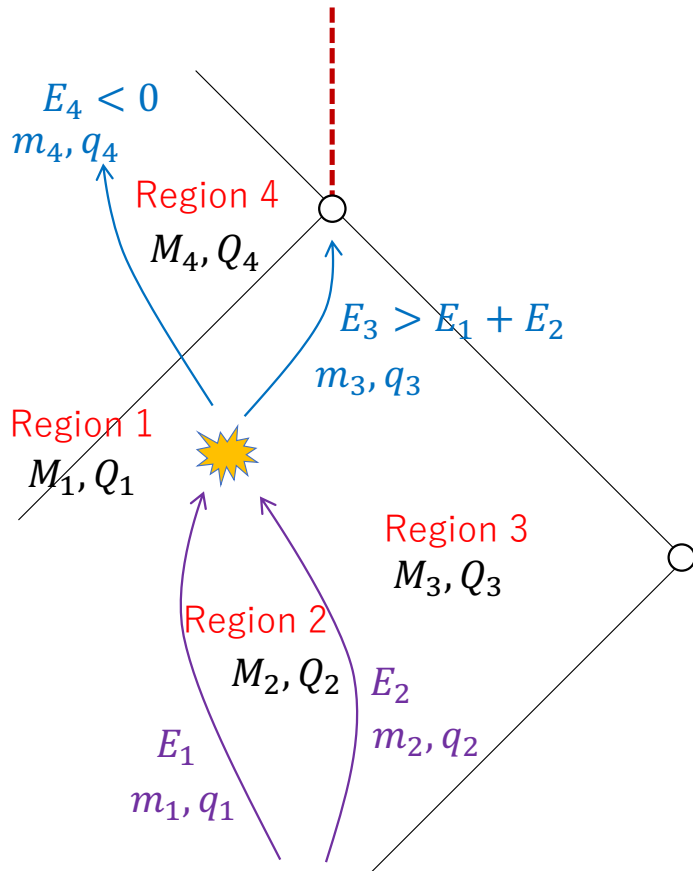
If the self-gravity is taken into account, what happens?

Energies of shells

$$E_1 = M_2 - M_1 - \frac{Q_2^2 - Q_1^2}{2r}, \quad E_2 = M_3 - M_2 - \frac{Q_3^2 - Q_2^2}{2r}$$

$$E_3 = M_3 - M_4 - \frac{Q_3^2 - Q_4^2}{2r}, \quad E_4 = M_4 - M_1 - \frac{Q_4^2 - Q_1^2}{2r}$$

$E_1 + E_2 = E_3 + E_4$ holds trivially at the collision event.



Energy extracted from BH

$$E_{ex} = \lim_{r \rightarrow \infty} E_3 = M_3 - M_4$$

Is there an upper bound on E_{ex} ?

From non-decreasing nature of Misner-Sharp energy

Misner-Sharp energy: $E_{MS} = \frac{r}{2} \left(1 + \frac{r^2}{2} \theta_+ \theta_- \right)$

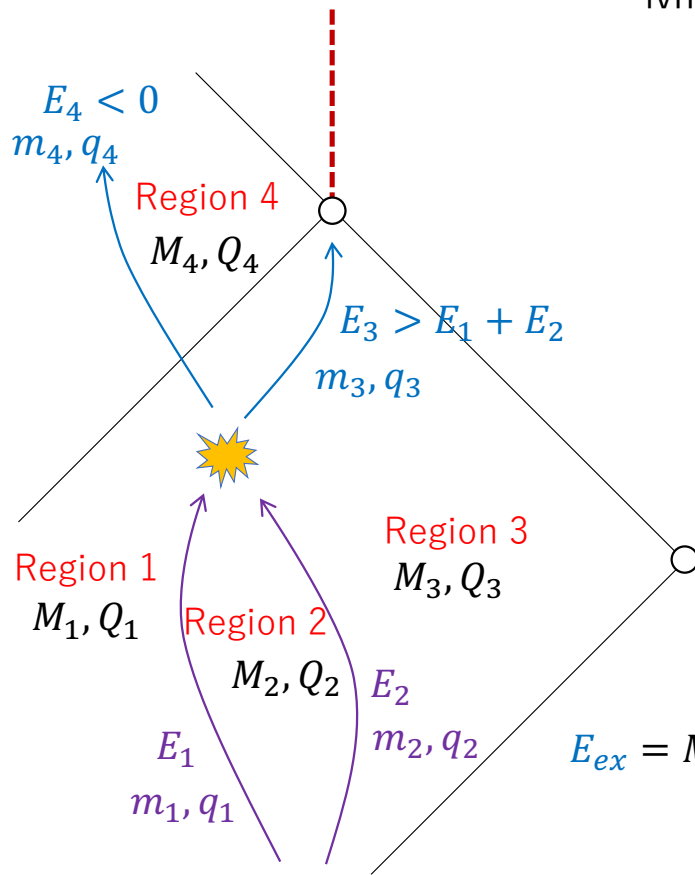
where θ_+ : expansions of nulls normal to a sphere of $r=\text{constant}$.

In untrapped region ($\theta_+ \theta_- < 0$),
MS energy is non-decreasing with respect to r ,
if the dominant energy condition holds.

$$E_{MS} = M - \frac{Q^2}{2r} \text{ in RN spacetime}$$

$$\text{Non-decreasing at collision: } M_1 - \frac{Q_1^2}{2r} \leq M_4 - \frac{Q_4^2}{2r} \leq M_3 - \frac{Q_3^2}{2r}$$

$$E_{ex} = M_3 - M_4 \leq M_3 - M_1 + \frac{Q_1^2 - Q_4^2}{2r} \leq M_3 - M_1 + \frac{Q_1^2}{2r} \leq M_3 - M_1 + \frac{M_1^2}{2r} < M_3 - \frac{M_1}{2}$$



$$E_{ex} = M_3 - M_4 \leq M_3 - M_1 + \frac{Q_1^2 - Q_4^2}{2r} \leq M_3 - M_1 + \frac{Q_1^2}{2r} \leq M_3 - M_1 + \frac{M_1^2}{2r} < M_3 - \frac{M_1}{2}$$

\uparrow $Q_4^2 \geq 0$ \uparrow $Q_1^2 \leq M_1^2$ \uparrow $r > M_1$

$$Q_4 = 0, Q_1^2 = M_1^2, r \approx M_1 \text{ are necessary conditions for } E_{ex} \approx M_3 - \frac{M_1}{2}$$

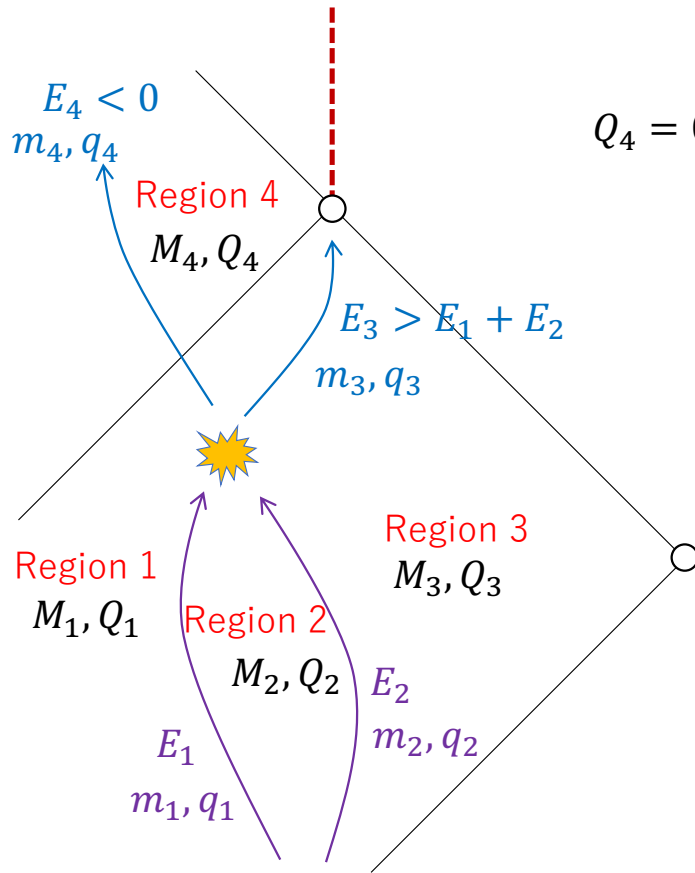
$$E_{ex} \approx M_3 - \frac{M_1}{2} \longrightarrow M_4 = M_3 - E_{ex} \approx \frac{M_1}{2} \text{ with } Q_4 = 0$$

After the maximal energy extraction,
BH should be a Schwarzschild one with $M_1/2$.

The area of the event horizon is unchanged.

$$A_{initial} = 4\pi M_1^2$$

$$A_{final} = 4\pi \left(2 \cdot \frac{M_1}{2}\right)^2 = 4\pi M_1^2$$



Collisional Penrose process around a charged BH

- An example of spherical shells-

Extreme Reissner-Nordstrom black hole $Q_1 = M_1$

Shell 1: neutral $q_1 = 0$

Shell 2: neutral $q_2 = 0$

Shell 3: charged $q_3 = Q_1$

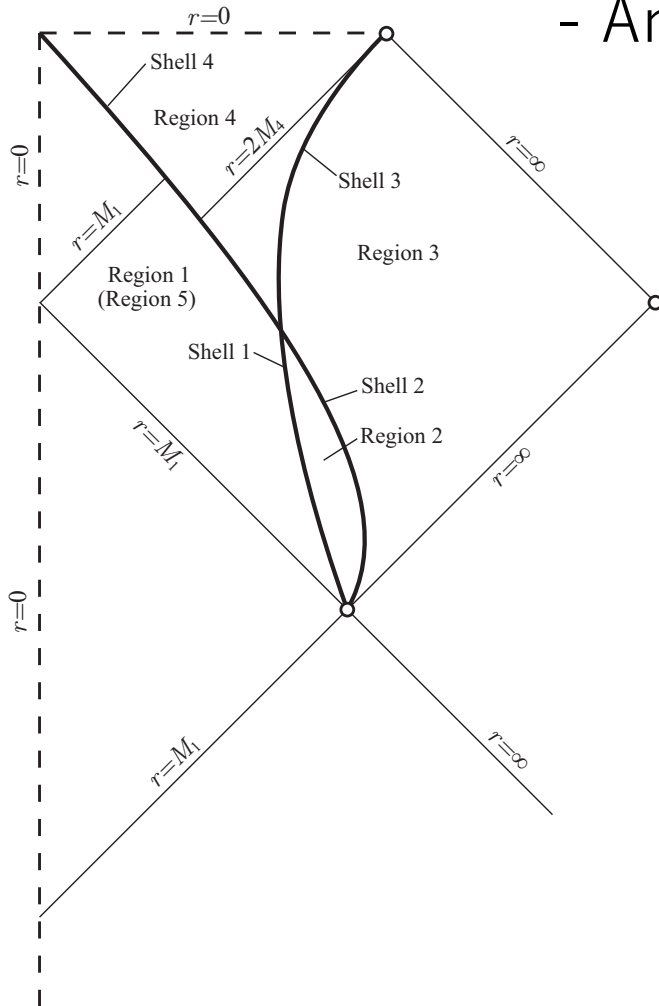
Shell 4: charged $q_4 = -Q_1$

$$m_1 = m_3$$

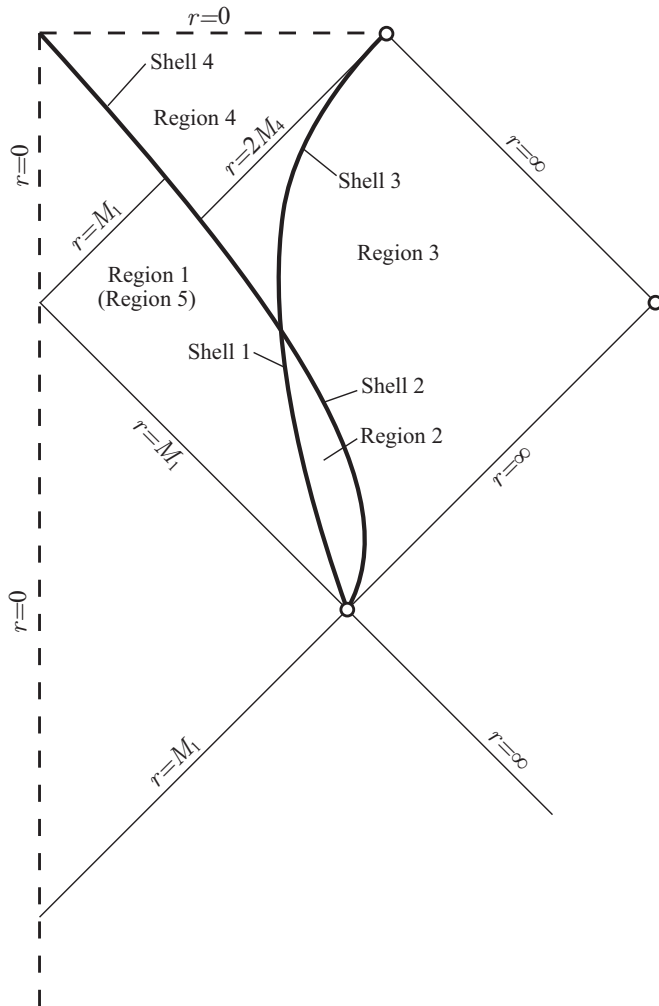
$$m_2 = m_4$$

We require

4-momentum conservation: $m_1 \mathbf{u}_1 + m_2 \mathbf{u}_2 = m_3 \mathbf{u}_3 + m_4 \mathbf{u}_4$



From the conservation of 4-momentum



$$E_{ex} = M_2 - M_1 + \frac{Q_1^2}{2r} - \frac{m_1 m_2}{r} \Gamma$$

Effect of self-gravity

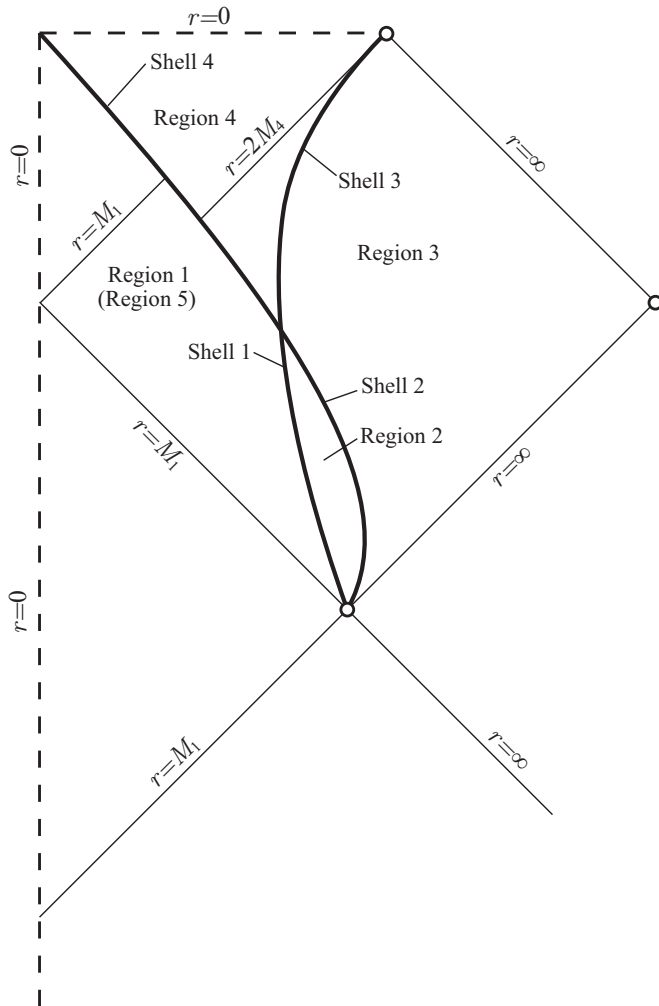
$\Gamma := -\mathbf{u}_1 \cdot \mathbf{u}_2 > 0$: Gamma factor of relative velocity

$$E_{cm}^2 = -(m_1 \mathbf{u}_1 + m_2 \mathbf{u}_2)^2 = m_1^2 + m_2^2 + 2m_1 m_2 \Gamma$$

$\Gamma \rightarrow \infty$ for BSW collision $\longrightarrow E_{ex}$ decreases!

BSW collision is not so good for energy extraction.

In present case, BSW collision does not occur ($\because q_1 = 0$).



Collision event at $r = \frac{M_1}{1 - \varepsilon}$ $0 < \varepsilon \ll 1$

$\varepsilon^2 - \frac{2(3E_1 + E_2)}{M_1} > 0$ so that the collision is outside the BH,
and Shell 3 goes away to infinity.



$$E_1, E_2 = M_1 \times O(\varepsilon^2)$$

Almost maximal!

$$E_{ex} = \frac{M_1}{2} [1 - \varepsilon + O(\varepsilon^2)]$$

$$M_4 = \frac{M_1}{2} [1 + \varepsilon + O(\varepsilon^2)]$$

Efficiency of energy extraction $\eta = \frac{E_{ex}}{E_1 + E_2} \propto \varepsilon^{-2} \rightarrow \infty$

Summary of collisional Penrose process around a charged BH

- In the case of test particles, there is no upper bound on the efficiency of the energy extraction, but BSW collision is not a necessary condition for it.
- If the self-gravity of colliding objects is taken into account, there is an upper bound on the extracted energy consistent with the area law of BH.
- We could construct an example of the nearly maximal energy extraction and furthermore no upper bound on the efficiency exists.