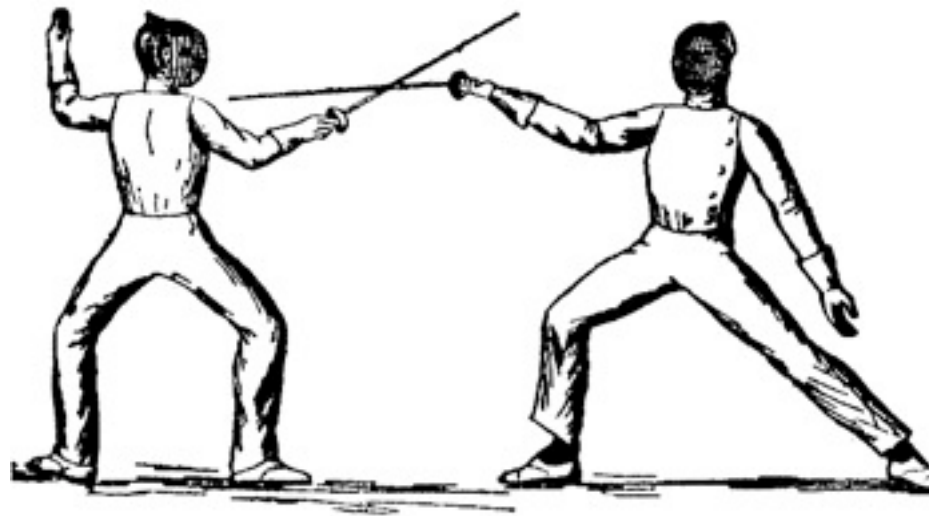


Higuchi **VS** Vainshtein



to appear on [ArXiv](#), soon!

Matteo Fasiello and Andrew J. Tolley

Case Western Reserve University

Outline

- 1) the whys of dRGT massive gravity
- 2) massive cosmologies
- 3) despair not
- 4) a seemingly unrelated note, the Higuchi bound
- 5) Vainshtein mechanism, again
- 6) Higuchi vs Vainshtein
- 7) examples, attempted resolutions and links with the above
- 8) despair not, again
- 9) conclusions and future work

A very short reminder

~1939 Fierz-Pauli:

$$S = \int d^4x \left[-\frac{1}{2} \partial_\lambda h_{\mu\nu} \partial^\lambda h^{\mu\nu} + \partial_\mu h_{\nu\lambda} \partial^\nu h^{\mu\lambda} - \partial_\mu h^{\mu\nu} \partial_\nu h + \frac{1}{2} \partial_\lambda h \partial^\lambda h - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) \right]$$

g.r. bit

m.g. part

for $m = 0$ there's a gauge symmetry

$$h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu \xi_\nu(x) + \partial_\nu \xi_\mu(x)$$

for $m \neq 0$

broken gauge symmetry, 5 DOF

~1970 vDVZ discontinuity:

Add an external symmetric source to the action above:

$$L = \dots + \alpha h_{\mu\nu} T^{\mu\nu} \quad \text{specifically} \quad T^{\mu\nu}(x) = M \delta_0^\mu \delta_0^\nu \delta^3(x)$$

solution:

$$h_{00}(x) = \frac{M}{6\pi M_P} \frac{e^{-mr}}{r}$$

$$h_{0i}(x) = 0$$

$$h_{ij}(x) = \frac{M}{12\pi M_P} \frac{e^{-mr}}{r} \delta_{ij}$$

take

$$h_{00}/M_P = -\phi; \quad h_{ij}/M_P = -\psi \delta_{ij}; \quad h_{0i} = 0$$

ϕ , Newtonian potential

$$\underline{m = 0}$$

$$\phi = -\frac{GM}{r} \quad \psi = -\frac{GM}{r}$$

$$\alpha = -\frac{4GM}{b}$$

$$\underline{m \neq 0}$$

$$\phi = -\frac{4GM}{3r} \quad \psi = -\frac{2GM}{3r}$$

$$\alpha = -\frac{4GM}{b}$$

If we want the same Newtonian potential,

$$\phi_m = \phi_0 \Rightarrow \alpha = \frac{3GM}{b} \quad \text{25\% off !}$$

$$R_{\mu\nu} + m^2 h_{\mu\nu} \sim T_{\mu\nu}$$

$$h_{\mu\nu} \sim 1$$

$$R \sim m^2$$

$$R \sim \nabla^2 \phi ; \quad \phi \sim \frac{GM}{r} \Rightarrow R \sim \frac{GM}{r^3} \sim m^2$$

therefore

$$r < r_V \quad \leftarrow \quad r_V = \left(\frac{M}{M_P^2 m^2} \right)^{1/3} \quad \rightarrow \quad r > r_V$$



C. Deffayet, G. Dvali, G. Gabadadze, A.
Vainshtein hep-th/0106001
Phys.Rev. D65 (2002) 044026

G. Chkareuli, D. Pirtskhalava
airXiv 11.05.1783

This morning talk

As it turns out, Vainshtein screening mechanism
helps restoring continuity with G.R. in the limit $m \rightarrow 0$.

but then, just when you thought the party could start...

Boulware and Deser show up with their ghost



and ruin it!

see: C. Deffayet, first talk of this morning

Stuckelberg's resurrection

~2003 Arkani-Hamed, Georgi, Schwartz

reintroduced this method which restores gauge invariance in massive gravity, e.g. ϕ 's we will see later, and made easier to identify some effective theory properties, including the scale of the cutoff

$$S = \int d^4x \left[\mathcal{L}_{m=0} - \frac{1}{2} m^2 (h_{\mu\nu} h^{\mu\nu} - h^2) \dots \right]$$

$$\left\{ \begin{array}{l} h_{\mu\nu} \rightarrow h_{\mu\nu} + \partial_\mu A_\nu + \partial_\nu A_\mu \\ \delta h_{\mu\nu} = \partial_\mu \xi_\nu + \partial_\nu \xi_\mu ; \quad \delta A_\mu = -\xi_\mu \end{array} \right.$$

cutoff in the first analysis came out too low, below the non-linear regime itself.

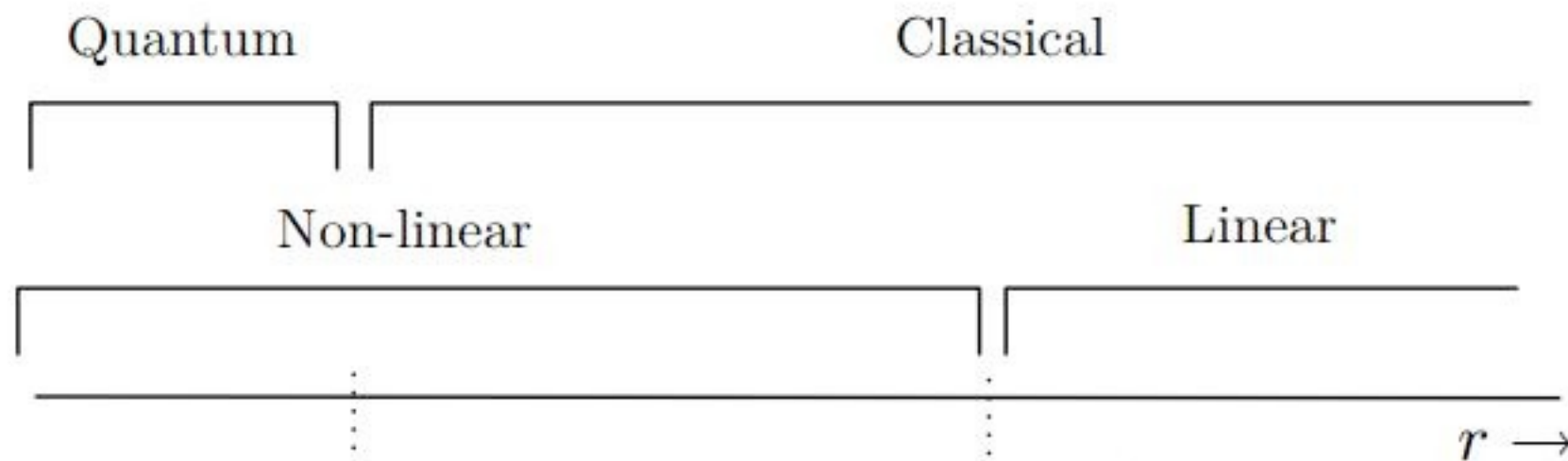
but , by adding higher order graviton self-interactions with appropriate coefficients things do work !

$$\left\{ \begin{array}{l} A_\mu \rightarrow a_\mu + \partial_\mu \phi \\ \delta h_{\mu\nu} = \partial_\mu \xi_\nu + \partial_\nu \xi_\mu , \\ \delta A_\mu = \partial_\mu \Lambda ; \quad \delta \phi = -\Lambda \end{array} \right.$$

dRGT: Ghost-free m.g. theory at fully non-linear level

De Rham, Gabadadze, Tolley
Hassan, Rosen

- * No Boulware-Deser Ghost, at all orders
- * Screening mechanism in the non linear regime that restores continuity with G.R. as m approaches 0
- * High enough cutoff so that the theory different regimes can be described



$$S = S_{EH} + 2m^2 \int d^4x \sqrt{-g} \left[\varepsilon_2 (\delta - \sqrt{g^{-1}f}) + \alpha_3 \varepsilon_3 (\delta - \sqrt{g^{-1}f}) + \alpha_4 \varepsilon_4 (\delta - \sqrt{g^{-1}f}) \right]$$



~1939 Fierz-Pauli:

~1970 van Dam, Veltam, Zakharov

~1972 Vainshtein

~1972 Boulware, Deser

~2003 Arkani-Hamed, Georgi, Schwartz

~2010 De Rham, Gabadadze, Tolley

~2011-12... a lot of ongoing current stuff in massive gravity,
cosmologies etc..





Massive cosmologies

Set up:

$$\bullet g_{\mu\nu} = \partial_\mu \phi^a \partial_\nu \phi^b \eta_{ab} + H_{\mu\nu}$$

$$\bullet K_\nu^\mu(g, H) = \delta_\nu^\mu - \sqrt{\partial^\mu \phi^a \partial_\nu \phi^b \eta_{ab}}$$

$$\bullet \mathcal{L} = \frac{M_p^2}{2} \sqrt{-g} (R + m^2 (\mathcal{L}_2(K) + \alpha_3 \mathcal{L}_3(K) + \alpha_4 \mathcal{L}_4(K)))$$

for $\mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_3$ defs see above

We want homogeneous + isotropic solution;

$$ds^2 = -dt^2 + a^2(t) d\vec{x}^2, \quad \phi^0 = f(t), \quad \phi^i = x^i$$

L:

$$\mathcal{L} = 3M_P^2 \left(-a\dot{a}^2 - m^2 |\dot{f}| (a^3 - a^2) + m^2 (2a^3 - 3a^2 + a) \right)$$

No FRW

e.o.m. varying wrt to “f” gives:

no $a(t)$ evolution !

$$m^2 \partial_t (a^3 - a^2) = 0$$



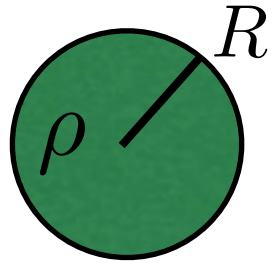
2 notes :

- 1) α_3, α_4 give a similar result. Might also try changing the flat 3D metric for a more general maximally symmetric 3-space. No, that won't do.
- 2) $m(t)$? If in the above one assumes $m = m(\sigma)$ the eom changes but the price to pay is a varying m :

$$\partial_t (m^2(\sigma)(a^3 - a^2)) = 0$$

worry not

- things need only look like FRW



$$r_* = \left(\frac{\rho}{3M_P^2 m^2} \right)^{1/3} R$$

the universe filled with pressure-less dust of density ρ

2 regimes:

$$\rho > \rho_{co} ; \quad \rho < \rho_{co} ;$$

$$\rho > \rho_{co}$$

In a Hubble patch $1/H \sim (\rho/3M_P^2)^{1/2}$

inside the Vainshtein and therefore small corrections, $\sim \left(\frac{m}{H} \right)^k$

$$\rho < \rho_{co}$$

vDVZ regime, far from GR

$$\rho > \rho_{co}$$

For the **metric** one easily reproduces **FRW**:

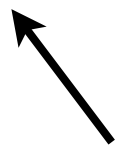
$$ds^2 = -dt^2 + \cancel{C(r,t)} dt dr + A^2(t, \cancel{r}) [dr^2 + r^2 d\Omega^2]$$

$$\phi^0 = f(t, r), \quad \phi^i = g(t, r) \frac{x^i}{r}$$

$$G_{\mu\nu} = m^2 \cancel{T_{\mu\nu}^{(K)}} + \frac{1}{M_P^2} T_{\mu\nu}$$

It's the **Stuckelbergs** that start in m^2 and are in **need solution of the full eom** and it won't always be necessarily nice, to make things works one needs to require the following

- The backreaction of $T_{\mu\nu}^{(K)}$ should be negligible
- metric fluctuations should be the GR ones to very high precision



the Stuckelbergs are not homogeneous so there exist a physical “center” for them, of size $1/m$
perturbations will pick on this center and therefore one must require that $m < H_0$

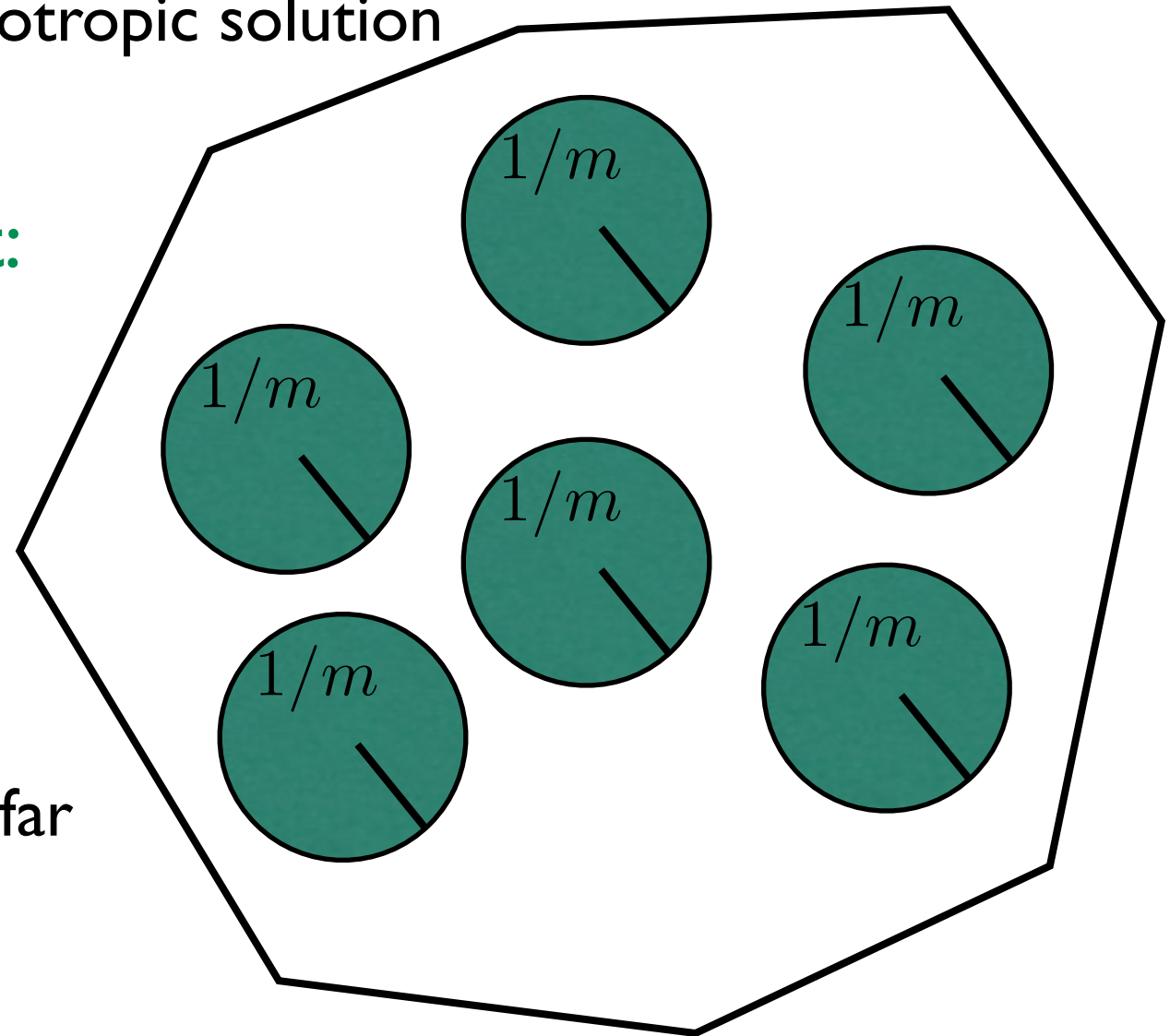
$$\rho < \rho_{co}$$

very different cosmology due to dDVZ

here we are outside the Vainshtein, so linear massive gravity.

It does not admit homogeneous and isotropic solution

how to picture it:



many $1/m$ domains inside which all is far from FRW but averaging over many

many domains at distances $\gg 1/m$ might get homogeneity and isotropy back again

one might also want to consider:

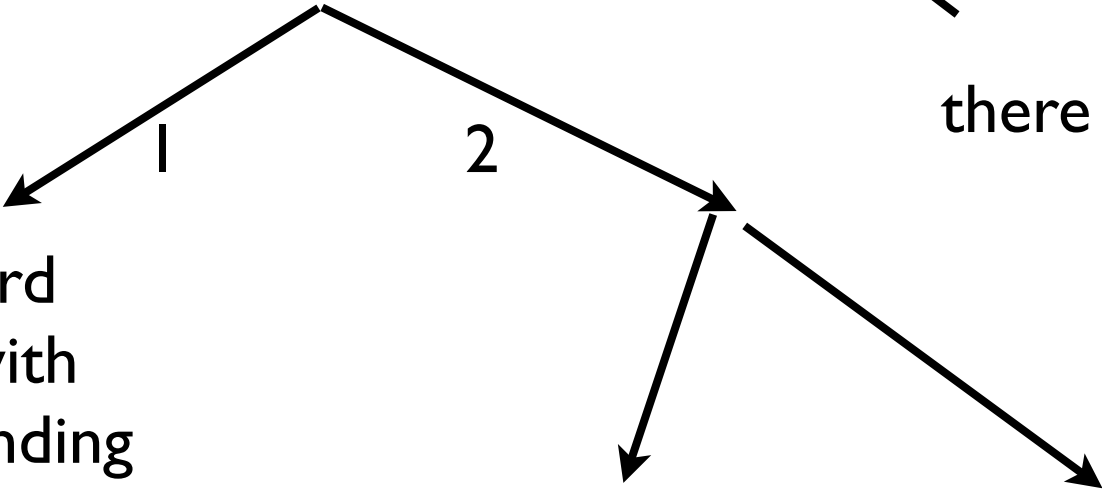
fully bi-metric theories, i.e. giving dynamics to the absolute metric

~2011 Comelli, Crisostomi, Nesti, Pilo.
Von Strauss, Schmidt, Enander, Mortsell, Hassan.

$$ds^2 = a(t)^2(-dt^2 + dr^2 + r^2 d\Omega^2)$$

$$d\tilde{s}^2 = \omega^2(t) \left[-c(t)^2 dt^2 + \cancel{2D(t) dt dr} + dr^2 + r^2 d\Omega^2 \right]$$

there are FRW solutions now, in 2 branches



standard
FRW with
c.c. depending
on m

harder to satisfy
observations but
possible

FRW again



early t.

at late time dS attractor

n.b. also **open FRW** is possible but instability is an issue there

~2011 Gumrukcuoglu,
Lin, Mukohyama

message: there exists a **no go** theorem **for FRW** in massive gravity



**Stuckelbergs, *fully bymetric etc...*

all this stems from requiring the right **cosmology**, no objection to that.

We now want to also look at things from another perspective.

The Higuchi bound is a condition that stems from requiring stability from the classical theory of linear Massive Gravity

$$\mathcal{L} = \mathcal{L}_{EH} + \mathcal{L}_m = \sum p^T \dot{q} - \left[\frac{1}{2} p^T \cdot P \cdot p + \frac{1}{2} q^T \cdot Q \cdot q + p^T \cdot P \bar{Q} \cdot q \right] \quad (1)$$

Roughly speaking: **stability \Leftrightarrow P positive definite**



Essential literature:

A. Higuchi
Nucl.Phys. B282 (1987) 397

S. Deser, A. Waldron
Phys.Lett. B508 (2001) 347-353
hep-th/0103255

L.Grisa, L.Sorbo
Phys.Lett. B686 (2010) 273-278
arXiv:0905.3391

(2)

e.g. Fierz-Pauli:

$$S = S_{EH} - \frac{m^2}{4} \int d^4x \sqrt{-\bar{g}^{(4)}} h_{\mu\nu} h_{\rho\sigma} \left[f^{\mu\rho} f^{\nu\sigma} - f^{\mu\nu} f^{\rho\sigma} \right]$$

take:

$$f^{\mu\nu} = \bar{g}_{EH}^{\mu\nu}$$

● usual tensor decomposition

$$T_{ij} = T_{ij}^{Tt} + 2\partial_{(i} T_{j)}^t + \frac{1}{2} \left(\delta_{ij} - \hat{\partial}_{ij} \right) T^t + \hat{\partial}_{ij} T^l$$

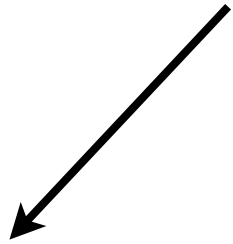
We are looking at the **scalar** here, the helicity 0 mode

● use ADM formalism

● solve constraint equations, solve for p^t, h^t

● canonical transformation: $p^l \rightarrow p_0 + h^t (m^2 - 2H^2) / 4H$; $h^l \rightarrow q_0 + h^t / 2$

$$I_0 = p_0 \dot{q}_0 - \left[\frac{1}{2} \left[\frac{3\nu^2 m^2}{12H^2} \right] p_0^2 + \frac{1}{2} \left[\frac{12H^2}{\nu^2 m^2} \right] q_0 \left(-\nabla^2 + m^2 - \frac{9H^2}{4} \right) q_0 \right]$$



$$\nu^2 = m^2 - 2H^2$$

Immediately, stability dictates

$$\nu^2 > 0$$

The Higuchi bound:

$$m^2 > 2H^2$$



Vainshtein Mechanism



remember from above: inside the Vainshtein radius lies the region when you recover GR,

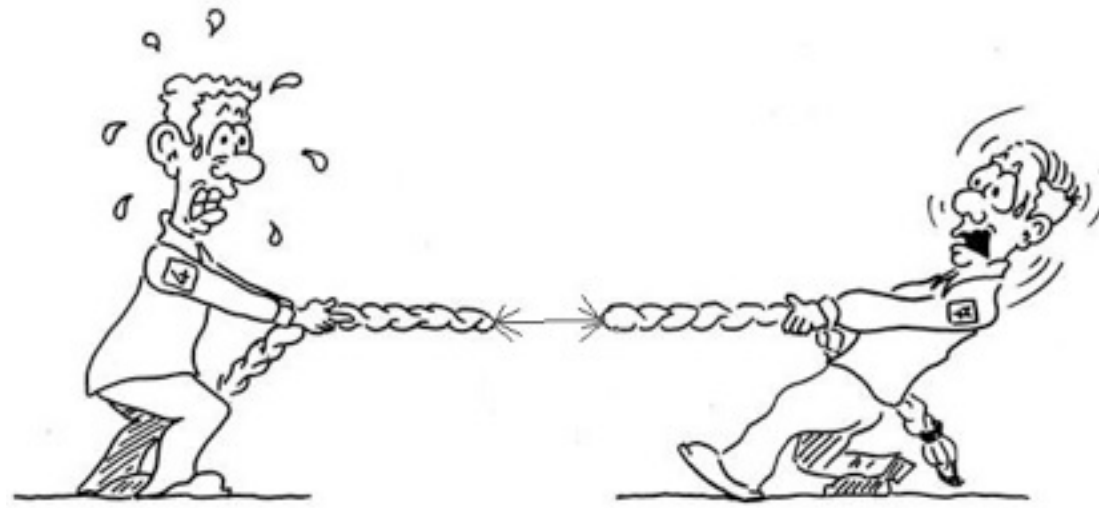
schematically then:

$$3H^2 = \Lambda + 3m^2 \times \Theta(1)$$

Therefore, we require:

$$m^2 < H^2$$





want our theory to be stable

GR works all around us

$$m^2 > 2H^2$$

A. Higuchi
Nucl.Phys. B282 (1987) 397

S. Deser, A. Waldron
Phys.Lett. B508 (2001) 347-353
hep-th/0103255



$$m^2 < H^2$$

A. I. Vainshtein
Phys.Lett. B39 (1972) 393-394

C. Deffayet, G. Dvali, G.
Gabadadze, A. Vainshtein
Phys.Rev. D65 (2002) 044026

G. Chkareuli, D. Pirtskhalava
airXiv 11.05.1783

Clearly, there's a **problem...**



But note that, in deriving the Higuchi bound before,
a number of assumptions have been implicitly made:

*** Shall we add matter content?

*** Shall we use a different reference metric “f”?

*** F-P theory of massive gravity has ghosts.
How about a ghost free theory?

*** something else?

Let's add **matter**:

$$S = S_{EH} + S_{m^2} - \int d^4x \sqrt{-g^{(4)}} \left[\frac{1}{2} g^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi + V(\Phi) \right]$$

keeping the assumption:

$$f^{\mu\nu} = \bar{g}^{\mu\nu}$$

The Higuchi bound now reads

$$m^2 > 2(H^2 + \dot{H})$$

L.Grisa, L.Sorbo
Phys.Lett. B686 (2010) 273-278
arXiv:0905.3391



but remember, **Fierz-Pauli** theory has **ghosts** !



Our set up



* **dRGT** theory of massive gravity

$$S_{m^2} = 2m^2 \int d^4x \sqrt{-g} \left[\varepsilon_2(\delta - \sqrt{g^{-1}f}) + \alpha_3 \varepsilon_3(..) + \alpha_4 \varepsilon_4(..) \right]$$

with

$$\varepsilon_2(X) = \frac{1}{2} (Tr^2[X] - Tr[X^2]) ; \quad \varepsilon_3(X) = \frac{1}{6} (Tr^3[X] - 3Tr[X^2]Tr[X] + 2Tr[X^3])$$

$$\varepsilon_3(X) = \frac{1}{24} (Tr^4[X] - 6Tr[X^2]Tr^2[X] + 3Tr^2[X^2] + 8Tr[X^3]Tr[X] - 6Tr[X^4])$$

** The reference metrics “f” and “g” need **not** be **the same**,
parametrize it:

$$f_{\mu\nu} = (1 + z) \bar{g}_{\mu\nu}$$

*** No matter content

$$\text{dS space, } \dot{H} \rightarrow 0$$

Results:

Friedman equation:

$$3H^2 = m^2(3z - 3z^2) + \Lambda$$

therefore:

$$m^2(z - z^2) < H^2$$

modified Higuchi bound:

$$m^2(1 - z - 2z^2) (m^2(1 - z - 2z^2) - 2H^2) > 0$$

overall then:

$$\frac{(1 - z - 2z^2)}{(3z - 3z^2)} \gg 1$$

Let's switch on α_3, α_4

e.o.m.:

$$3H^2 = 3m^2 z - 3m^2 z^2 + 3\alpha_3 m^2 z^2 - \alpha_3 m^2 z^3 + \alpha_4 m^2 z^3 + \Lambda$$

Higuchi bound:

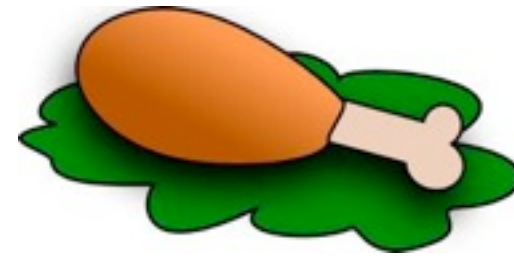
$$m^2(1+z)(1 - z(2 + (-2+z)\alpha_3 - z\alpha_4)) > 2H^2$$

The requirement now reads:

$$\frac{m^2(1+z)(1 - z(2 + (-2+z)\alpha_3 - z\alpha_4))}{m^2 z - 3m^2 z^2 + 3\alpha_3 m^2 z^2 - \alpha_3 m^2 z^3 + \alpha_4 m^2 z^3} \gg 1$$

This inequality can now indeed be satisfied, but for specifically tuned values of the parameters, which is somewhat unnatural.

Add matter:



Background equation:

$$H^2 = m^2(z - z^2) + \frac{\bar{\pi}^2}{12} + \frac{V_0}{6}$$

$$\dot{H} = -\frac{\bar{\pi}^2}{4} - \frac{m^2}{2} (1 - z - 2z^2 - M + 2Mz)$$

$$\dot{\bar{\pi}} + 3H\bar{\pi} + V_1 = 0 ; \quad V_1 = \frac{dV(\phi)}{d\phi}$$

$$\dot{z} = -\frac{H}{1 - 2z} (1 - z - 2z^2 - M + 2Mz)$$

$$f_{\mu\nu} = \text{diag} [-M^2(t), (1 + z(t))^2]$$

Higuchi bound:

$$m^2(1 - z - 2z) > 2H^2 + 2\dot{H}$$

qualitative now!,
final expression itself is quite long

again then:

$$\frac{(1 - z - 2z)}{(3z - 3z^2)} \gg 1$$

Putting back in the parameters and z' **does not change** the picture which emerged so far:



the time dependence of z makes things even worse



Message



Higuchi VS Vainshstein tension cannot be relaxed in this setup,

- not by adding matter
- not by using two FRW different metrics

...and it makes sense from another perspective as well, as we have seen .

- * going fully bimetric?
- * inhomogeneities in the ϕ 's ?

we have reasons to be hopeful !

