

# $R^2$ -inflation vs Higgs-inflation: crucial tests in Particle physics and Cosmology

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Hot topics in Modern Cosmology  
Spontaneous Workshop VI

IESC, Cargese, France, 07.05.2012

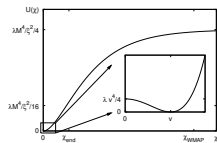
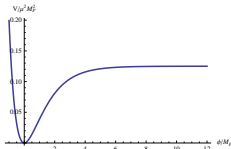
# The essence of the matter on two slides: question

There are two inflationary models  
without **NEW** scalar(s) in **PARTICLE PHYSICS SECTOR**:

A.Starobinsky (1980)       $R^2$ -inflation      Higgs-inflation      F.Bezrukov, M.Shaposhnikov (2007)

$$S^{JF} = -\frac{M_P^2}{2} \int \sqrt{-g} d^4x \left( R - \frac{R^2}{6\mu^2} \right) + S_{matter}^{JF}, \quad S^{JF} = \int \sqrt{-g} d^4x \left( -\frac{M_P^2}{2} R - \xi H^\dagger H R \right) + S_{matter}^{JF}$$

with the same inflaton potential at inflation and right after



How one can distinguish one model from another?

# The essence of the matter on two slides: answer

In this two models “inflaton” couple to the SM fields in different ways

$R^2$ -inflation: gravity,  $\mathcal{L} \propto \phi / M_P$

Higgs-inflation: finally, at  $\phi \lesssim M_P / \xi$  like in SM

$$\phi \rightarrow hh$$

$$h \rightarrow W^+ W^-$$

D.G., A.Panin (2010)

$$T_{reh} \approx 3 \times 10^9 \text{ GeV}$$

F.Bezrukov, D.G., M.Shaposhnikov (2008)

$$T_{reh} \approx 6 \times 10^{13} \text{ GeV}$$

with different length of the post inflationary matter domination stage:

F.Bezrukov, D.G. (2011)

- somewhat different predictions for perturbation spectra

$$n_s = 0.965, \quad r = 0.0032$$

$$n_s = 0.967, \quad r = 0.0036$$

break in primordial gravity wave spectra at different frequencies

- in  $R^2$  perturbations  $10^{-5}$  have enough time to enter nonlinear regime: gravity waves from inflaton clumps
- SM Higgs potential is OK up to the reheating scale:

$$m_h \gtrsim 116 \text{ GeV}$$

$$m_h \gtrsim 126 \text{ GeV}$$

# Outline

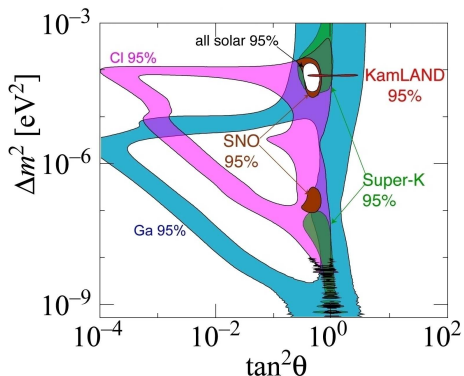
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- 2 Starting from  $R^2$ -inflation: no new interactions
- 3 Starting from Higgs-inflation: no new fields
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- 5 Summary and minimal extensions: back to motivation

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# Neutrino oscillations: masses and mixing angles

## Solar $2 \times 2$ “subsector”

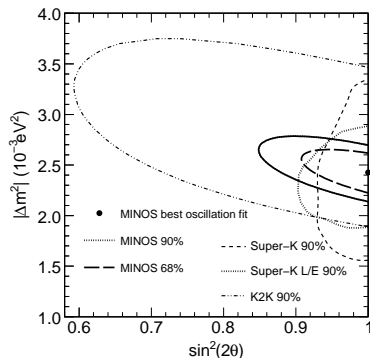


<http://hitoshi.berkeley.edu/neutrino/>

$$m_1 > 0.008 \text{ eV}$$

$$\text{DAYA-BAY, RENO: } \sin^2 2\theta_{13} \approx 0.1$$

## Atmospheric $2 \times 2$ “subsector”

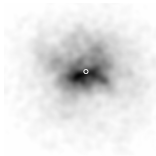
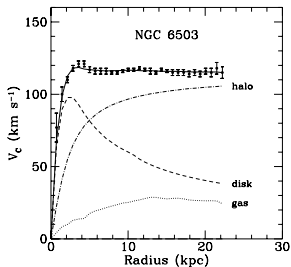


arXiv:0806.2237

$$m_2 > 0.05 \text{ eV}$$

# Baryons and Dark Matter in Astrophysics

## Rotation curves



X-rays from clusters

## Gravitational lensing

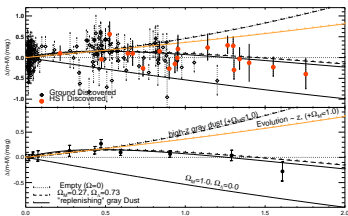


“Bullet” cluster

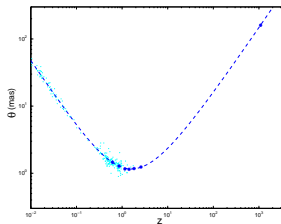


# Baryons and Dark Matter in Cosmology

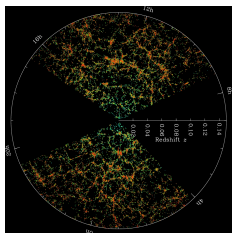
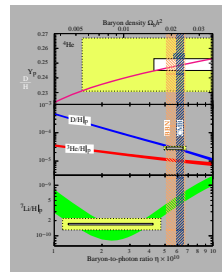
## Standard candles



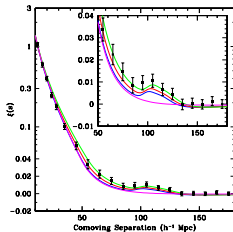
## Angular distance



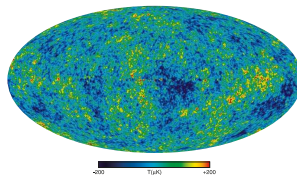
## BBN



Structures



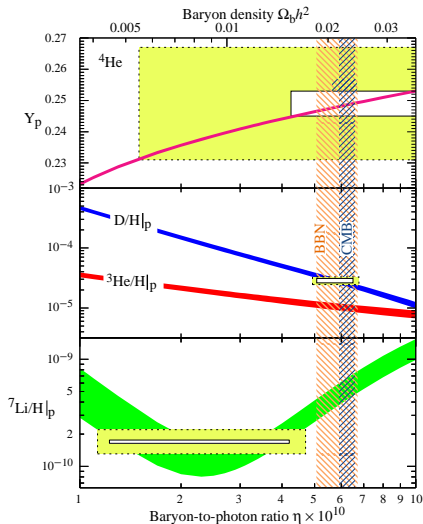
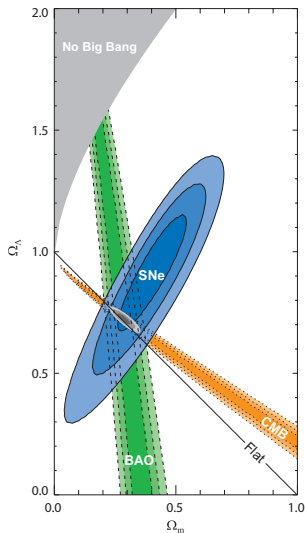
BAO



CMB fluctuations



# Cosmological parameters: $\Omega_{DM} = 0.22$ , $\Omega_B = 0.046$

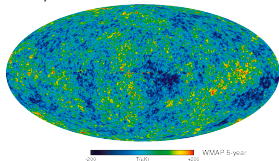


arXiv:0804.4142

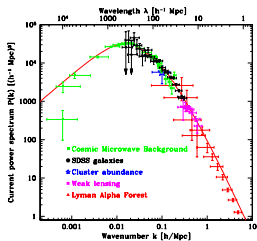
<http://pdg.lbl.gov>

# Inflationary solution of Hot Big Bang problems

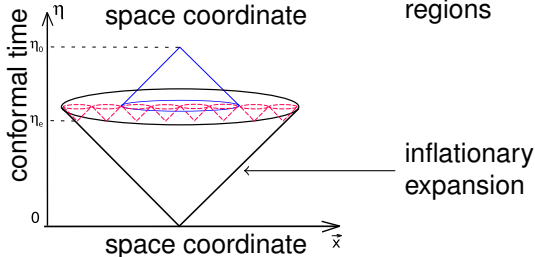
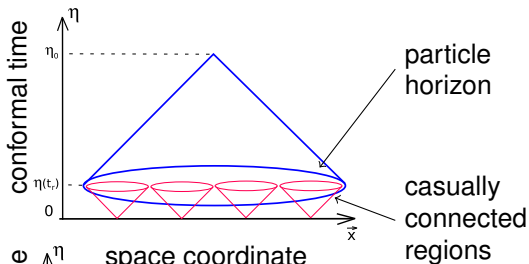
Temperature fluctuations  
 $\delta T/T \sim 10^{-5}$



Universe is **uniform!**



$\delta\rho/\rho \sim 10^{-5}$



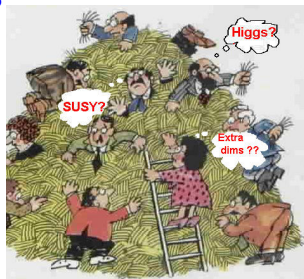
# True Extension of the Standard Model should

- Reproduce the correct neutrino oscillations
- Contain the viable DM candidate
- Be capable of explaining the baryon asymmetry of the Universe
- **Have the inflationary mechanism operating at early times**

## Guiding principle:

use as little “new particle physics” as possible

Why?



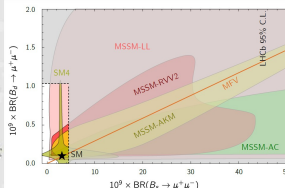
No any hints observed so far!

No FCNC

No WIMPs

No ...

Nothing new at all



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# Inflation: $R^2$ term

$$S^{JF} = -\frac{M_P^2}{2} \int \sqrt{-g} d^4x \left( R - \frac{R^2}{6\mu^2} \right) + S_{matter}^{JF},$$

Jordan Frame  $\rightarrow$  Einstein Frame

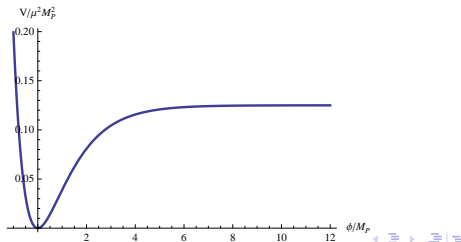
A.Starobinsky (1980)

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = \chi g_{\mu\nu}, \quad \chi = \exp\left(\sqrt{2/3}\phi/M_P\right).$$

$$S^{EF} = \int \sqrt{-\tilde{g}} d^4x \left[ -\frac{M_P^2}{2} \tilde{R} + \frac{1}{2} \tilde{g}^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{3\mu^2 M_P^2}{4} \left(1 - \frac{1}{\chi(\phi)}\right)^2 \right] + S_{matter}^{EF},$$

generation of (almost) scale-invariant scalar perturbations from exponentially stretched quantum fluctuations

$\delta\rho/\rho \sim 10^{-5}$  requires  
 $\mu = m_\phi \approx 1.3 \times 10^{-5} M_P$



# Post-inflationary Reheating: provided by gravity

$$S_{matter}^{JF} = S(g_{\mu\nu}, \varphi, A_\mu, \dots) \rightarrow S_{matter}^{EF} = S(\tilde{g}_{\mu\nu}, \tilde{\varphi}, \tilde{A}_\mu, \dots)$$

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = \chi g_{\mu\nu}, \quad \chi = \exp\left(\sqrt{2/3} \phi / M_P\right).$$

for free (in the Jordan frame) scalar  $\varphi$  and fermion  $\psi$  fields:

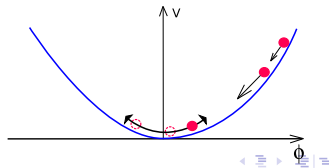
$$S_\varphi^{EF} = \int \sqrt{-\tilde{g}} d^4x \left( \frac{1}{2} \tilde{g}^{\mu\nu} \partial_\mu \tilde{\varphi} \partial_\nu \tilde{\varphi} - \frac{1}{2\chi} m_\varphi^2 \tilde{\varphi}^2 + \frac{\tilde{\varphi}^2}{12 M_P^2} \tilde{g}^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + \frac{\tilde{\varphi}}{\sqrt{6} M_P} \tilde{g}_{\mu\nu} \partial_\mu \tilde{\varphi} \partial_\nu \phi \right),$$

$$S_\psi^{EF} = \int \sqrt{-\tilde{g}} d^4x \left( i \tilde{\psi} \hat{\mathcal{D}} \psi - \frac{m_\psi}{\sqrt{\chi}} \tilde{\psi} \tilde{\psi} \right).$$

$$\varphi \rightarrow \tilde{\varphi} = \chi^{-1/2} \varphi, \quad \psi \rightarrow \tilde{\psi} = \chi^{-3/4} \psi, \quad \hat{\mathcal{D}} \rightarrow \tilde{\mathcal{D}} = \chi^{-1/2} \hat{\mathcal{D}}$$

New scale  $m_\phi \sim \mu$  is screened:

$$\delta \mathcal{L}^{JF} = \frac{M_P^2}{2\mu^2} R^2 \rightarrow \mathcal{L}_\phi^{EF} \propto 1/M_P$$



# Reheating: decay of scalarons

$$\rho_\phi = \mu^2 \phi^2 / 2 = \mu n_\phi \rightarrow \rho_{\text{rad}} \propto T^4$$

$$\mu \gg m_\phi, m_\psi$$

$$\Gamma_{\phi \rightarrow \phi\phi} = \frac{\mu^3}{192\pi M_P^2},$$

$$\Gamma_{\phi \rightarrow \bar{\psi}\psi} = \frac{\mu m_\psi^2}{48\pi M_P^2}.$$

$$T_{\text{reh}} \approx 4.5 \times 10^{-2} \times g_*^{-1/4} \cdot \left( \frac{N_{\text{scalars}} \mu^3}{M_P} \right)^{1/2},$$

for the SM with 4 scalar degrees of freedom:

A.Starobinsky (1980,1981)

$$T_{\text{reh}} \approx 3 \times 10^9 \text{ GeV}$$

D.G., A.Panin (2010)



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# Higgs-driven inflation

F.Bezrukov, M.Shaposhnikov (2007)

$$S = \int d^4x \sqrt{-g} \left( -\frac{M_P^2}{2} R - \xi H^\dagger H R + \mathcal{L}_{SM} \right)$$

In a unitary gauge  $H^T = (0, (h+v)/\sqrt{2})$  (and neglecting  $v = 246$  GeV)

$$S = \int d^4x \sqrt{-g} \left( -\frac{M_P^2 + \xi h^2}{2} R + \frac{(\partial_\mu h)^2}{2} - \frac{\lambda h^4}{4} \right)$$

slow roll behavior due to modified kinetic term even for  $\lambda \sim 1$

Go to the Einstein frame:

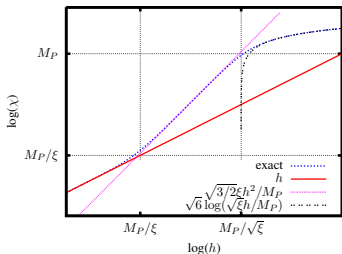
$$(M_P^2 + \xi h^2) R \rightarrow M_P^2 \tilde{R}$$

$$g_{\mu\nu} = \Omega^{-2} \tilde{g}_{\mu\nu}, \quad \Omega^2 = 1 + \frac{\xi h^2}{M_P^2}$$

with canonically normalized  $\chi$ :

$$\frac{d\chi}{dh} = \frac{M_P \sqrt{M_P^2 + (6\xi + 1)\xi h^2}}{M_P^2 + \xi h^2}, \quad U(\chi) = \frac{\lambda M_P^4 h^4(\chi)}{4(M_P^2 + \xi h^2(\chi))^2}.$$

we have a flat potential at large fields:  $U(\chi) \rightarrow \text{const} \quad @ \quad h \gg M_P/\sqrt{\xi}$



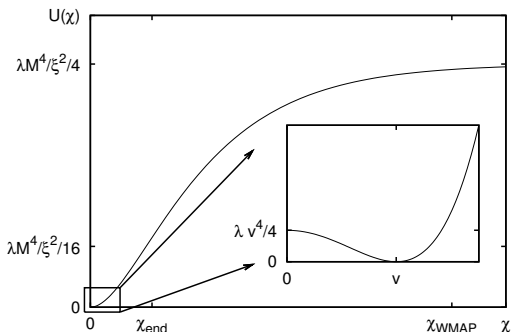
## Reheating by Higgs field

after inflation:  $M_P/\xi < h < M_P/\sqrt{\xi}$

effective dynamics:  $h^2 \rightarrow \chi$

$$\mathcal{L} = \frac{1}{2} \partial_\mu \chi \partial^\mu \chi - \frac{\lambda}{6} \frac{M_P^2}{\xi^2} \chi^2$$

**Advantage:** NO NEW interactions to  
reheat the Universe  
inflaton couples to all SM fields!



exponentially flat potential! @  $h \gg M_P/\sqrt{\xi}$ :

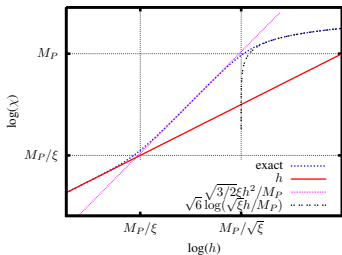
$$U(\chi) = \frac{\lambda M_P^4}{4\xi^2} \left( 1 - \exp\left(-\frac{\sqrt{2}\chi}{\sqrt{3}M_P}\right) \right)^2$$

coincides with  $R^2$ -model!

But NO NEW d.o.f.

0812.3622, 1111.4397

from WMAP-normalization:  $\xi \approx 47000 \times \sqrt{\lambda}$



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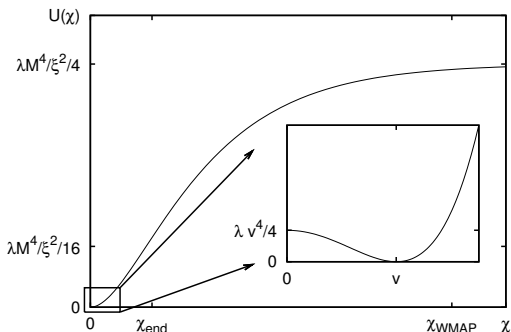
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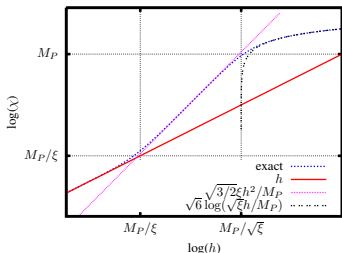
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inflaton couples to all SM fields! from WMAP-normalization:  $\xi \approx 47000 \times \sqrt{\lambda}$

$$m_W^2(\chi) = \frac{g^2}{2\sqrt{6}} \frac{M_P |\chi(t)|}{\xi}$$

$$m_t(\chi) = y_t \sqrt{\frac{M_P |\chi(t)|}{\sqrt{6} \xi}} \text{sign } \chi(t)$$

reheating via  $W^+ W^-$ , ZZ production at zero crossings  
then nonrelativistic gauge bosons scatter to light fermions

$$W^+ W^- \rightarrow f \bar{f}$$

Hot stage starts almost from  $T = M_P/\xi \sim 10^{14}$  GeV:

$$3.4 \times 10^{13} \text{ GeV} < T_r < 9.2 \times 10^{13} \left( \frac{\lambda}{0.125} \right)^{1/4} \text{ GeV}$$

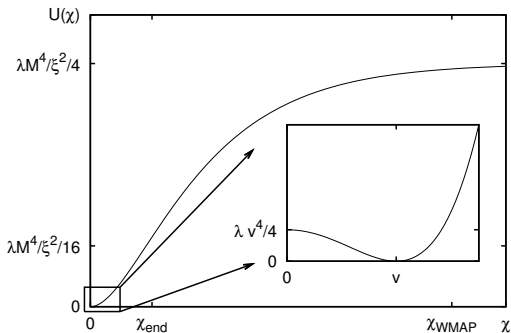
Fine theoretical descriptions both in

$$\text{UV: } \chi \gg M_P, U = \text{const} + \mathcal{O}\left(\exp\left(-\sqrt{2}\chi/\sqrt{3}M_P\right)\right)$$

and in

$$\text{IR: } h \ll M_P/\xi, U = \frac{\lambda}{4} h^4$$

no gravity corrections at inflation!  
(Unlike  $\beta X^4$ ) All inflationary  
predictions are robust



Obvious problem with QFT-description  
of IR/UV matching at intermediate  
 $\chi < \chi_{\text{end}}$  and  $h < M_P/\sqrt{\xi}$

Hence no reliable prediction for the  
SM Higgs boson mass  $m_h = \sqrt{2\lambda} v$   
except absence of the Landau pole  
and wrong minimum of the Higgs  
potential (well) below  $M_P/\xi$

$$125 \text{ GeV} \lesssim m_h \lesssim 195 \text{ GeV}$$

exponentially flat potential! @  $h \gg M_P/\sqrt{\xi}$ :

$$U(\chi) = \frac{\lambda M_P^4}{4\xi^2} \left(1 - \exp\left(-\frac{\sqrt{2}\chi}{\sqrt{3}M_P}\right)\right)^2$$

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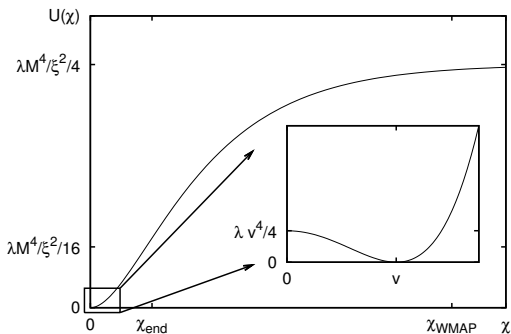
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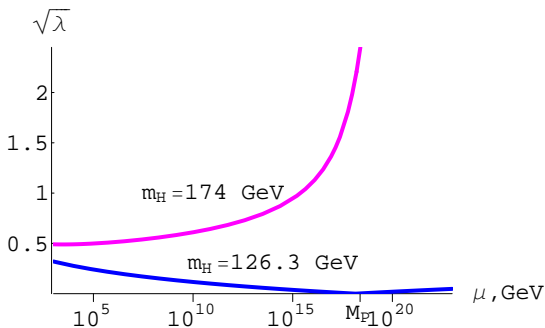
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$$125 \text{ GeV} \lesssim m_h \lesssim 195 \text{ GeV}$$

RG-evolution with energy scale  $\mu$ :

$$\frac{d\lambda}{d\log\mu^2} \propto +\# \cdot \lambda^2 - \# \cdot Y_t^4$$



$$U(\chi) = \frac{\lambda M_P^4}{4\xi^2} \left(1 - \exp\left(-\frac{\sqrt{2}\chi}{\sqrt{3}M_P}\right)\right)^2$$

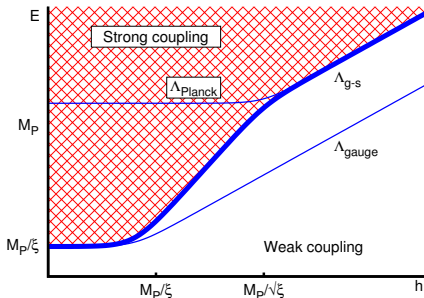
$$T_{\text{reh}} \simeq 10^{14} \text{ GeV}$$

from WMAP-normalization:  $\xi \approx 47000 \times \sqrt{\lambda}$

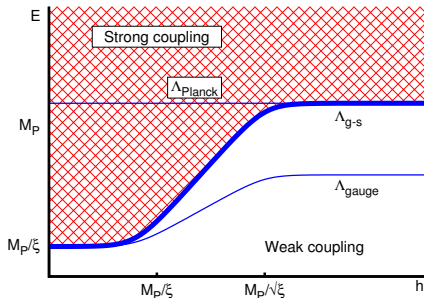
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## Strong coupling in Higgs-inflation: scatterings

Jordan frame



Einstein frame



gravity-scalar sector:

$$\Lambda_{g-s}(h) \simeq \begin{cases} \frac{M_P}{\xi}, & \text{for } h \lesssim \frac{M_P}{\xi}, \\ \frac{\xi h^2}{M_P}, & \text{for } \frac{M_P}{\xi} \lesssim h \lesssim \frac{M_P}{\sqrt{\xi}}, \\ \sqrt{\xi} h, & \text{for } h \gtrsim \frac{M_P}{\sqrt{\xi}}. \end{cases}$$

$$\text{gravitons: } \Lambda_{\text{Planck}}^2 \simeq M_P^2 + \xi h^2$$

gauge interactions:

$$\Lambda_{\text{gauge}}(h) \simeq \begin{cases} \frac{M_P}{\xi}, & \text{for } h \lesssim \frac{M_P}{\xi}, \\ h, & \text{for } \frac{M_P}{\xi} \lesssim h, \end{cases}$$

1008.5157



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# Upper limit on the Higgs boson mass

$R^2$ -inflation: stability while the Universe evolves

$$\text{from } Q = T_{reh} \approx 3 \times 10^9 \text{ GeV}$$

J.Espinosa, G.Giudice, A.Riotto (2007)

F.Bezrukov, D.G. (2011)

$$m_h^{R^2} > \left[ 116.5 + \frac{m_t - 172.9 \text{ GeV}}{1.1 \text{ GeV}} \times 2.6 - \frac{\alpha_s(M_Z) - 0.1181}{0.0007} \times 0.5 \right] \text{ GeV}$$

Higgs-inflation: stability while the Universe evolves

$$\text{from } Q = T_{reh} \approx 6 \times 10^{13} \text{ GeV}$$

F.Bezrukov, M.Shaposhnikov (2009)

F.Bezrukov, D.G. (2011)

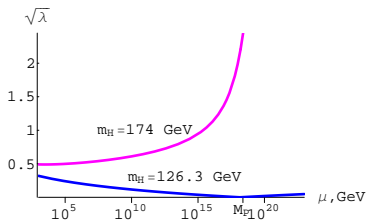
$$m_h^H > \left[ 120.0 + \frac{m_t - 172.9 \text{ GeV}}{1.1 \text{ GeV}} \times 2.1 - \frac{\alpha_s(M_Z) - 0.1181}{0.0007} \times 0.5 \right] \text{ GeV}$$

stability while the Universe evolves

$$\text{right after inflation } h \approx 10^{13} \text{ GeV}$$

$$m_h^H > [129.0 + \dots] \text{ GeV}$$

present limit from CMS:  $m_h < 127 \text{ GeV}$  @ 95%CL



Uncertainties: about 2 – 3 GeV  
due to unknown QCD-corrections

Important for further improvement:

- (N)NLO corrections in QCD coupling
- measurement of  $m_t$  and  $m_h$  at LHC

# Gravity waves from inflation and inflaton clumps

Notice that

$$\text{at MD : } \rho_{GW}/\rho_U \propto 1/a, \quad \text{at RD : } \rho_{GW}/\rho_U \propto \text{const}$$

One expects a break (“knee”) in inflationary GW spectrum at  $\nu (T_{reh})$

$$\text{at MD : } \delta\rho/\rho \propto a$$

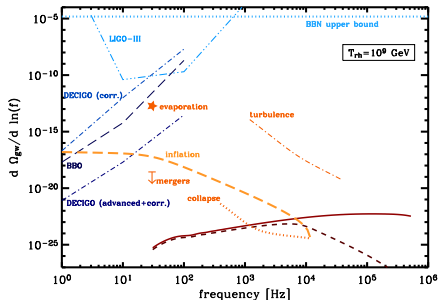
$$R^2\text{-inflation : } \frac{a_{reh}}{a_{inf}} \sim 10^7$$

scalar perturbations enter nonlinear regime  
GW from:

- collapses at formation of clumps
- merging of clumps
- evaporation of clumps (scalaron decays)

Since  $\rho_{GW}/\rho_U \propto 1/a$ , the strongest signal in present GW spectrum is expected at  $\nu (T_{reh})$

F.Bezrukov, D.G. (2011)



K.Jedamzik, M.Lemoine, J.Martin (2010)

# The power spectra of primordial perturbations

The same potential, the same  $\phi$  at the end of inflation

e.g. F.Bezrukov, D.G., M.Shaposhnikov (2008)

$$n_s \simeq 1 - \frac{8(4N+9)}{(4N+3)^2}, \quad r \simeq \frac{192}{(4N+3)^2}$$

But WMAP observes different  $N$  in the two models:  
 $k/a_0 = 0.002/\text{Mpc}$  exits horizon at different moments

$$N = \frac{1}{3} \log \left( \frac{\pi^2}{30\sqrt{27}} \right) - \log \left( \frac{k/a_0}{T_0 g_0^{1/3}} \right) + \log \frac{V_*^{1/2}}{V_e^{1/4} M_P} - \frac{1}{3} \log \frac{V_e^{1/4}}{10^{13} \text{ GeV}} - \frac{1}{3} \log \frac{10^{13} \text{ GeV}}{T_{reh}}$$

The difference is

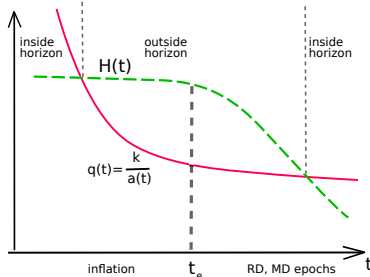
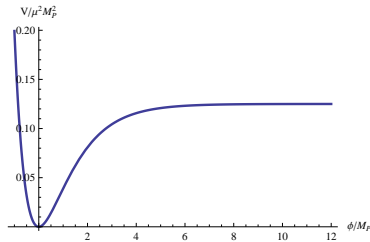
F.Bezrukov, D.G. (2011)

$$N_* \approx 57 - \frac{1}{3} \log \frac{10^{13} \text{ GeV}}{T_{reh}}, \quad N_{R^2} = 54.37, \quad N_H = 57.66.$$

$$R^2\text{-inflation: } n_s = 0.965, \quad r = 0.0036,$$

$$\text{Higgs-inflation: } n_s = 0.967, \quad r = 0.0032.$$

Planck(?), CMBPol(1-2 $\sigma$ )



# Outline

- 1 Motivation: Phenomena Observed but Unexplained within the SM
- 2 Starting from  $R^2$ -inflation: no new interactions
- 3 Starting from Higgs-inflation: no new fields
- 4 Distinguishing between the two models
- 5 Summary and minimal extensions: back to motivation

# Summary: Models without NEW scalar(s) in PARTICLE PHYSICS SECTOR

A.Starobinsky (1980)

 $R^2$ -inflation

Higgs-inflation

F.Bezrukov, M.Shaposhnikov (2007)

$$S^{JF} = -\frac{M_P^2}{2} \int \sqrt{-g} d^4x \left( R - \frac{R^2}{6\mu^2} \right) + S_{matter}^{JF}, \quad S^{JF} = \int \sqrt{-g} d^4x \left( -\frac{M_P^2}{2} R - \xi H^\dagger H R \right) + S_{matter}^{JF}$$

In this two models “inflaton” couple to the SM fields in different ways

 $R^2$ -inflation: gravity,  $\mathcal{L} \propto \phi/M_P$ 

D.G., A.Panin (2010)

$$T_{reh} \approx 3 \times 10^9 \text{ GeV}$$

Higgs-inflation: finally, at  $\phi \lesssim M_P/\xi$  like in SM

F.Bezrukov, D.G., M.Shaposhnikov (2008)

$$T_{reh} \approx 6 \times 10^{13} \text{ GeV}$$

with different length of the post inflationary matter domination stage:

F.Bezrukov, D.G. (2011)

- somewhat different perturbation spectra

$$n_s = 0.965, \quad r = 0.0032$$

$$n_s = 0.967, \quad r = 0.0036$$

break in primordial gravity wave spectra at different frequencies

- in  $R^2$  perturbations  $10^{-5}$  enter nonlinear regime:

gravity waves from inflaton clumps

- SM Higgs potential is OK up to the reheating scale:

$$m_h \gtrsim 116 \text{ GeV}$$

$$m_h \gtrsim 120 - 129 \text{ GeV}$$

# Standard Model: Success and Problems

Gauge fields (interactions):  $\gamma, W^\pm, Z, g$

Three generations of matter:  $L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, e_R; Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, d_R, u_R$

- Describes
  - ▶ all experiments dealing with electroweak and strong interactions
- Does not describe
  - ▶ Neutrino oscillations
  - ▶ Dark matter ( $\Omega_{DM}$ )
  - ▶ Baryon asymmetry ( $\Omega_B$ )
  - ▶ Inflationary stage
  - ▶ Dark energy ( $\Omega_\Lambda$ )
  - ▶ Strong CP: **boundary terms, new topology, . . .**
  - ▶ Gauge hierarchy: **No new scales!**
  - ▶ Quantum gravity

Try to explain all above

Planck-scale physics saves the day

# Both models can be safely completed

## Universality: e.g., with $\nu$ MSM (3 sterile neutrinos)

T.Asaka, S.Blanchet, M.Shaposhnikov (2005), T.Asaka, M.Shaposhnikov (2005)

- 2 neutrinos at GeV scale are seesaw neutrinos, thus explaining **neutrino oscillations** and **BAU** via lepton asymmetry generation due to oscillations in primordial plasma
- 1 neutrino at keV scale serves as **dark matter**

## Specifically

### $R^2$ -inflation

- free fermion of  $m \simeq 10^7$  GeV as **dark matter**
- 2 sterile seesaw neutrino of  $m \sim 10^{12}$  GeV to explain **neutrino oscillations** and **BAU** via leptogenesis

D.G., A.Panin (2010)

### Higgs-inflation

At strong coupling scale  $\Lambda(h)$  one may expect nonrenormalizable operators

- **neutrino oscillations** due to  $(LH)^2/\Lambda$
- **BAU** via CP-violating Higgs decays due to  $(LH)^2/\Lambda$  and  $\bar{L}HE, \bar{L}HE \times H^2/\Lambda^2$
- **dark matter** with additional scalar or fermion

F.Bezrukov, D.G., M.Shaposhnikov (2011)

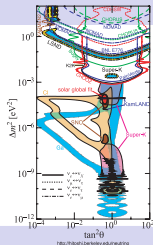




# Backup slides

## Straightforward completion of $\nu$ MSM

- Use as little “new physics” as possible
- Require to get the correct neutrino oscillations
- Explain DM and baryon asymmetry of the Universe



## Lagrangian

Most general renormalizable with 3 right-handed neutrinos  $N_i$

$$\mathcal{L}_{\nu MSM} = \mathcal{L}_{MSM} + \bar{N}_i i \not{\partial} N_i - f_{l\alpha} H \bar{N}_i L_\alpha - \frac{M_i}{2} \bar{N}_i^c N_i + \text{h.c.}$$

Extra coupling constants:

- 3 Majorana masses  $M_i$  T.Asaka, S.Blanchet, M.Shaposhnikov (2005)
- 15 new Yukawa couplings T.Asaka, M.Shaposhnikov (2005)  
(Dirac mass matrix  $M^D = f_{l\alpha} \langle H \rangle$  has 3 Dirac masses,  
6 mixing angles and 6 CP-violating phases)

$\nu$  Masses and Mixings: “seesaw” from  $f_{l\alpha} H \bar{N}_l L_\alpha$

$M_l \gg M^D = f v$     **says nothing about  $M_l$  !**    **dangerous:  $\delta m_h^2 \propto M_l^2$**

3 heavy neutrinos with masses  $M_l$

similar to quark masses

Light neutrino masses

$$M^\nu = -(M^D)^T \frac{1}{M_l} M^D \propto f^2 \frac{v^2}{M_l}$$

$$U^T M^\nu U = \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix}$$

Mixings: flavor state  $\nu_\alpha = U_{\alpha i} \nu_i + \theta_{\alpha l} N_l^c$

Active-sterile mixings

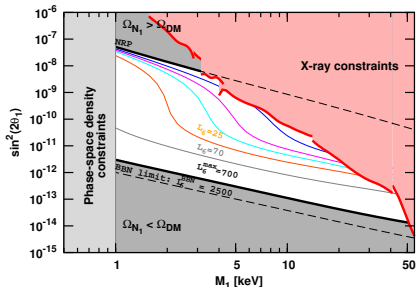
$$\theta_{\alpha l} = \frac{(M^D)_{\alpha l}^\dagger}{M_l} \propto f \frac{v}{M_l} \ll 1$$

# Lightest sterile neutrino $N_1$ as Dark Matter

Non-resonant production  
(active-sterile mixing) is ruled out

Resonant production (lepton  
asymmetry) requires  
 $\Delta M_{2,3} \lesssim 10^{-16} \text{ GeV}$

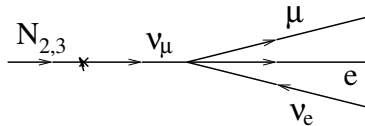
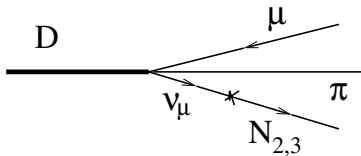
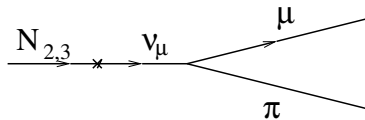
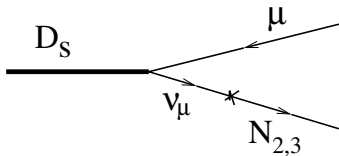
arXiv:0804.4542, 0901.0011, 1006.4008



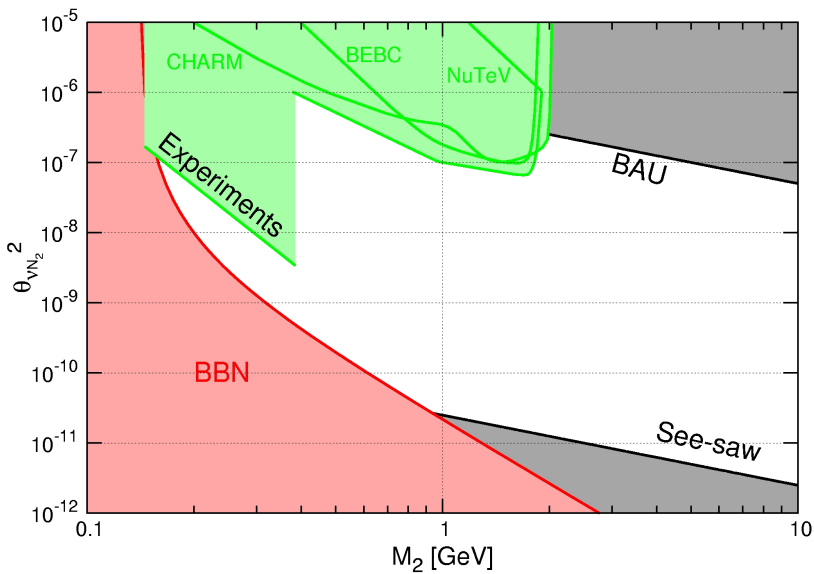
# Production

# and

# Decays

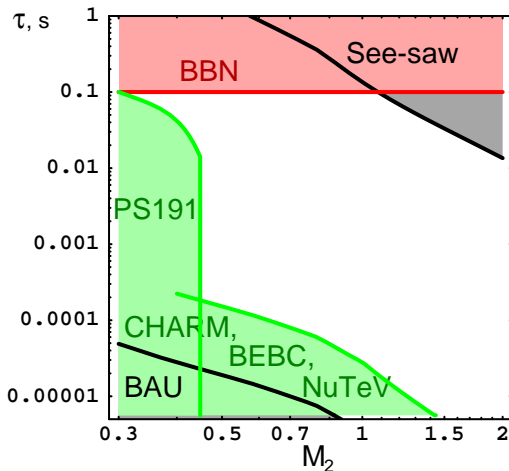


# Searches for sterile seesaw neutrinos $N_{2,3}$





# Searches for sterile seesaw neutrinos $N_{2,3}$



- $\text{Br}(D \rightarrow IN) \lesssim 2 \cdot 10^{-8}$
- $\text{Br}(D_s \rightarrow IN) \lesssim 3 \cdot 10^{-7}$
- $\text{Br}(D \rightarrow KIN) \lesssim 2 \cdot 10^{-7}$
- $\text{Br}(D_s \rightarrow \eta IN) \lesssim 5 \cdot 10^{-8}$
- $\text{Br}(D \rightarrow K^* IN) \lesssim 7 \cdot 10^{-8}$
- $\text{Br}(B \rightarrow DIN) \lesssim 7 \cdot 10^{-8}$
- $\text{Br}(B \rightarrow D^* IN) \lesssim 4 \cdot 10^{-7}$
- $\text{Br}(B_s \rightarrow D_s^* IN) \lesssim 3 \cdot 10^{-7}$

$$c\tau_N \gtrsim 10^5 \text{ cm}$$

D.G., M.Shaposhnikov (2007)

## $R^2$ -inflation with dark matter, neutrino oscillations and BAU

# Dark Matter production in scalaron decays

The same universal messenger: gravity

D.G., A.Panin (2010)

$$\rho_\phi = \mu^2 \phi^2 / 2 = \mu n_\phi \rightarrow \rho_{DM} = m_{DM} n_{DM}$$

$$\Gamma_{\phi \rightarrow \phi\phi} = \frac{\mu^3}{192\pi M_P^2}, \quad \Gamma_{\phi \rightarrow \bar{\psi}\psi} = \frac{\mu m_\psi^2}{48\pi M_P^2}.$$

not Dark Matter



$$m_\phi \approx 7 \text{ keV} \times \left( \frac{N_{\text{scalars}}}{4} \right)^{1/2} \left( \frac{g_*}{106.75} \right)^{1/4},$$

Cold Dark Matter



$$m_\psi \approx 10^7 \text{ GeV} \times \left( \frac{N_{\text{scalars}}}{4} \right)^{1/6} \left( \frac{106.75}{g_*} \right)^{1/12}.$$

Heavier stable particles are excluded!

Scalars are overheated:

$$\rho_\phi \sim 10^{13} \text{ GeV} \text{ at } T_{\text{reh}} \approx 3 \times 10^9 \text{ GeV}$$

Still too fast for proper structure formation at 1 eV epoch...



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Still too fast for proper structure formation at 1 eV epoch...



# Scalar Dark Matter: other ways out

Two options within our paradigm of

AVOIDING NEW INTERACTIONS IN PARTICLE PHYSICS:

- 1 switch on nonminimal (conformal) coupling to GRAVITY:  $\frac{\xi}{2} R \phi^2$
- 2 consider a SUPERHEAVY dark matter candidate:  $m_\phi > \mu/2$

# 1: Light scalar with nonminimal coupling to gravity

$$S_{\varphi}^{JF} = \int \sqrt{-g} d^4x \left( \frac{1}{2} g^{\mu\nu} \partial_{\mu} \varphi \partial_{\nu} \varphi - \frac{1}{2} m_{\varphi}^2 \varphi^2 + \frac{\xi}{2} R \varphi^2 \right),$$

introducing no new scales, not interfering with inflation:

$$0 < \xi < 1$$

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = \chi g_{\mu\nu}, \quad \chi = \exp\left(\sqrt{2/3} \phi / M_P\right), \quad \varphi \rightarrow \tilde{\varphi} = \chi^{-1/2} \varphi.$$

for free (in the Jordan frame) scalar field  $\varphi$ :

$$S_{\varphi}^{EF} = \int \sqrt{-\tilde{g}} d^4x \left[ \frac{1}{2} \tilde{g}^{\mu\nu} \partial_{\mu} \tilde{\varphi} \partial_{\nu} \tilde{\varphi} + \frac{\xi}{2} \tilde{R} \tilde{\varphi}^2 - \frac{1}{2\chi} m_{\varphi}^2 \tilde{\varphi}^2 \right. \\ \left. + \frac{1}{2} \left( \frac{1}{6} - \xi \right) \frac{\tilde{\varphi}^2}{M_P^2} \tilde{g}^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi + \sqrt{6} \left( \frac{1}{6} - \xi \right) \frac{\tilde{\varphi}}{M_P} \tilde{g}^{\mu\nu} \partial_{\mu} \tilde{\varphi} \partial_{\nu} \phi \right].$$

$$\Gamma_{\phi \rightarrow \varphi\varphi} = \left( 1 - 6\xi + 2 \frac{m_{\varphi}^2}{\mu^2} \right)^2 \frac{\mu^3}{192\pi M_P^2}.$$

# 1: Warm or Cold scalar dark matter

$$\Gamma_{\phi \rightarrow \varphi\varphi} = \left(1 - 6\xi + 2\frac{m_\phi^2}{\mu^2}\right)^2 \frac{\mu^3}{192\pi M_p^2}.$$

scalar 3-momentum @ production:

$$p_* = \sqrt{\mu^2/4 - m_\phi^2}, \text{ then redshifting } p = p_* \frac{a(t_*)}{a(t_{reh})}$$

Spectrum of produced dark matter particles:

$$f(p) \propto \frac{1}{p^{3/2}}, \quad \langle p \rangle (T_{reh}) = \frac{3}{5} p_* \gg T_{reh}$$

Ultrarelativistic @ reheating

must be conformal “with 20%-accuracy”

To be Warm ( $v_{DM} \sim 10^{-3}$  @ equilibrium,  $T \sim 1$  eV) we need:

$$m_\phi \simeq 0.7 \text{ MeV}, \quad \text{then } \xi \approx 1/6 - 0.019, \text{ or } \xi \approx 1/6 + 0.019.$$

To be Cold ( $v_{DM} \ll 10^{-3}$  @ equilibrium,  $T \sim 1$  eV) we need:

$$1/6 - 0.019 < \xi < 1/6 + 0.019, \quad m_\phi = m_\phi(\xi) > 0.7 \text{ MeV}$$



## 2: Superheavy dark matter candidate, $m_\phi > \mu/2$

### Particle production in the expanding Universe

$$ds^2 = a^2(\eta) (d\eta^2 - d\vec{x}^2), \quad \tilde{\phi} = s/a(\eta),$$

### Main effect: production at the end of inflation

$$e^{-\phi/M_P} m_\phi^2 \tilde{\phi}^2$$

$$\left\{ \frac{\partial^2}{\partial \eta^2} - \frac{\partial^2}{\partial \vec{x}^2} + \frac{1}{\chi} a^2 m_\phi^2 - \left( \frac{1}{6} - \xi \right) \left( 6 \frac{a''}{a} + \frac{\phi'^2}{M_P^2} + \frac{\sqrt{6} a^2}{M_P} \frac{\partial V(\phi)}{\partial \phi} \right) \right\} s(\eta, \vec{x}) = 0,$$

### Calculation of Bogolubov's transformation coefficients:

vacuum initial conditions

$$s(\eta, \vec{x}) = \frac{1}{(2\pi)^{3/2}} \int d^3 p \left( \hat{a}_p s_p(\eta) e^{-i\vec{p}\vec{x}} + \hat{a}_p^\dagger s_p^*(\eta) e^{i\vec{p}\vec{x}} \right),$$

$$s_p \rightarrow 1/\sqrt{2\omega}, \quad s'_p \rightarrow -i\omega s_p.$$

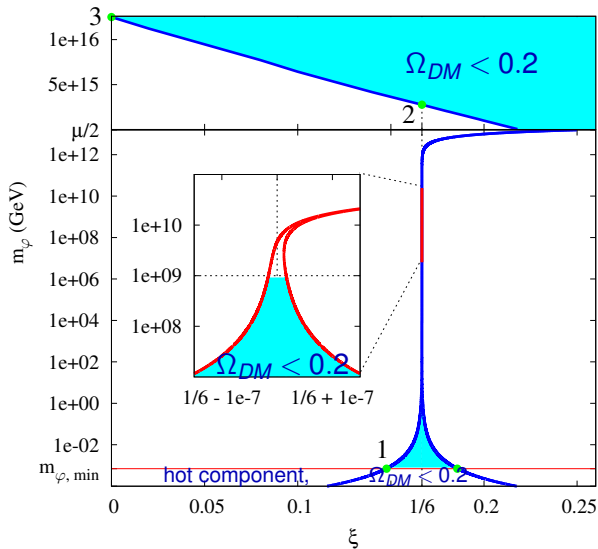
### DM particle density in post-inflationary Universe

$m_\phi \sim 10^{16}$  GeV to explain DM

$$n_\phi = \frac{1}{(2\pi a)^3} \int d^3 p |\beta_p|^2, \quad |\beta_p|^2 = \frac{|s'_p|^2 + \omega^2 |s_p|^2}{2\omega} - \frac{1}{2}.$$

# Summary on scalar Dark Matter:

D.G., Panin, 1110.xxxx



Minimal coupling to gravity,  
 $\xi = 0$ :  
 Superheavy DM:  
 $m_\phi = 1.3 \times 10^{16}$  GeV

Conformal coupling to  
 gravity,  $\xi = 1/6$ :  
 Superheavy DM:  
 $m_\phi = 2.8 \times 10^{15}$  GeV  
 Heavy DM:  $m_\phi > 10^9$  GeV is  
 forbidden  
 due to production @  $H \sim m_\phi$

Warm Dark Matter:  
 $m_\phi \gtrsim 0.7$  MeV

# BAU via leptogenesis

Add sterile neutrinos to explain active neutrino oscillations

(OK)

either  $\nu$ MSM (BAU via oscillations in primordial plasma) or

use the same **universal messenger** to produce sterile neutrinos: **gravity**

$$\rho_\phi = m_\phi^2 \phi^2 / 2 = m_\phi n_\phi \rightarrow \rho_N = m_N n_N$$

$$\mathcal{L}^{JF} = i\bar{N}_I \gamma^\mu \partial_\mu N_I - y_{\alpha I} \bar{L}_\alpha N_I \tilde{\Phi} - \frac{M_I}{2} \bar{N}_I^c N_I + h.c.$$

$$\frac{n_{N_I}}{s}(T_{reh}) = 3 \times 10^{-6} \times \left( \frac{M_I}{5 \times 10^{12} \text{ GeV}} \right)^2.$$

seesaw mechanism:

neutrino of  $M_N > 10^{10}$  GeV decays before reheating:

$$m_{\nu \alpha\beta} = - \sum_I y_{\alpha I} \frac{v^2}{2M_I} y_{\beta I},$$

$$\Gamma_{N_I} = \frac{M_I}{8\pi} \sum_\alpha |y_{\alpha I}|^2 \sim \frac{\sqrt{\Delta m_{atm}^2}}{4\pi} \frac{M_I^2}{v^2}.$$

# Lepton asymmetry from seesaw neutrino decays

Only the lightest sterile neutrino contribution ( $l = 1, 2, M_1 \ll M_2$ ) is enough

$$\delta_L = \frac{\Gamma(N_1 \rightarrow hl) - \Gamma(N_1 \rightarrow h\bar{l})}{\Gamma_{N_1}^{tot}} \lesssim \frac{3 M_1 \sqrt{\Delta m_{atm}^2}}{8\pi v^2}$$

an order of magnitude estimate for the asymmetry right before the reheating

$$\Delta_L = \frac{n_L}{s} = \delta_L \cdot \frac{n_{N_1}}{s} \lesssim 1.5 \times 10^{-9} \times \left( \frac{M_1}{5 \times 10^{12} \text{ GeV}} \right)^3.$$

we got

$$\Delta_{B,0} = \Delta_L/3 \sim 0.5 \times 10^{-9}$$

we need

$$\Delta_{B,0} \approx 0.88 \times 10^{-10}$$

Cannot obtain much larger...!

$$\mu \sim 10^{13} \text{ GeV}$$

# Is it sensitive to CP in active neutrino sector?

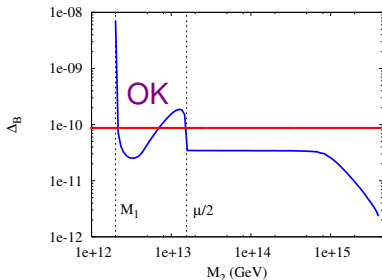
One active neutrino is massless  
and we  
switch off all phases in PMNS

$$m_1 = 0, m_2 = m_{sol} = 8.75 \times 10^{-3} \text{ eV},$$

$$\text{(normal hierarchy) } m_3 = m_{atm} = 5 \times 10^{-2} \text{ eV}$$

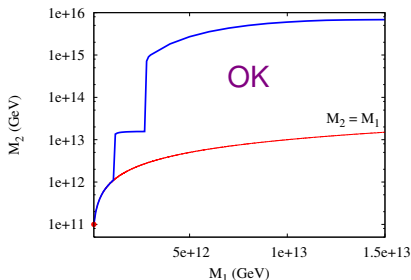
$$\theta_{12} = 33.8^\circ, \theta_{23} = 45.5^\circ, \alpha = 0, \theta_{13} = 0$$

Scan over parameters of sterile neutrino sector



$$M_1 = 2 \times 10^{12} \text{ GeV}$$

Maximum  $\Delta_B$  we can obtain at a given  $M_2$



$$\Delta_{B,0} = 0.88 \times 10^{-10}$$

Limit from above on  $M_2$  at a given  $M_1$

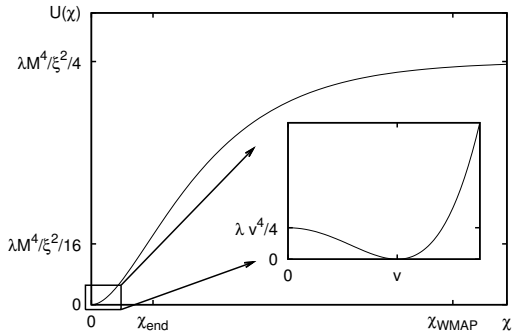
# Higgs-inflation with nonrenormalizable operators

Fine theoretical descriptions both in

$$UV: \chi \gg M_P, U = \text{const} + \mathcal{O}\left(\exp\left(-\sqrt{2}\chi/\sqrt{3}M_P\right)\right)$$

and in

$$IR: h \ll M_P/\xi, U = \frac{\lambda}{4} h^4$$



exponentially flat potential! @  $h \gg M_P/\sqrt{\xi}$ :

$$U(\chi) = \frac{\lambda M_P^4}{4\xi^2} \left(1 - \exp\left(-\frac{\sqrt{2}\chi}{\sqrt{3}M_P}\right)\right)^2$$

coincides (apart of  $T_{reh} \simeq 10^{14}$  GeV) with  $R^2$ -model!  
But NO NEW d.o.f.

0812.3622

$$n_s = 0.97, r = 0.0034, N = 59$$

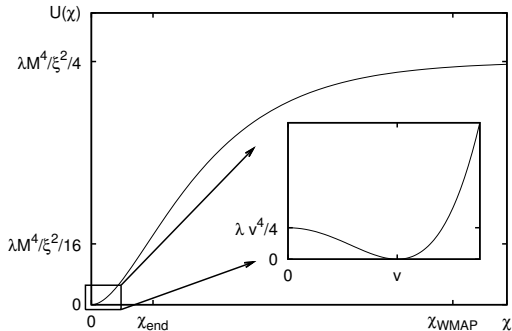
from WMAP-normalization:  $\xi \approx 47000 \times \sqrt{\lambda}$

Fine theoretical descriptions both in

UV:  $\chi \gg M_P$ ,  $U = \text{const} + \mathcal{O}\left(\exp\left(-\sqrt{2}\chi/\sqrt{3}M_P\right)\right)$

and in

IR:  $h \ll M_P/\xi$ ,  $U = \frac{\lambda}{4} h^4$



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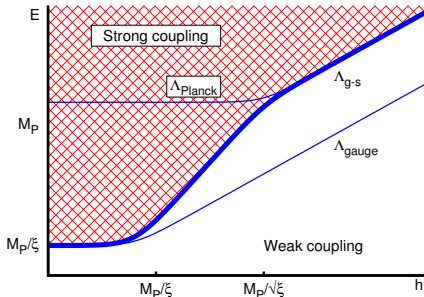
Obvious problem with QFT-description of IR/UV matching at intermediate  $\chi < \chi_{\text{end}}$  and  $h < M_P/\sqrt{\xi}$

Hence no reliable prediction for the SM Higgs boson mass  $m_h = \sqrt{2\lambda} v$

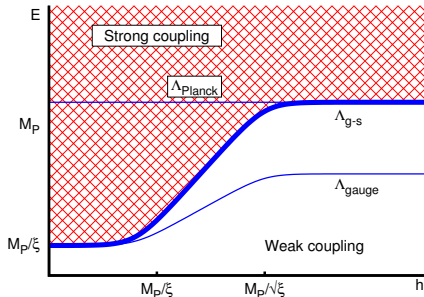


# Strong coupling in Higgs-inflation

Jordan frame



Einstein frame



gravity-scalar sector:

$$\Lambda_{g-s}(h) \simeq \begin{cases} \frac{M_P}{\xi}, & \text{for } h \lesssim \frac{M_P}{\xi}, \\ \frac{\xi h^2}{M_P}, & \text{for } \frac{M_P}{\xi} \lesssim h \lesssim \frac{M_P}{\sqrt{\xi}}, \\ \sqrt{\xi} h, & \text{for } h \gtrsim \frac{M_P}{\sqrt{\xi}}. \end{cases}$$

gravitons:  $\Lambda_{\text{Planck}}^2 \simeq M_P^2 + \xi h^2$

gauge interactions:

$$\Lambda_{\text{gauge}}(h) \simeq \begin{cases} \frac{M_P}{\xi}, & \text{for } h \lesssim \frac{M_P}{\xi}, \\ h, & \text{for } \frac{M_P}{\xi} \lesssim h, \end{cases}$$

1008.5157

# What can nonrenormalizable operators do?

F.Bezrukov, D.G., Shaposhnikov (2011)

$$\begin{aligned} \delta \mathcal{L}_{\text{NR}} = & -\frac{a_6}{\Lambda^2} (H^\dagger H)^3 + \dots \\ & + \frac{\beta_L}{4\Lambda} F_{\alpha\beta} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \frac{\beta_B}{\Lambda^2} O_{\text{baryon violating}} + \dots + \text{h.c.} \\ & + \frac{\beta_N}{2\Lambda} H^\dagger H \bar{N}^c N + \frac{b_{L\alpha}}{\Lambda} \bar{L}_\alpha (\not{D} N)^c \tilde{H} + \dots, \end{aligned}$$

$L_\alpha$  are SM leptonic doublets,  $\alpha = 1, 2, 3$ ,  $N$  stands for right handed sterile neutrinos potentially present in the model,  $\tilde{H}_a = \varepsilon_{ab} H_b^*$ ,  $a, b = 1, 2$ ;

and

$$\Lambda = \Lambda(h) = \{ \Lambda_{g-s}(h), \Lambda_{\text{gauge}}(h), \Lambda_{\text{Planck}}(h) \}$$

couplings can differ significantly in different regions of  $h$ :  
 today  $h < M_P/\xi$ ,      at preheating  $M_P/\xi < h < M_P/\sqrt{\xi}$

# Nonrenormalizable operators today

Neutrino masses: easily

$$\mathcal{L}_{\nu\nu}^{(5)} = \frac{\beta_L v^2}{4\Lambda} \frac{F_{\alpha\beta}}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.}$$

hence

$$\Lambda \sim 3 \times 10^{14} \text{ GeV} \times \beta_L \times \left( \frac{3 \times 10^{-3} \text{ eV}^2}{\Delta m_{\text{atm}}^2} \right)^{1/2}$$

when

$$\Lambda = \frac{M_P}{\xi} \sim 0.6 \times 10^{14} \text{ GeV}$$

can explain with

$$\beta_L \sim 0.2$$

Proton decay: probably

$$\mathcal{L}^{(6)} \propto \frac{\beta_B}{\Lambda^2} QQQL$$

then from experiments

$$\Lambda \gtrsim \sqrt{\beta_B} \times 10^{16} \text{ GeV} \times \left( \frac{\tau_{p \rightarrow \pi^0 e^+}}{1.6 \times 10^{33} \text{ years}} \right)^{1/4}$$

with the same

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one needs

$$\beta_B < 0.4 \times 10^{-4}$$

Either  $\beta_B$  and  $\beta_L$  are significantly different  
or we will observe proton decay in the next generation experiment

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# Leptogenesis, $\Delta_B \approx \Delta_L/3$ : can be successful

$$i \frac{d}{dt} \hat{Q}_L = [\hat{H}_{\text{int}}, \hat{Q}_L], \quad \Delta n_L \equiv n_L - n_{\bar{L}} = \langle Q_L \rangle$$

$$\mathcal{L}_Y = -Y_\alpha \bar{L}_\alpha H E_\alpha + \text{h.c.}, \quad \mathcal{L}_{\text{vV}}^{(5)} = \frac{\beta_L}{4\Lambda} F_{\alpha\beta} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \text{h.c.}$$

$$d\Delta n_L/dt \propto \text{Im} \left( \beta_L^4 \text{Tr} \left( F F^\dagger F Y Y F^\dagger Y Y \right) \right) \propto \beta_L^4 y_\tau^4 \cdot \text{Im} \left( F_{3\beta} F_{\alpha\beta}^* F_{\alpha 3} F_{33}^* \right)$$

for the gauge cutoff  $\Lambda = h$  one has

$$\beta_L^4 \left( \frac{y_\tau}{0.01} \right)^4 \left( \frac{0.25}{\lambda} \right)^{5/4} \times 10^{-10} < \Delta_L < \beta_L^4 \left( \frac{y_\tau}{0.01} \right)^4 \left( \frac{0.25}{\lambda} \right) \times 10^{-9},$$

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In both cases the asymmetry can be (significantly) increased with operator

$$\delta \mathcal{L}^\tau = y_\tau L_\tau H E_\tau + \beta_y L_\tau H E_\tau \frac{H^\dagger H}{\Lambda^2} + \dots$$

one can fancy the hierarchy

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# Dark matter: an example of sterile fermion

$$\mathcal{L}_{\text{int}} = \beta_N \frac{H^\dagger H}{2\Lambda} \bar{N}^c N = \frac{\beta_N}{4} \frac{h^2}{\Lambda(h)} \bar{N}^c N.$$

can be produced at preheating or at the hot stage

DM fermion has to be light! (WDM?)

Indeed, today

$$\frac{b_{L\alpha}}{\Lambda} \bar{L}_\alpha (\not{D} N)^c \tilde{H}$$

$$f_\alpha \sim b_{L\alpha} \frac{M_N}{\Lambda}.$$

So,  $N$  is unstable with the  $\gamma\nu$  partial width of the order

$$\Gamma_{N \rightarrow \gamma\nu} \sim \frac{9 b_{L\alpha}^2 \alpha G_F^2}{512\pi^4} \frac{v^2 M_N^5}{\Lambda^2}.$$

EGRET gives  $\tau_{\gamma\nu} \gtrsim 10^{27}$  s, hence

0709.2299

$$\text{for } \Lambda = M_P : \quad M_N \lesssim 200 \text{ MeV}, \quad \text{for } \Lambda = M_P/\xi : \quad M_N \lesssim 4 \text{ MeV}$$